

08-20-2001

Recordation Form Cover Sheet
Patents Only



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To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Submission Type

- ☐ New
☒ Resubmission (Non-Recordation)
Document ID # 101720849
☐ Correction of PTO Error
Reel # Frame #
☐ Corrective Document
Reel # Frame #

2. Conveyance Type:

- ☒ Assignment ☐ License ☐ Security Agreement
☐ Other ☐ Change of Name ☐ Merger

3. Conveying party(ies):

(1) Bradley A. Ozenberger; (2) Jonathan A. Bard; (3) Eileen M. Kajkowski; (4) Jack S. Jacobsen; (5) Stephen G. Walker; (6) Heidi Sofia; (7) David Howland

Additional name(s) of conveying party(ies) attached:

- ☐ Yes
☒ No

Execution Date: 1-4, 7: February 15, 2001; 5: March 1, 2001; 6: February 28, 2001

4. Receiving party(ies):

American Home Products Corporation
Five Giralda Farms
Madison, New Jersey 07940-0874

Additional name(s) and address(es) attached:

- ☐ Yes
☒ No

5. Correspondent address:

Gavin T. Bogle
American Home Products Corporation
Patent Law Department
Five Giralda Farms
Madison, NJ 07940-0874
Tel. No. (617) 665-8079

6. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application Number(s): 09/852,100

B. Patent Number(s):

C. Patent Cooperation Treaty (PCT) Application Number(s) (only if a U.S. Application Number has not been assigned):

Additional numbers attached:

☐ Yes

☒ No

7. Pages: Enter the total number of pages of the attached conveyance document including any attachments: 12

8. Total number of properties involved: 1

9. Total Fee (37 CFR 3.41): \$40.00

☐ Enclosed

☒ Authorized to be charged to deposit account.

10. Deposit Account Number: 01-1425

Authorization is given to charge any additional fees to deposit account.

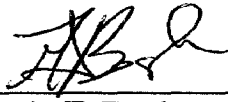
(Attach duplicate copy of this page if paying by deposit account).

11. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

8/14/01

Date



Gavin T. Bogle
Attorney for Applicants
See Attached Certificate

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or ☐ U.S. Patent

Assignment of Invention

In consideration of the payment by ASSIGNEE to ASSIGNOR of good and valuable consideration, the receipt of which is hereby acknowledged,
ASSIGNOR:

-
- (1) Full Name of Inventor: Bradley A. Ozenberger
Residence: Newtown, Pennsylvania, Bucks County
Citizenship: USA
-
- (2) Full Name of Inventor: Jonathan A. Bard
Residence: Doylestown, Pennsylvania, Bucks County
Citizenship: USA
-
- (3) Full Name of Inventor: Eileen M Kajkowski
Residence: Ringoes, New Jersey, Hunterdon County
Citizenship: USA
-
- (4) Full Name of Inventor: Jack S. Jacobsen
Residence: Ramsey, New Jersey, Bergen County
Citizenship: USA
-
- (5) Full Name of Inventor: Stephen G. Walker
Residence: East Windsor, New Jersey
Citizenship: USA
-
- (6) Full Name of Inventor: Heidi Sofia, Ph.D.
Residence: Walla Walla, Washington
Citizenship: USA
-
- (7) Full Name of Inventor: David Howland
Residence: Yardley, Pennsylvania
Citizenship: USA

hereby sells, assigns and transfers to ASSIGNEE:

American Home Products Corporation
Five Giralda Farms
Madison, New Jersey 07940-0874

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

β -Amyloid Peptide-Binding Proteins and Polynucleotides Encoding the Same

and invented by ASSIGNOR and the following additional inventors, if any:

and which is found in

[check and complete (a), (b), (c), or (d)]

- (a) ☒ U.S. patent application executed on even date herewith.
(b) ☐ U.S. patent application executed on:
(c) ☐ U.S. application Serial No. filed on:
(d) ☐ U.S. Patent No. issued on:

[also check (e) if foreign application(s) is(are) also being assigned]

(e) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority, including any and all improvements disclosed therein, and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

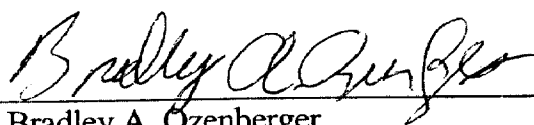
ASSIGNOR authorizes ASSIGNEE to make applications for and to receive Letters patent for said invention in any of said countries in its own name, or in ASSIGNOR's name, at its election.

