

08-16-2001



Docket No.: 2790

HEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings → → → ▼

101813441

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Thomas B. Sutton  
Susanne M. Roslon  
Mark S. Cole

08/04/01

Additional names(s) of conveying party(ies)

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: ALLERGAN SALES, INC.

Address: 2525 Dupont Drive

11040 U.S. PTO  
29/146325  
08/04/01

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

City: Irvine

State/Prov.: CA

Country: US

ZIP: 92623-9534

Execution Date: 08/02/01

Additional name(s) & address(es)

☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

29/146325

If this document is being filed together with a new application, the execution date of the application is:

Patent Application No.

Filing date

B. Patent No.(s)

08/10/2001 MBELETE1 00000154 29146325

02 FC:581

40.00 DP

Additional numbers

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Walter A. Hackler, Ph.D.

Registration No. 27,792

Address: 2372 S.E. Bristol, Suite B

City: Newport Beach

State/Prov.: CA

Country: US

ZIP: 92660-0755

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

08-0114

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Walter A. Hackler, Ph.D.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and

4

PATENT

REEL: 012064 FRAME: 0617

## ASSIGNMENT

WHEREAS WE, Thomas B. Sutton residing at 66 Streamwood, Irvine  
California 92620 of Orange  
COUNTY, CALIFORNIA and Susanne M. Roslon  
residing at 33951 El Encanto Avenue, Dana Point  
92629 of Orange  
COUNTY, CALIFORNIA and Mark S. Cole  
residing at 21251 Hillgate Circle  
Trabuco Canyon, California 92679 of Orange  
COUNTY, CALIFORNIA and  
residing at  
of  
COUNTY, CALIFORNIA (hereinafter referred to as ASSIGNS), have invented  
and own a certain invention entitled: MANIFOLD FOR PHACO MACHINE

for which application for Letter Patent of the United States has been executed on even date herewith.

WHEREAS: Allergan Sales, Inc., having its principal place of business at 2525 Dupont, Irvine, CA 92612 (hereinafter referred to as ASSIGNEE), is desirous of acquiring the entire interest in, to and under said invention and in, to and under Letters Patent or similar legal protection to be obtained therefor in the United States and in any and all foreign countries.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that in consideration of the payment by ASSIGNEE TO ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration, ASSIGNOR hereby sells, assigns and transfers to ASSIGNEE the full and exclusive right, title and interest to said invention in the United States and its territorial possessions and in all foreign countries to all Letters Patent or similar legal protection in the United States and its territorial possessions and in any and all foreign countries to be obtained for said invention by said application or any continuation, divisional, renewal, substitute or reissue thereof or any legal equivalent thereof in a foreign country for the full term or terms for which the same may be granted.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment and sale;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said application, said invention and said Letters Patent and legal equivalents in foreign countries as may be known and accessible to ASSIGNOR and will testify as to the same in any interference or litigation related thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalent thereof in any foreign country which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal this  
8/2 2001

Thomas B. Sutton  
 Thomas B. Sutton

State of California )  
 County of Orange ) ss:  
 )

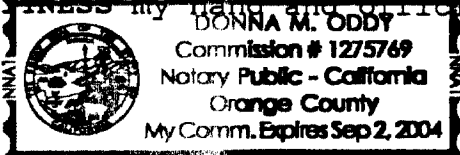
On 8/2/01 before me, Donna M. Oddy

Personally appeared

Thomas B. Sutton

Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.

WITNESS my hand and official seal.



Donna M. Oddy  
 Notary Public

IN WITNESS WHEREOF, We have hereunto set hand and seal this  
August 2 2001

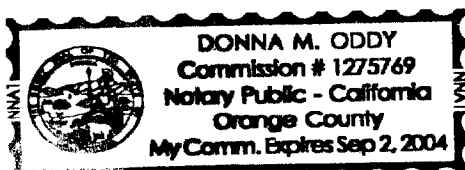
Susanne M. Roslon  
 Susanne M. Roslon

State of California )  
 County of Orange ) ss:  
 )

On 8/2/01 before me, Donna M. Oddy  
 Personally appeared

Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.

WITNESS my hand and official seal.



Donna M. Oddy  
 Notary Public

IN WITNESS WHEREOF, We have hereunto set hand and seal this  
AUG 2 2001



Mark S. Cole

State of California )  
 ) ss:  
 County of Orange )

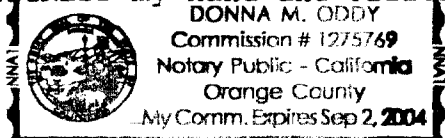
On 8/2/01 before me, Donna M. Oddy

Personally appeared

Mark S. Cole

~~Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.~~

WITNESS my hand and official seal.



Donna M. Oddy  
 Notary Public

IN WITNESS WHEREOF, We have hereunto set hand and seal this  
 20

State of \_\_\_\_\_ )  
 ) ss:  
 County of \_\_\_\_\_ )

On \_\_\_\_\_ before me, \_\_\_\_\_  
 Personally appeared

~~Personally known to me (or proved to me on the basis of satisfactory evidence) to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she executed the same in his/her authorized capacity, and that by his/her signature(s) on the instrument the person, or the entity upon behalf of which there person(s) acted, executed the instruments.~~

WITNESS my hand and official seal.

\_\_\_\_\_  
 Notary Public