

08-13-2001

FORM PTO-1595



COVER SHEET

U.S. DEPARTMENT OF COMMERCE

ONLY

Patent and Trademark Office

To the Honorable Commissioner of Pa

101809618

uments or copy thereof.

1. Name of conveying party(ies):

Surdinder K. Batra
Randall E. Brand
Jöerg Ringel
Grit Faulmann
Matthias Löhr
Grish Varshney

8-6-01

2. Name and address of receiving party(ies):

Name: The Board of Regents of the University of Nebraska

Internal Address _____

Street Address: 986099 Nebraska Medical Center

City: Omaha State/Country: NE ZIP: 68198-6099

Additional name(s) & address(es) attached?

Yes

No

Additional name(s) of conveying party(ies) attached? Yes No

3. Nature of conveyance:

- Assignment
- Security Agreement
- Other _____
- Merger
- Change of Name

Execution Dates: June 29, 2001; May 16, 2001; July 17, 2001
May 23, 2001; May 18, 2001 and June 22, 2001.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/733,444

B. Patent No.(s)

Additional Application/Patent Numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Kathleen D. Rigaut, Ph.D., J.D.
Address: DANN, DORFMAN, HERRELL AND SKILLMAN
1601 Market Street, Suite 720
Philadelphia, Pennsylvania 19103-2307
Telephone: (215) 563-4100 Facsimile: (215) 563-4044

6. Total number of applications and patents involved:..... 1

7. Total Fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. In the event the check enclosed is improper, or the fee calculation is in error, the Commissioner is authorized to charge any underpayment or credit any overpayment to the account of the undersigned attorneys.

Deposit Account Number: 04-1406

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Kathleen D. Rigaut, Ph.D., J.D.
Name of Attorney or Agent

Signature of Attorney or Agent

August 2, 2001

Total number of pages comprising cover sheet: 9 (includes fee transmittal in duplicate)

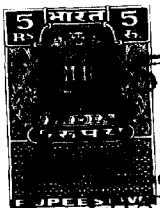
08/10/2001 DBYRME 00000202 09733444

01 FC:581

40.00 DP

ASSIGNMENT

This Assignment is made by Dr. Grish Varshney, residing at D-7, IMT Colony, Sector 39-A, Chandigarh – 160036, India to the BOARD OF REGENTS OF THE UNIVERSITY OF NEBRASKA, by and on behalf of the UNIVERSITY OF NEBRASKA MEDICAL CENTER, Assignee, having a place of business at 986099 Nebraska Medical Center, Omaha, Nebraska, 58198-6099, USA.



WHEREAS, Assignor is a joint inventor of a new and useful improvements in "Specific Mucin Expression as a Marker for Pancreatic Cancer" for which an application for United States Letters Patent was filed on December 8, 2000, in the United States Patent, and Trademark Office, bearing Serial Number 09/733,444, and identified as UNMC Docket No. 63155.

WHEREAS, Assignor believes that Assignor is one of the original joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entirety of Assignor's right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor sells, assigns, and transfers to Assignee, the entirety of Assignor's right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Sl. No. 1437
22-6-2001

Assignor agrees that, upon request and without further compensation, but at no expense to the Assignor, Assignor and Assignor's legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that Assignor has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Director of the United States Patent and Trademark Office and all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed divisional, continuation, continuation-in-part or reissue application, to Assignee, its successors and assigns, as an assignee of the entirety of Assignor's interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date(s) provided below.

Assignor: Grish Varshney



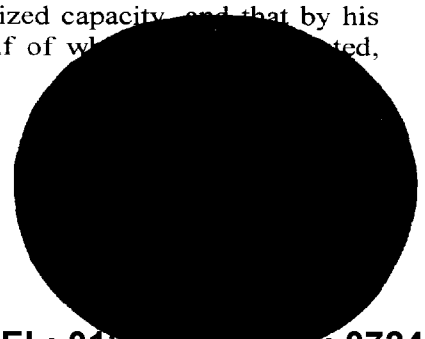
Grish C. Varshney 22nd June, 2001
Signature Date

On this 22nd day of June, 2001, before me personally appeared Grish Varshney to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of whom he acted, execute the instrument.

WITNESS by hand and official seal.

(Signature of Notary)

Attested as Identified
Bhawanishwari Jain
22.6.2001
BHUWANISHWARI JAIN
Notary, Chandigarh (UT)



ASSIGNMENT

This Assignment is made by Dr. Surinder K. Batra, residing at 5109 North 139th Street, Omaha, NE 68164 to the BOARD OF REGENTS OF THE UNIVERSITY OF NEBRASKA, by and on behalf of the UNIVERSITY OF NEBRASKA MEDICAL CENTER, Assignee, having a place of business at 986099 Nebraska Medical Center, Omaha, Nebraska, 68198-6099, USA.