ASSIGNOR covenants and agrees to execute or procure any further necessary assurance of the title to said invention and any Letters Patent which may issue therefor and to, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in any interference, litigation or proceeding related thereto and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns or other legal representatives, and that to, at any time, upon the request and at the expense of ASSIGNEE execute any continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to Letters Patent and reissue or re-examination thereof, or any other

the expense of ASSIGNEE execute any continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to Letters Patent and reissue or re-examination thereof, or any other additional applications of Letters Patent for said invention or any part thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

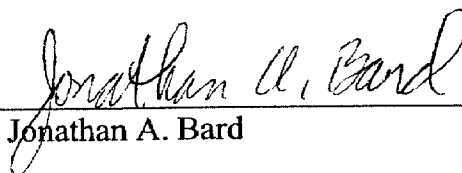
ASSIGNOR authorizes and requests the Commissioner of Patents to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid applications to said American Home Products, as its ASSIGNEE.

WITNESS my hand and seal this 15Th day of Feb, 2001.



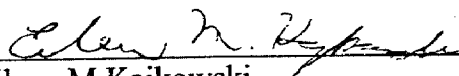
Bradley A. Ozenberger

L.S.



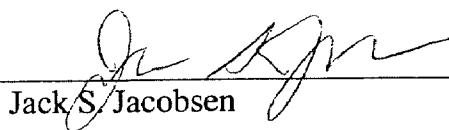
Jonathan A. Bard

L.S.



Eileen M Kajkowski

L.S.



Jack S. Jacobsen

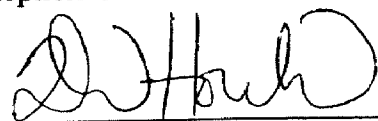
L.S.

Stephen G. Walker

L.S.

Heidi Sofia, Ph.D.

L.S.



David Howland

L.S.

Acknowledgement

State of New Jersey }
County of Middlesex } SS:

On the 15th day of Feb 2001, personally appeared before me Bradley A. Ozenberger, Jonathan A. Bard, Eileen M. Kajkowski, Jack S. Jacobsen, and David Howland, known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Dolores E. Wemmer
Notary Public

DOLORES E. WEMMER
NOTARY PUBLIC OF NEW JERSEY
My Commission Expires May 28, 2001

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or ☐ U.S. Patent

Assignment of Invention

In consideration of the payment by ASSIGNEE to ASSIGNOR of good and valuable consideration, the receipt of which is hereby acknowledged,
ASSIGNOR:

-
- (1) Full Name of Inventor: Bradley A. Ozenberger
Residence: Newtown, Pennsylvania, Bucks County
Citizenship: USA
-
- (2) Full Name of Inventor: Jonathan A. Bard
Residence: Doylestown, Pennsylvania, Bucks County
Citizenship: USA
-
- (3) Full Name of Inventor: Eileen M Kajkowski
Residence: Ringoes, New Jersey, Hunterdon County
Citizenship: USA
-
- (4) Full Name of Inventor: Jack S. Jacobsen
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- (6) Full Name of Inventor: Heidi Sofia, Ph.D.
Residence: Walla Walla, Washington
Citizenship: USA
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- (7) Full Name of Inventor: David Howland
Residence: Yardley, Pennsylvania
Citizenship: USA

hereby sells, assigns and transfers to ASSIGNEE:

American Home Products Corporation
Five Giralda Farms
Madison, New Jersey 07940-0874

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

β -Amyloid Peptide-Binding Proteins and Polynucleotides Encoding the Same

and invented by ASSIGNOR and the following additional inventors, if any:

and which is found in

[check and complete (a), (b), (c), or (d)]

- (a) ☒ U.S. patent application executed on even date herewith.
(b) ☐ U.S. patent application executed on:
(c) ☐ U.S. application Serial No. filed on:
(d) ☐ U.S. Patent No. issued on:

[also check (e) if foreign application(s) is(are) also being assigned]

(e) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority, including any and all improvements disclosed therein, and in and to all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to Letters Patent any reissue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR authorizes ASSIGNEE to make applications for and to receive Letters patent for said invention in any of said countries in its own name, or in ASSIGNOR's name, at its election.

ASSIGNOR covenants and agrees to execute or procure any further necessary assurance of the title to said invention and any Letters Patent which may issue therefor and to, at any time, upon the request and at the expense of ASSIGNEE deliver any testimony in any interference, litigation or proceeding related thereto and execute all papers that may be necessary or desirable to perfect the title to said invention or any Letters Patent which may be granted therefor in ASSIGNEE, its successors, assigns or other legal representatives, and that to, at any time, upon the request and at the expense of ASSIGNEE execute any continuation, continuation-in-part, divisional, renewal or substitute thereof, and as to Letters Patent and reissue or re-examination thereof, or any other

additional applications of Letters Patent for said invention or any part thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

ASSIGNOR authorizes and requests the Commissioner of Patents to issue any and all Letters Patent of the United States for said invention, resulting from any of the aforesaid applications to said American Home Products, as its ASSIGNEE.

