

08-17-2001

Form P. O-1595

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U.S. DEPARTMENT OF COMMERCE

(Rev. 6/93)

ON, No. 0651-0011 (exp. 4/94)



Patent And Trademark Office

To the Honorable Commissioner of Patents and Trademarks

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copy thereof.

1. Name of conveying party(ies):

1. Li Deng
2. Yonggang Chen
3. Shikai Tian

8.13.01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Execution Date: 1. August 7, 2001

2. August 7, 2001

3. August 7, 2001

2. Name and address of receiving party(ies):

Name: Brandeis University

Internal Address: _____

Street Address: 415 South StreetCity: Waltham State: MA Zip 02454-9110Additional name(s) & addresses attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the filing date of the application is: _____

A. Patent Application No.(s) 09/825,167

B. Patent No.(s): _____

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Foley HoagInternal Address: Patent GroupStreet Address: One Post Office SquareCity: Boston State: MA ZIP 02109

6. Total number of applications and patents involved (1)

7. Total Fee (37 CFR 3.14) \$ 40.00☒ Enclosed☐ Authorized to be charged to _____ deposit account

8. Deposit account number:

06-1448, Ref.

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Dana M. Gordon, Ph.D., J.D. - 44,719

Name of Person Signing and Reg. No.

Signature

8/10/01

Date

Total number of pages including cover sheet, attachments, and document: (3)

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments

Washington, D.C. 20231

ASSIGNMENT

We, Li Deng of Waltham, MA, Yonggang Chen of Waltham, MA and Shikai Tian of Waltham, MA for valuable consideration received from Brandeis University, a corporation of the state of Massachusetts, having its principal place of business at 415 South Street, Waltham, MA 02454-9110, the receipt of which is hereby acknowledged, do hereby sell, assign and transfer unto said

BRANDEIS UNIVERSITY

its successors and assigns, the entire interest for the United States of America and all foreign countries including all rights of priority under the International Convention for the Protection of Industrial Property in a certain invention or improvement in

"Catalytic Asymmetric Desymmetrization of Meso Compounds"

described in application Serial No. 09/825,167 filed on April 3, 2001 in the United States Patent and Trademark Office, and in all Letters Patent of the United States and all foreign countries which may or shall be granted on said invention, or any parts thereof, or on said application, or any divisional, continuing, reissue or other applications based in whole or in part thereon. And we agree, for ourselves and our executors and administrators, with said corporation and its successors and assigns but at its or their expense and charges, hereafter to execute all applications, amended specifications, deed or other instrument, and to do all acts necessary or proper to secure the grant of Letters Patent in the United States and in all other countries to said corporation, with specifications and claims in such form as shall be approved by the counsel of said corporation and to vest and confirm in said corporation, its successors and assigns, the legal title to all such patents.

And we do hereby authorize and request the Commissioner of Patents and Trademarks of the United States to issue such Letters Patent as shall be granted upon said application or applications based thereon to said corporation, its successors and assigns.

WITNESS my hand this 7th day of August, 2001.

By: 

Li Deng

Then personally appeared the above-named Li Deng and acknowledged the foregoing instrument to be his free act and deed, before me, this 7th day of August, 2001.


Sherif M. Greenberg, Ph.D.
Director

Office of Intellectual Property
and Technology Transfer

U.S. SERIAL NUMBER: 09/825,167

WITNESS my hand this 7th day of August, 2001.

By: Yonggang Chen
Yonggang Chen

Then personally appeared the above-named Yonggang Chen and acknowledged the foregoing instrument to be his free act and deed, before me, this 7th day of August, 2001.

Sheryl M Greenberg
Sheryl M Greenberg, Ph.D.
Director

Office of Intellectual Property
Witness Name (please print)
and Technology Transfer

WITNESS my hand this 7th day of August, 2001.

By: Shikai Tian
Shikai Tian

Then personally appeared the above-named Shikai Tian and acknowledged the foregoing instrument to be his free act and deed, before me, this 7th day of August, 2001.

Sheryl M Greenberg
Sheryl M Greenberg, Ph.D.
Director

Office of Intellectual Property
Witness Name (please print)
and Technology Transfer