FORM PTO-1595 (Rev. 6-93) OMB No. 0651- N 011 (exp. 4/94)	2001 3HEET U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office	
	12013	
To the Honorable Commissioner of Patents and Trademarks: F		
Name of conveying party(ies):	2. Name and address of meeting a recorded to the control of the c	
Richard Paul Messmer, Christopher Donald Johnson, Tim Kerry Keyes, William Cree Steward and Marc Thomas Edgar	Name: General Electric Company AUG - 6 2001	
Additional name(s) of conveying party(ies) attached Yes X No	Internal Address:	
08/06/01	Street Address: 1 River Road	
3. Nature of conveyance:		
☐ Assignment ☐ Merger	City: <u>Schenectady</u> State: <u>NY</u> ZIP: <u>12345</u>	
☐ Security Agreement ☐ Change of Name		
☐ Other		
Execution Date: 4/09/01, 3/26/01, 3/23,01, and 3/23/01, 3/27/01 respectively	Additional name(s) & address(es) attached? ☐ Yes [x] No	
4. Application number(s) or patent number(s):		
If this document is being filed together with a new application, the	execution date of the application is:	
A. Patent Application No.(s)	B. Patent No.(s)	
60/173,792; filed 12/30/99 09/737,629; filed 12/15/00		
Additional numbers attach	ed? Yes X No	
5. Name and address of party to whom correspondence concerning document should be mailed:	Total number of applications and patents involved 1	
Name: <u>John S. Beulick</u>	7. Total fee (37 CFR 3.41)\$_\\$_\\$_\\$	
Internal Address: <u>Armstrong Teasdale LLP</u>	☐ Enclosed	
internal / ladi 650. / internal / ladi	☑ Authorized to be charged to deposit account	
Street Address: <u>One Metropolitan Sq. Suite 2600</u>	Deposit account number:	
City: <u>St. Louis</u> State: <u>MO</u> ZIP: <u>63102</u>	<u>01-2384</u>	
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08/13/2001 LMUELLER 00000167 012384 60173792		
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9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.		
John S. Beulick	August 3, 2001 Date	
Name of Person Signing Total number of pages including cover sheet, attachments, and document: Date		
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05-07-2001

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U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office

PATENTS ONLY

101702734		
To the Honorable Commissioner of Patents and Trademarks:	Please record the attached original documents or copy thereof.	
Name of conveying party(ies):	Name and address of receiving party(ies)	
Brian Nathan Dingman, Richard Paul Messmer, Marc Thomas Edgar and Christopher Donald Johnson	Name: General Electric Company	
	Internal Address:	
Additional name(s) of conveying party(ies) attached Yes $\underline{\mathbf{X}}$ No		
	Street Address: One River Road	
3. Nature of conveyance:		
X Assignment	City: Schenectady State: NY ZIP: 12345	
☐ Security Agreement ☐ Change of Name		
☐ Other		
Execution Date: 3/26/01, 4/9/01, 3/27/01, 3/26/01 respectively	Additional name(s) & address(es) attached? Yes X No	
4. Application number(s) or patent number(s):		
If this document is being filed together with a new application, the	execution date of the application is:	
A. Patent Application No.(s)	B. Patent No.(s)	
09/741,211		
<u> </u>		
Additional numbers attack	hed? Yes X No	
Name and address of party to whom correspondence concerning document should be mailed:	Total number of applications and patents involved:	
Name: <u>John S. Beulick, Esq.</u>	7. Total fee (37 CFR 3.41)\$\(\frac{40.00}{2}\)	
Internal Address: Armstrong Teasdale LLP	☐ Enclosed	
	☑ Authorized to be charged to deposit account	
Street Address: One Metropolitan Sq. Suite 2600	8. Deposit account number:	
City: St. Louis State: MO ZIP: 63102		
	(Attach duplicate copy of this page if paying by deposit account)	
05/04/2001 LMUELLER 00000103 012384 09741211	(
01 FC:581 40.00 CH DO NOT USE THIS SPACE		
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Dehart E Stantian	FS 1 30 122 3031	
Robert E. Slenker Name of Person Signing Significant Signing	nature Date	
Total number of pages including cover sheet, attachments, and document.	3	

Mail documents to be recorded with required cover sheet information to:
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WashIngton, D.C. 20231

Attorney's Docket No.

85CF-00114

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, RICHARD PAUL MESSMER of 735 Riverview Road, Rexford. New York 12148, CHRISTOPHER DONALD JOHNSON, of 17 Berkshire Drive West, Clifton Park, New York 12065, TIM KERRY KEYES, of 16 Topledge Road, West Redding, Connecticut 06896, WILLIAM CREE STEWARD of 13 Sycamore Street, Norwalk, Connecticut 06855 and MARC THOMAS EDGAR of 11A Foxfire Lane, Glenmont, New York 12077, sell and assign to General Electric Company, One River Road, Schenectady, New York 12345, a New York Corporation, (hereinafter referred to as "Company") its successors and assigns the entire right, title and interest in and to the improvements in METHODS AND SYSTEMS FOR FINDING VALUE AND REDUCING RISK, invented by us, as described in the United States provisional application filed December 30, 1999, S.N. 60/173,792; as described in the application for the United States patent filed December 15, 2000, S.N. 09/737,629, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States provisional application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and nominees to obtain and enforce proper patent protection for said improvements in all countries.