WHEREAS, Assignor is a joint inventor of a new and useful improvements in "Specific Mucin Expression as a Marker for Pancreatic Cancer" for which an application for United States Letters Patent was filed on December 8, 2000, in the United States Patent and Trademark Office, bearing Serial Number 09/733,444, and identified as UNMC Docket No. 63155.

WHEREAS, Assignor believes that Assignor is one of the original joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entirety of Assignor's right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor sells, assigns, and transfers to Assignee, the entirety of Assignor's right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignor agrees that, upon request and without further compensation, but at no expense to the Assignor, Assignor and Assignor's legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.


Assignor represents and warrants that Assignor has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Director of the United States Patent and Trademark Office and all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed divisional, continuation,

continuation-in-part or reissue application, to Assignee, its successors and assigns, as an assignee of the entirety of Assignor's interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date(s) provided below.

Assignor: Surinder K. Batra



Signature


6/29/01
Date

State of Nebraska)

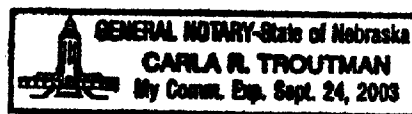
County of Douglas)

On this 29th day of June 2001, before me personally appeared Surinder K. Batra to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS by hand and official seal.



(Signature of Notary)



ASSIGNMENT

This Assignment is made by Dr. Randall E. Brand, residing at 2025 So. 127th Circle, Omaha, NE 68144 to the BOARD OF REGENTS OF THE UNIVERSITY OF NEBRASKA, by and on behalf of the UNIVERSITY OF NEBRASKA MEDICAL CENTER, Assignee, having a place of business at 986099 Nebraska Medical Center, Omaha, Nebraska, 68198-6099, USA.

WHEREAS, Assignor is a joint inventor of a new and useful improvements in "Specific Mucin Expression as a Marker for Pancreatic Cancer" for which an application for United States Letters Patent was filed on December 8, 2000, in the United States Patent and Trademark Office, bearing Serial Number 09/733,444, and identified as UNMC Docket No. 63155.

WHEREAS, Assignor believes that Assignor is one of the original joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entirety of Assignor's right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor sells, assigns, and transfers to Assignee, the entirety of Assignor's right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignor agrees that, upon request and without further compensation, but at no expense to the Assignor, Assignor and Assignor's legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that Assignor has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Director of the United States Patent and Trademark Office and all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed divisional, continuation,

continuation-in-part or reissue application, to Assignee, its successors and assigns, as an assignee of the entirety of Assignor's interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date(s) provided below.

Assignor: Randall E. Brand

Randall Brand May 16, 2001
Signature Date

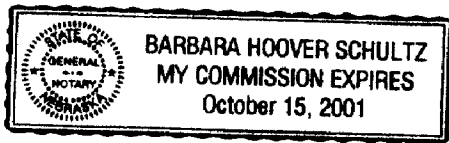
State of Nebraska)

County of Douglas)

On this 16th day of May 2001, before me personally appeared Randall E. Brand to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS by hand and official seal.

Barbara Hoover Schultz
(Signature of Notary)



ASSIGNMENT

This Assignment is made by Dr. Joerg Ringel, residing at 421 No. 40th St., Apt. 21, Omaha, NE 68131 to the BOARD OF REGENTS OF THE UNIVERSITY OF NEBRASKA, by and on behalf of the UNIVERSITY OF NEBRASKA MEDICAL CENTER, Assignee, having a place of business at 986099 Nebraska Medical Center, Omaha, Nebraska, 68198-6099, USA.

WHEREAS, Assignor is a joint inventor of a new and useful improvements in "Specific Mucin Expression as a Marker for Pancreatic Cancer" for which an application for United States Letters Patent was filed on December 8, 2000, in the United States Patent and Trademark Office, bearing Serial Number 09/733,444, and identified as UNMC Docket No. 63155.

WHEREAS, Assignor believes that Assignor is one of the original joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entirety of Assignor's right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor sells, assigns, and transfers to Assignee, the entirety of Assignor's right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignor agrees that, upon request and without further compensation, but at no expense to the Assignor, Assignor and Assignor's legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that Assignor has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Director of the United States Patent and Trademark Office and all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed divisional, continuation,

continuation-in-part or reissue application, to Assignee, its successors and assigns, as an assignee of the entirety of Assignor's interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date(s) provided below.

Assignor: Joerg Ringel

J. Ringel
Signature Date

State of Nebraska)

County of Douglas)

On this 17. day of July 2001, before me personally appeared Joerg Ringel to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS by hand and official seal.

