1 MAI 110-1333	6-2001 T U.S. DEPARTMENT OF COMMERCE
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1. Name of conveying party(ies):  Lawrence D. Bergman, Vittorio Castelli and Chung-Sheng Li  Additional name(s) of conveying party(ies) attached?  Yes X No	2. Name and address of receiving party(ies)  Name: International Business Machines Corporation  Internal Address:
3. Nature of conveyance:  X Assignment Merger  Security Agreement Change of Name  Other  Execution Dates: August 3, 2001, August 2, 2001  and August 3, 2001	Street Address: New Orchard Road  City: Armonk State: NY ZIP: 10504  Additional name(s) & address(es) attached? _ Yes X No T
4. Application number(s) or patent number(s):  If this document is being filed together with a new application, the execution date of the application is:  August 3, 2001, August 2, 2001 and August 3, 2001  A. Patent Application No.(s)  B. Patent No.(s)  Additional numbers attached?Yes _X_ No	
5. Name and address of party to whom correspondence concerning document should be mailed: Name: William E. Lewis Internal Address: Ryan, Mason & Lewis, LLP Street Address: 90 Forest Avenue  City: Locust Valley State: NY ZIP: 11560	<ul> <li>6. Total number of applications and patents involved:1</li> <li>7. Total Fee (37 CFR 3.41) \$ 40.00 Enclosed Authorized to be charged to deposit account</li> <li>8. Deposit account number 50-0510</li> </ul>
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9. Statement and signature.  To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.  William E. Lewis  Reg. No. 39,274  Signature  Total number of pages including cover sheet, attachments, and occument: 3	
8) 15/2001 HAMPE 1 00000005 500510 ver oper information to:  Commissioner of Pat  Box Ass	burden estimate to the U.S. Patent and Trademark Office, Office of Information Systems,

## **ASSIGNMENT**

Whereas, we

Inventor and City

(1) Lawrence D. Bergman of Mount Kisco

County of Westchester and State of New York

and (2) **Vittorio Castelli** of Croton-on-Hudson County of Westchester and State of New York

and (3) **Chung-Sheng Li** of Ossining

County of Westchester and State of New York

have invented certain improvements in

TITLE METHODS AND APPARATUS FOR INDEXING DATA IN A DATABASE AND FOR RETRIEVING DATA FROM A DATABASE IN ACCORDANCE WITH QUERIES

USING EXAMPLE SETS

and executed a United States patent application therefor on

DATE THAT (1) Any 3, 2001 and (2) Any 2, 2001 and (3) Ary 3, 2001. INVENTORS
SIGNED THE
DECLARATION

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at New Orchard Road, Armonk, New York 10504, (hereinafter called IBM) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Page 1 of 2

PATENT REEL: 012065 FRAME: 0324

Attorney Docket No. YOR920000742US1
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**CITY DATE**  Signed

(1) at Hawthorne, NY

**SIGNATURE** 

awrence D. Bergman) INVENTOR MIDDLE INITIAL FIRSTXIAME LAST NAME

CITY and

**DATE** 

Signed (2) at Yorktown Heights, NY

SIGNATURE

on Aug 2, 2001,

(Vittorio Castelli) INVENTOR FIRST NAME

MIDDLE INITIAL

LAST NAME

**CITY** and **DATE** 

Signed (3) at Hawthorne, NY

**RECORDED: 08/07/2001** 

(Chung-Sheng'Li) INVENTOR

**SIGNATURE** 

FIRST NAME MIDDLE INITIAL LAST NAME

Ryan, Mason & Lewis, LLP 90 Forest Avenue Locust Valley, New York 11560 (516) 759-2722

> Page 2 of 2 PATENT

**REEL: 012065 FRAME: 0325**