

08-16-2001

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U.S. DEPARTMENT OF COMMERCE
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To the Honorable Commissioner of F

101812598

ne attached original documents or copy thereof.

1. Name of conveying party(ies):

Michael J. O'Brien

George C. Yang

Michael Collins

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: CARRIER CORP.

Internal Address: INTELLECTUAL PROPERTY

DEPT

Street Address: ONE CARRIER PLACE

City: FARMINGTON State: CT ZIP: 06034-4015

Additional name(s) & address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: July 17, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/849,705

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: WILLIAM F. WHITE

Internal Address: CARRIER CORP

M/S 72

Street Address: P O BOX 4800

City: SYRACUSE State: NY ZIP: 13221-4800

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41) \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account

8. Deposit account number:

03-0835

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William F. White

Name of Person Signing

William F. White

Signature

7-31-01

Date

Total number of pages including cover sheet, attachments, and document: 4

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
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(Form PTO--1595--Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 012063 FRAME: 0203

Attachment to Form PTO - 1595
Recordation Form Cover Sheet

Additional Execution Dates

- July 17, 2001
- July 26, 2001

ASSIGNMENT

IN CONSIDERATION of One Dollar (\$1.00) and other good and valuable consideration, receipt of which is hereby acknowledged, We, being the inventors of a certain invention as fully set forth and described in a patent application entitled **CRANK CASE HEATER CONTROL** (Carrier Docket No. 10177)

executed by: Michael J. O'Brien
14109 Raynham Road, Fort Wayne, IN 46814

on this 17th day of July, 2001,

executed by: George C. Yang
residing at: 10722 N. Westlakes Drive, Fort Wayne, IN 46804

on this 17th day of July, 2001,

executed by: Michael Collins
residing at: 316 Mildred Avenue, Syracuse, NY 13206

on this 26th day of July, 2001, being the owners of all right, title and interest in and to the invention described therein, free from all prior assignments, agreements, licenses, mortgages, or other encumbrances whatsoever, and having full right to convey the entire interest both legal and equitable herein assigned, do hereby sell, assign, transfer and set over unto CARRIER CORPORATION, a Delaware corporation, with an office and principal place of business at Carrier World Headquarters Building, One Carrier Place, Farmington, Connecticut 06034-4015, its successors, assigns and legal representatives, the entire right, title and interest in and to said invention and application (including all inventions disclosed therein) in and for all countries, and all divisions, reissues, continuations, and extensions thereof. We hereby authorize and request the Commissioner of Patents to issue the said Letters Patent to said CARRIER CORPORATION, as Assignee.

AND we hereby covenant and agree that we shall at any time, upon request, without further compensation, testify in any interference proceedings, execute all divisional, continuing or reissue applications, make all rightful oaths, and generally execute and deliver any and all papers or instruments that may be necessary or desirable to secure to said

Assignee the full enjoyment of the rights and properties herein conveyed or intended to be conveyed.

IN WITNESS WHEREOF, we have hereunto set our hand and seal.

Michael J. O'Brien (L.S.)
Michael J. O'Brien

Date: 7/17/01

George C. Yang (L.S.)
George C. Yang

Date: 7/17/01

Michael Collins (L.S.)
Michael Collins

Date: 7/26/01

The patent application referred to in the foregoing instrument was filed in the United States Patent and Trademark Office on May 7, 2001, and was assigned Serial Number 09/849,705.

William F. White
Attorney: William F. White
Reg. No.: 25,943

7-31-01
Date