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08-14-2001



SHEET

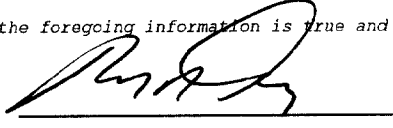
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Our Docket No.: 13374CIP

and Trademarks:

Please record the attached original documents or copy thereof.



1. Name of conveying party(ies): <u>Duncan Rogers</u> Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies) Name: <u>John C. Polanyi</u> Street Address: <u>142 Collier Street</u> City: <u>Toronto</u> state <u>Ontario</u> Zip: <u>M4N 1M3</u> <u>CANADA</u> Additional name(s) & address(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>04/19/1998</u>			
4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is: _____ Title: <u>METHOD OF MOLECULAR-SCALE PATTERN IMPRINTING AT SURFACES</u> A. Patent Application No.(s) <u>09/573,683</u> Filed: <u>05/19/2000</u>   B. Patent No.(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence concerning document should be mailed: Name: <u>Ralph A. Dowell</u> Internal Address: _____ Street Address: <u>Suite 309, 1215 Jefferson Davis Highway Arlington, VA 22202-3124 (703) 415-2555</u>		6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37CFR 3.41).....\$ <u>40.00</u> <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account	
		8. Deposit account number: _____ <small>(Attach duplicate copy of this page if paying by deposit account)</small>	
<small>DO NOT USE THIS SPACE</small>			
9. Statement and signature. <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> <u>Ralph A. Dowell 26,868</u>  <u>08/09/2001</u> <small>Name of Person signing Signature Date</small> Total number of pages including cover sheet, attachments and document: <u>4</u>			

Mail documents to be recorded with required cover sheet information to:  
Commission of Patent & Trademarks, Box Assignments  
Washington, D.C. 20231

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THIS ASSIGNMENT AGREEMENT MADE IN DUPLICATE effective this 19 day of April ,  
1997, BETWEEN:

1998

J.P.R.

JOHN POLANYI, an individual, of 142 Collier Street, Toronto, Ontario, Canada  
M4N 1M3 (hereinafter called "Polanyi")

- and -

DUNCAN ROGERS, an individual of Ste. 303, Palace Happiness, 1-18-5 Sengen,  
Tsukuba, Ibaraki 305, Japan (hereinafter called "Rogers")

WHEREAS Polanyi and Rogers of the University of Toronto (the "University") have created an  
invention (the "Invention" as more fully described below);

AND WHEREAS the Invention is jointly owned by the University, Polanyi and Rogers;

AND WHEREAS under the University's Inventions Policy, the University has assigned its ownership  
rights in the Invention to Polanyi and Rogers in return for 25% of Net Revenue received by Polanyi and  
Rogers in respect of the assignment of the rights to the Invention;

AND WHEREAS Rogers has agreed to assign his rights in the Invention to Polanyi;

NOW THEREFORE THE PARTIES HERETO AGREE AS FOLLOWS;

1. **DEFINITIONS**

- 1.1 "Invention" means the invention known as "**Method of Molecular-Scale Pattern Imprinting at Surfaces**" described in a U.S. Patent Application filed on November 12, 1997.
- 1.2 "University Assignment" means the Assignment attached as Appendix A hereto.
- 1.3 "Net Revenues" means monies received by Polanyi in respect of the assignment or licensing of rights in the Invention, less legal and other fees incurred directly in the process of establishing and maintaining the legal protection of those rights.

2. **ASSIGNMENTS**

- 2.1 Subject to the terms and conditions set out below, Rogers for himself, his successors and assigns hereby sells, assigns and transfers to Polanyi all the right, title and interest which he now has, or may hereafter have, in the Invention including all rights to apply for patents on the Invention in the United States and all other countries of the world, including any and all divisions, continuations, continuation-in-part, re-examinations, renewals, and/or extensions thereof, and all corresponding rights, title and interest in and to any patent which may issue therefrom in the United States and all other countries of the world.
- 2.2 Rogers agrees to execute any applications, transfers, assignments and/or such other documents as Polanyi may consider necessary or desirable from time to time for the

**PATENT**

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purpose of obtaining, maintaining or vesting in and/or assigning to Polanyi absolute title to any patents, copyright, integrated circuit topography, industrial design or trade mark registrations for the Invention; or for the purpose of applying for, prosecuting, obtaining or protecting any such patents, copyright, integrated circuit topography, industrial design or trade mark registrations in any and all parts of the world and Rogers further agrees to cooperate and assist in every way possible in the prosecution and protection of any such applications and the rights granted in respect thereof.

3. **CONSIDERATION**

3.1 In consideration for sale, assignment and transfer of the Invention, Polanyi will pay Rogers a royalty of 20% of the Net Revenues, of which 25% is payable to the University of Toronto pursuant to the University Assignment.

3.2 Payment of royalties owing to Rogers will be made annually, within 30 days following each December 31st.

4. **DIRECTION**

4.1 Rogers hereby directs Polanyi to deduct from the amounts owing to him under paragraph 3.1 above, and to pay directly to the University, the "Assignor's Share of Revenue" (as defined in the University Assignment).

**IN WITNESS WHEREOF** the parties have caused this Agreement to be signed by their duly authorized officers as of the day and date first above written.

Witness:

M. Stranges

Witness:

John Polanyi

Witness:

Hui Jen Huang

Witness:

Duncan Rogers