

Tab settings ▢ ▢ ▢ ▢ ▼



▼ ▼ ▼

To the Honorable Commissioner of

Attached original documents or copy thereof.

101816524

1. Name of conveying party(ies):

- 1) Lukas Howald
- 2) Loris Scandella
- 3) Urs Stauffer
- 4) Terunobu Akiyama

08/15/01

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and address of receiving party(ies)

Name: NANOSURF AG

Internal Address: Grammetstrasse 14,
CH-4410 Liestal, Switzerland

Street Address: _____ 15 _____

City: _____ State: _____ ZIP: _____

Additional name(s) & address(es) attached? Yes No

3. Nature of conveyance:

- Assignment Merger
- Security Agreement Change of Name
- Other _____

Execution Date: June 8, 2001 et seq.

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/873,664

B. Patent No.(s)

Additional numbers attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Robert H. Bachman

Internal Address: Bachman & LaPointe, P.C.
900 Chapel Street, Suite 1201,
New Haven, CT 06510-2802

Street Address: _____

City: _____ State: _____ ZIP: _____

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41).....\$ 40.00

- Enclosed
- Authorized to be charged to deposit account

8. Deposit account number:

02-0184

(Attach duplicate copy of this page if paying by deposit account)

08/17/2001 LNUELLER 00000240 09873664

DO NOT USE THIS SPACE

0 FC:581

40.00 0P

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Robert H. Bachman
Name of Person Signing

Signature

August 13, 2001

Date

Total number of pages including cover sheet, attachments, and document:

2

Mail documents to be recorded with required cover sheet information to:

Commissioner of Patents & Trademarks, Box Assignments PATENT
Washington, D.C. 20231

REEL: 012072 FRAME: 0423

Assignment

In consideration of One Dollar (\$1.00), and other good and valuable consideration, the receipt of which is hereby acknowledged, WE, the undersigned, LUKAS HOWALD, LORIS SCANDELLA, URS STAUFER and TERUNOBU AKIYAMA

Hereby sell, assign and transfer to NANOSURF AG

a corporation of SWITZERLAND having a place of business at Grammetstrasse 14, CH-4410 Liestal, Switzerland, its successors, assigns and legal representatives, the entire right, title and interest for the United States and all foreign countries, in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith and is entitled SCANNING TIP AND PROCESS FOR ITS PRODUCTION AND USE, PARTICULARLY FOR A SCANNING PROBE MICROSCOPE USSN 09/873,664 - Filed 6-4-01, and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said improvements;

Agree that said Assignee may apply for and receive Letters Patent for said improvements in its own name; and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns and legal representatives, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns and legal representatives; and

Covenant with said Assignee, its successors, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date 8.6.2001
Date 8.6.2001
Date 13.6.2001
Date 13.6.2001

Lukas Howald (L.S.)
Lukas Howald (L.S.)
Loris Scandella (L.S.)
Urs Stauffer (L.S.)
Terunobu Akiyama (L.S.)