[Signature]
(Signature of Notary)

KLINIKUM MANNHEIM gGmbH
Universitätsklinikum
Fakultät für Klinische Medizin Mannheim
der Universität Heidelberg
GB VD-Personal
68136 Mannheim

ASSIGNMENT

This Assignment is made by Dr. Grit Faulmann, residing at Pestalozzish, g, Schkeuelitz Germany, D-04435 to the BOARD OF REGENTS OF THE UNIVERSITY OF NEBRASKA, by and on behalf of the UNIVERSITY OF NEBRASKA MEDICAL CENTER, Assignee, having a place of business at 986099 Nebraska Medical Center, Omaha, Nebraska, 68198-6099, USA.

WHEREAS, Assignor is a joint inventor of a new and useful improvements in "Specific Mucin Expression as a Marker for Pancreatic Cancer" for which an application for United States Letters Patent was filed on December 8, 2000, in the United States Patent and Trademark Office, bearing Serial Number 09/733,444, and identified as UNMC Docket No. 63155.

WHEREAS, Assignor believes that Assignor is one of the original joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entirety of Assignor's right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor sells, assigns, and transfers to Assignee, the entirety of Assignor's right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignor agrees that, upon request and without further compensation, but at no expense to the Assignor, Assignor and Assignor's legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that Assignor has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Director of the United States Patent and Trademark Office and all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed divisional, continuation,

continuation-in-part or reissue application, to Assignee, its successors and assigns, as an assignee of the entirety of Assignor's interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date(s) provided below.

Assignor: Grit Faulmann

Grit Faulmann 23/05/01
Signature Date

On this 23 day of May 2001, before me personally appeared Grit Faulmann to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS by hand and official seal.

[Signature]

(Signature of Notary)
Kath. Notar Dr. G. Schmitt
60534, Frankfurt am Main
Notar

ASSIGNMENT

This Assignment is made by Dr. Matthias Lohr, residing at Klosterhof Str. 9, Weinheim, Germany, D-69469 to the BOARD OF REGENTS OF THE UNIVERSITY OF NEBRASKA, by and on behalf of the UNIVERSITY OF NEBRASKA MEDICAL CENTER, Assignee, having a place of business at 986099 Nebraska Medical Center, Omaha, Nebraska, 68198-6099, USA.

WHEREAS, Assignor is a joint inventor of a new and useful improvements in "Specific Mucin Expression as a Marker for Pancreatic Cancer" for which an application for United States Letters Patent was filed on December 8, 2000, in the United States Patent and Trademark Office, bearing Serial Number 09/733,444, and identified as UNMC Docket No. 63155.

WHEREAS, Assignor believes that Assignor is one of the original joint inventors of the invention disclosed and claimed in said application for Letters Patent; and

WHEREAS, the parties desire to have a recordable instrument assigning the entirety of Assignor's right, title and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world;

NOW, THEREFORE, in accordance with the obligations to assign the invention and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor sells, assigns, and transfers to Assignee, the entirety of Assignor's right, title, and interest in and to said invention, said application and any Letters Patent that may be granted for said invention in the United States and throughout the world, including the right to file foreign applications directly in the name of the Assignee and to claim for any such foreign applications any priority rights to which such applications are entitled under international conventions, treaties, or otherwise.

Assignor agrees that, upon request and without further compensation, but at no expense to the Assignor, Assignor and Assignor's legal representatives and assigns will do all lawful acts, including the execution of papers and the giving of testimony, that may be necessary or desirable for obtaining, sustaining, reissuing, or enforcing Letters Patent in the United States and throughout the world for said invention, and for perfecting, recording, or maintaining the title of Assignee, its successors and assigns, to said invention, said application, and any Letters Patent granted for said invention in the United States and throughout the world.

Assignor represents and warrants that Assignor has not granted and will not grant to others any rights inconsistent with the rights granted herein.

Assignor authorizes and requests the Director of the United States Patent and Trademark Office and all foreign countries to issue any Letters Patent granted for said invention, whether on said application or on any subsequently filed divisional, continuation,

continuation-in-part or reissue application, to Assignee, its successors and assigns, as an assignee of the entirety of Assignor's interest in said invention.

IN WITNESS WHEREOF, Assignor has executed this Assignment on the date(s) provided below.

Assignor: Matthias Lohr

Matthias Lohr 5/18/01
Signature Date

On this 18th day of May 2001, before me personally appeared Matthias Lohr to me known and known to me to be the person of that name, whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, execute the instrument.

WITNESS by hand and official seal.

Tim Rauer
(Signature of Notary)
Fakultät für Rechtswissenschaften
Universität Heidelberg
Fakultät für Rechtswissenschaften
Fakultät für Rechtswissenschaften
