

08-21-2001



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To the Honorable Commissioner of Patent

Attached original documents or copy thereof.

1. Name of conveying party(ies):

JAMES V. CRIVELLO

2. Name and address of receiving party(ies):

Name: **RENSSELAER POLYTECHNIC INSTITUTE**Address: **Office of Technology Commercialization****J Building, 1223 People's Avenue**Additional names(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ OtherCity: **Troy** State/Prov.: **NY**Country: **USA** ZIP: **12180**Execution Date: **07/30/2001**Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: **07/30/2001**

Patent Application No.

Filing date

B. Patent No.(s)

09/826,330**04/04/2001**Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **MARY LOUISE GIOENI, ESQ.**Registration No. **41,779**Address: **HESLIN & ROTHENBERG, P.C.****5 COLUMBIA CIRCLE**City: **ALBANY** State/Prov.: **NY**Country: **USA** ZIP: **12203**

6. Total number of applications and patents involved:

17. Total fee (37 CFR 3.41):.....\$ **40.00**☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account☐ Authorized to be charged to deposit account

8. Deposit account number:

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9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.***MARY LOUISE GIOENI, ESQ.**

Name of Person Signing

Signature

AUGUST 10, 2001

Date

Total number of pages including cover sheet, attachments, and document:

2**PATENT**

ASSIGNMENT

WHEREAS, I, **JAMES V. CRIVELLO**, a citizen of the United States, residing at 756 Carlton Road, Clifton Park, New York 12065, have invented certain new and useful improvements in **OLIGOMERIC AND POLYMERIC PHOTSENSITIZERS** for which I have executed an application for Letters Patent of the United States, of even date herewith ; and

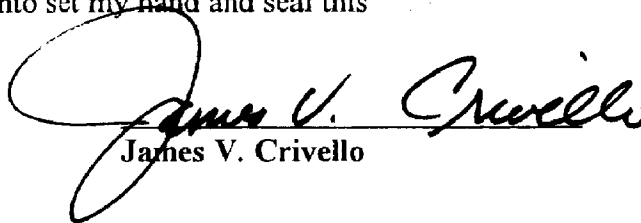
WHEREAS, **Rensselaer Polytechnic Institute** having offices at 110 8th Street, Troy, New York 12180, is desirous of obtaining the entire right, title and interest in, to and under the said improvements and the said application;

NOW, THEREFORE, in consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I the said **James V. Crivello**, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said **Rensselaer Polytechnic Institute**, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said improvements, and the said application and all divisions, renewals and continuations thereof, and all Letters Patent which may be granted thereon and all reissues and extensions thereof, and all applications for Letters Patent which may hereafter be filed for said improvements in any country or countries foreign to the United States, and all Letters Patent which may be granted for said improvements in any country or countries foreign to the United States and all extensions, renewals and reissues thereof, and We hereby authorize and request the Commissioner of Patents of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents on applications as aforesaid, to issue all Letters Patent for said improvements to the said **Rensselaer Polytechnic Institute**, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND I HEREBY covenant that We have full right to convey the entire interest herein assigned, and that I have not executed, and will not execute, any agreement in conflict herewith.

AND I HEREBY further covenant and agree that I will communicate to the said **Rensselaer Polytechnic Institute**, its successors, legal representatives and assigns, any facts known to us respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths and generally do everything possible to aid the said **Rensselaer Polytechnic Institute**, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal this
30 day of July, 2001.


James V. Crivello

STATE OF)

) ss.:

COUNTY OF)

30TH

July

On this 30 day of July, 2001, before me personally came **James V. Crivello**, to me known and known to me to be the person of that name, who signed and sealed the foregoing instrument, and he acknowledged the same to be his free act and deed.


Notary Public

JILL C. KEYES
Notary Public, State of New York
Qualified in Rensselaer County
Commission Expires March 30, 2003
Reg. #4613010 MAY 31, 2003

PATENT

REEL: 012077 FRAME: 0105