WITNESS my hand and seal this day of , 2001.

Bradley A. Ozenberger L.S.

Jonathan A. Bard L.S.

Eileen M Kajkowski L.S.

Jack S. Jacobsen L.S.

Stephen G. Walker L.S.

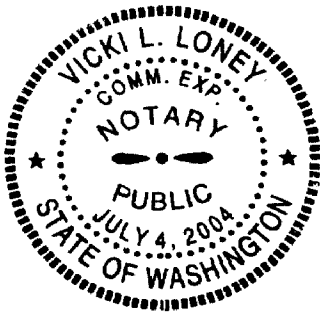
Heidi Sofia
Heidi Sofia, Ph.D. L.S.

David Howland L.S.

Acknowledgement

State of Washington }
County of Walla Walla } ss:

On the 28 day of Feb 2001, personally appeared before me Heidi Sofia, Ph.D., and, known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.



Vicki L. Loney
Notary Public

For: ☒ U.S. and/or ☒ Foreign Rights
For: ☒ U.S. Application or ☐ U.S. Patent

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Residence: Newtown, Pennsylvania, Bucks County
Citizenship: USA
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Citizenship: USA
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- (6) Full Name of Inventor: Heidi Sofia, Ph.D.
Residence: Walla Walla, Washington
Citizenship: USA
-
- (7) Full Name of Inventor: David Howland
Residence: Yardley, Pennsylvania
Citizenship: USA

State of New Jersey, County of Mercer
On March 1, 2001 Stephen G. Walker
personally appeared before me.

Stephen Glenn Walker
Melinda Simpson
NOTARY PUBLIC - NEW JERSEY
My Commission Expires Aug 10, 2005

hereby sells, assigns and transfers to ASSIGNEE:

American Home Products Corporation
Five Giralda Farms
Madison, New Jersey 07940-0874

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

β -Amyloid Peptide-Binding Proteins and Polynucleotides Encoding the Same

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and which is found in

[check and complete (a), (b), (c), or (d)]

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(b) ☐ U.S. patent application executed on:
(c) ☐ U.S. application Serial No. filed on:
(d) ☐ U.S. Patent No. issued on:

[also check (e) if foreign application(s) is(are) also being assigned]

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additional applications of Letters Patent for said invention or any part thereof, all of which applications and any Letters Patent issuing thereon are hereby assigned to ASSIGNEE, and will make all rightful oaths or declarations, and do all lawful acts requisite for procuring the same therein, without further compensation, but at the expense of ASSIGNEE, its successors, assigns or other legal representatives.

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WITNESS my hand and seal this day of , 2001.

Bradley A. Ozenberger L.S.

Jonathan A. Bard L.S.

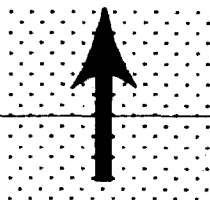
Eileen M Kajkowski L.S.

Jack S. Jacobsen L.S.

Stephen G. Walker L.S.

Heidi Sofia, Ph.D. L.S.

David Howland L.S.



**HERE
SIGN**

Acknowledgement

State of _____ }
County of _____ } ss:

On the _____ day of _____ 2001, personally appeared before me Stephen G. Walker, and known by me to be the same person described in and who executed the foregoing instrument, and acknowledged that he executed the same, of his own free will and for the purposes set forth.

Notary Public

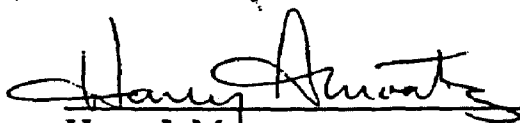
**BEFORE THE OFFICE OF ENROLLMENT AND DISCIPLINE
UNITED STATE PATENT AND TRADEMARK OFFICE**

LIMITED RECOGNITION UNDER 37 CFR § 10.9(b)

Gavin Bogle is hereby given limited recognition under 37 CFR §10.9(b) as an employee of Wyeth-Ayerst, to prepare and prosecute patent applications wherein the assignee of record of the entire interest is American Home Products Corporation, Wyeth-Ayerst Laboratories, Wyeth-Ayerst International, Inc., Wyeth-Ayerst Research, or Genetics Institute. This limited recognition shall expire on the date appearing below, or when whichever of the following events first occurs prior to the date appearing below: (i) Gavin Bogle ceases to lawfully reside in the United States, (ii) Gavin Bogle's employment with Wyeth-Ayerst ceases or is terminated, or (iii) Gavin Bogle ceases to remain or reside in the United States on a TN visa.

This document constitutes proof of such recognition. The original of this document is on file in the Office of Enrollment and Discipline of the U.S. Patent and Trademark Office.

Expires: September 24, 2001



Harry I. Moatz

Director of Enrollment and Discipline