, 2001	RICHARD PAUL MESSMER
, 2001	CHRISTOPHER DONALD JOHNSON

PATENT REEL: 012055 FRAME: 0595

Attorney's Docket No.

85CF-00114

23 March, 2001	Tim Cary Cays TIM KERRY KEYES
March 23, ,2001	WILLIAM CREE STEWARD
, 2001	MARC THOMAS EDGAR
named RICHARD PAUL MESSMER, to	, 2001, before me personally came the above-me personally known as the individual who executed lged to me that he executed the same of his own free
named CHRISTOPHER DONALD JOHN	, 2001, before me personally came the above- NSON, to me personally known as the individual who acknowledged to me that he executed the same of his Forth.

Attorney's Docket No.

85CF-00114

STATE OF COMMERTICUS County of FAIRFIET day of 13vil, 2001, before me personally came the abovenamed TIM KERRY KEYES, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth. MY COMMISSION EXPIRES NOV. 30, 2001 STATE OF COMNECTICULY

County of FOIRFIELD) ss.

This 23 day of March, 2001, before me personally came the abovenamed WILLIAM CREE STEWARD to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth. NOTARY PUBLIC MY COMMISSION EXPIRES NOV. 30, 2001 STATE OF County of , 2001, before me personally came the above-This day of named MARC THOMAS EDGAR, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Attorney's Docket No.

85CF-00114

In consideration of One Dollar and other good and valuable consideration, of which we acknowledge receipt, we, RICHARD PAUL MESSMER of 735 Riverview Road, Rexford, New York 12148, CHRISTOPHER DONALD JOHNSON, of 17 Berkshire Drive West, Clifton Park, New York 12065, TIM KERRY KEYES, of 16 Topledge Road, West Redding, Connecticut 06896, WILLIAM CREE STEWARD of 13 Sycamore Street, Norwalk, Connecticut 06855 and MARC THOMAS EDGAR of 11A Foxfire Lane, Glenmont, New York 12077, sell and assign to General Electric Company, One River Road, Schenectady, New York 12345, a New York Corporation, (hereinafter referred to as "Company") its successors and assigns the entire right, title and interest in and to the improvements in METHODS AND SYSTEMS FOR FINDING VALUE AND REDUCING RISK, invented by us, as described in the United States provisional application filed December 30, 1999, S.N. 60/173,792; as described in the application for the United States patent filed December 15, 2000, S.N. 09/737,629, and any and all applications for patent and patents therefor in any and all countries, including all divisions, reissues, continuations and extensions thereof, and all rights of priority resulting from the filing of said United States provisional application, and authorize and request any official whose duty it is to issue patents, to issue any patent on said improvements or resulting therefrom to said Company, or its successors or assigns and agree that on request and without further consideration, but at the expense of said Company, we will communicate to said Company or its representatives or nominees any facts known to us respecting said improvements and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid said Company, its successors, assigns, and rominees to obtain and enforce proper patent protection for said improvements in all countries.

9 April ,2001

RICHARD PAUL MESSMER

26 MARCH

. 2001

CHRISTOPHER DONALD JOHNSON

Attorney's Docket No.

85CF-00114

, 2001	TIM KERRY KEYES
, 2001	WILLIAM CREE STEWARD
March 27, 2001	Marc Thomas Edgar MARC THOMAS EDGAR
STATE OF NG) ss. County of Schenectady)	JOANNE A. SMITH Notary Public in the State of New York Qualified in Schenectady Co. No. 01SM5068633 My Commission Expires Nov. 4, 20
named RICHARD PAUL MESSMER, to me	, 2001, before me personally came the above- e personally known as the individual who executed ed to me that he executed the same of his own free

STATE OF //y) ss.
County of Schenetaly)

JOANNE A. SMITH Notary Public in the State of New York Qualified in Schenectady Co. No. 01SM5068633 My Commission Expires Nov. 4, 2002

Danne C

This 24th day of 2724ch, 2001, before me personally came the above-named CHRISTOPHER DONALD JOHNSON, to me personally known as the individual who executed the foregoing assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Janne (Donu

A COLONIA CENTE	Attorney's Docket No. 85CF-00114

STATE OF) as	
County of) ss.)	
named TIM KE foregoing assign	ERRY KEYES, to me	, 2001, before me personally came the above-personally known as the individual who executed the lged to me that he executed the same of his own free
STATE OF		
STATE OF) ss.)	
named WILLIA the foregoing as	M CREE STEWARD	, 2001, before me personally came the above- to me personally known as the individual who executed dedged to me that he executed the same of his own free
STATE OF	NY henectady) ss.	
This <u>2</u> named MARC foregoing assign	7+hday of Marc	, 2001, before me personally came the above- me personally known as the individual who executed the ged to me that he executed the same of his own free will
Notary Public	M. BREEDLOVE in the State of New York in Albany County	Jee M. Pyerle

Notary Public in the State of New York
Residing in Albany County
No. 02BR4940840
My Commission Expires July 25, 20_0_2

RECORDED: 08/06/2001

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