

08-21-2001

RECORDA



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101817648

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

Submission Type

- New
- Resubmission (Non-Recordation)
Document ID#
- Correction of PTO Error
Reel # Frame #
- Corrective Document
Reel # Frame #

08/13/01

Conveyance Type

- Assignment
- License
- Merger
- Security Agreement
- Change of Name
- Other

U.S. Government
(For Use ONLY by U.S. Government Agencies)

AUG 13 2001

- Departmental File
- Secret File

Conveying Party(ies)

(X) Mark if additional names of conveying parties attached

Name (line 1) C. Alexander Turner, Jr.

Name (line 2)

Execution Date
Month Day Year
07 10 01

Second Party

Name (line 1) Brian Mathur

Name (line 2)

Execution Date
Month Day Year
05 31 01

Receiving Party

() Mark if additional names of receiving parties attached

Name (line 1) Lexicon Genetics Incorporated

Name (line 2)

Address (line 1) 4000 Research Forest Drive

Address (line 2)

Address (line 3) The Woodlands,
city

TX/US
State/Country

77381
Zip Code

() If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

08/21/2001 GTDM11 00000030 500892 09765069
01 FC:581 40.00 CH

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Mail documents to be recorded with required cover sheet(s) information to:
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

PATENT
REEL: 012077 FRAME: 0412

PATENTS ONLY

Conveying Party(ies)

() Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) Glenn Friedrich

Execution Date
Month Day Year
06 08 01

Name (line 2)

Name (line 1) Brian Zambrowicz

Execution Date
Month Day Year
06 20 01

Name (line 2)

Name (line 1) Arthur T. Sands

Execution Date
Month Day Year
06 21 01

Name (line 2)

Receiving Party

() Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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() If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

() Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

Correspondent Name and Address Area Code and Telephone Number (281) 863-3333

Name Lance K. Ishimoto

Address (line 1) Lexicon Genetics Incorporated

Address (line 2) 4000 Research Forest Drive

Address (line 3) The Woodlands, TX 77381

Address (line 4)

Pages Enter the total number of pages of the attached conveyance document including any attachments. # 6

Application Number(s) or Patent Number(s) () Mark if additional numbers attached
Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)
09/765,069

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor. Month Day Year

Patent Cooperation Treat (PCT)

Enter PCT application number only if a U.S. Application Number has not been assigned.

PCT
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PCT
PCT

PCT
PCT

Number of Properties Enter the total number of properties involved. # 1

Fee Amount Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:

Enclosed ()

Deposit Account (X)

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number: # 50-0892

Authorization to charge additional fees: Yes (X) No ()

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Lance K. Ishimoto

Name of Person Signing

Reg. No. 41,866


Signature

08/08/01

Date

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

We, the undersigned (each) have agreed and hereby agree to assign for good and valuable consideration, receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto

Lexicon Genetics Incorporated

a corporation organized under the laws of the state of as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

Novel Human GABA Receptor Proteins and Polynucleotides Encoding the Same

described in an application for Letters Patent of the United States, identified as Attorney Docket No. LEX-0123-USA, at Lexicon Genetics Incorporated 4000 Research Forest Drive, The Woodlands, TX 77381, and filed on 1/18/2001, as Application Serial No. 09/765,069, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. We request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

We hereby authorize Lance K. Ishimoto, attorney for Assignee, to insert the filing date and Serial number into the first paragraph of this assignment, after the application for Letters Patent has been filed, and the U.S. Patent Office has assigned such application a Serial Number.

We covenant with said Assignee, its successors, assigns and legal representatives, that the rights and property herein conveyed are free and clear of any encumbrance, and that we have full right to convey the same as herein expressed.

IN WITNESS WHEREOF, we have hereunto signed our name(s) on the day and year set forth below.

C. Alexander Turner, Jr. (signature)

7-10-01 DATE

C. Alexander Turner, Jr. (Inventor's Typed Name)

STATE OF TEXAS)) SS COUNTY OF MONTGOMERY)

On this 10th day of July, 2001, before me personally came the above named C. Alexander Turner, Jr, who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

Michelle Klein NOTARY PUBLIC My Commission Expires: 2/13/05



Brian Mathur
Inventor (signature)

5/31/01
DATE

Brian Mathur
(Inventor's Typed Name)

STATE OF TEXAS)
) SS
COUNTY OF MONTGOMERY)

On this _____ day of _____, _____, before me personally came the above named Brian Mathur who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



Nichelle Klein
NOTARY PUBLIC
My Commission Expires: 2/13/05

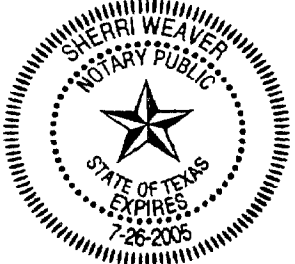
Glenn Friedrich
Inventor (signature)

6/8/01
DATE

Glenn Friedrich
(Inventor's Typed Name)

STATE OF Texas)
) SS
COUNTY OF Harris)

On this 8th day of June, 2001, before me personally came the above named Glenn Friedrich who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



Sherri Weaver
NOTARY PUBLIC
My Commission Expires: 7/26/05

Brian Zambrowicz
Inventor (signature)

6/20/01
DATE

Brian Zambrowicz
(Inventor's Typed Name)

STATE OF TEXAS)
) SS
COUNTY OF MONTGOMERY)

On this 20th day of June, 2001, before me personally came the above named Brian Zambrowicz who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



Nichelle Klein
NOTARY PUBLIC
My Commission Expires: 2/13/05

Arthur T. Sands 6/21/01

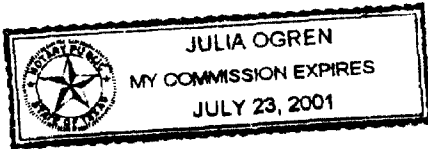
Inventor (signature)

DATE

Arthur T. Sands
(Inventor's Typed Name)

STATE OF TEXAS)
) SS
COUNTY OF MONTGOMERY)

On this 21st day of June, 2001, before me personally came the above named Arthur T. Sands who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.



Julia Ogren
NOTARY PUBLIC

My Commission Expires: 7/23/01

ASSIGNMENT OF PATENT APPLICATION

FOR GOOD AND VALUABLE CONSIDERATION,

We, the undersigned (each) have agreed and hereby agree to assign for good and valuable consideration, receipt of which is hereby expressly acknowledged, and do hereby sell, assign and transfer unto

Lexicon Genetics Incorporated

a corporation organized under the laws of the state of as Assignee, and its successors, assigns and legal representatives, the entire right, title and interest, for all countries in and to certain inventions relating to

Novel Human GABA Receptor Proteins and Polynucleotides Encoding the Same

described in an application for Letters Patent of the United States, identified as Attorney Docket No. LEX-0123-USA, at Lexicon Genetics Incorporated 4000 Research Forest Drive, The Woodlands, TX 77381, and filed on 1/18/2001, as Application Serial No. 09/765,069, and the invention(s) and improvement(s) set forth therein, and any and all continuations, continuations-in-part, divisionals, and renewals of and substitutes for said application for said Letters Patent, and all the rights and privileges under any and all Letters Patent that may be granted therefor in any country, and any reissues, or reexaminations, or extensions of said Letters Patent. We request that any and all Letters Patent for said inventions be issued to said Assignee, its successors, assigns and legal representatives, or to such nominees as it may designate.

We agree that, when requested, we will, without charge to said Assignee but at its expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in said Assignee, its successors, assigns and legal representatives or nominees.

We authorize and empower the said Assignee, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

We hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of the said Assignee or nominee to claim the aforesaid benefit of the right of priority provided by the International convention which may henceforth be substituted for it.

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IN WITNESS WHEREOF, we have hereunto signed our name(s) on the day and year set forth below.

Inventor (signature)

DATE

C. Alexander Turner, Jr.
(Inventor's Typed Name)

STATE OF TEXAS)
) SS
COUNTY OF MONTGOMERY)

On this _____ day of _____, _____, before me personally came the above named C. Alexander Turner, Jr. who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

NOTARY PUBLIC

My Commission Expires: _____

Brian Mathur

5/31/01

Inventor (signature)

DATE

Brian Mathur
(Inventor's Typed Name)

STATE OF TEXAS)
) SS
COUNTY OF MONTGOMERY)

On this 31st day of May, 2001, before me personally came the above named Brian Mathur who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

Nichelle Klein

NOTARY PUBLIC

My Commission Expires: 2/13/05



Inventor (signature)

DATE

Glenn Friedrich
(Inventor's Typed Name)

STATE OF _____)
) SS
COUNTY OF _____)

On this _____ day of _____, _____, before me personally came the above named Glenn Friedrich who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

NOTARY PUBLIC

My Commission Expires: _____

Inventor (signature)

DATE

Brian Zambrowicz
(Inventor's Typed Name)

STATE OF TEXAS)
) SS
COUNTY OF MONTGOMERY)

On this _____ day of _____, _____, before me personally came the above named Brian Zambrowicz who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

NOTARY PUBLIC

My Commission Expires: _____

Inventor (signature)

DATE

Arthur T. Sands

(Inventor's Typed Name)

STATE OF TEXAS)
) SS
COUNTY OF MONTGOMERY)

On this _____ day of _____, _____, before me personally came the above named Arthur T. Sands who is personally known or proved to me on the basis of satisfactory evidence to be the same individual who executed the foregoing assignment, and who acknowledged to me that he/she executed the same of his/her own free will for the use and purposes therein set forth.

NOTARY PUBLIC

My Commission Expires: _____

Please type a plus sign (+) inside this box →

PTO/SB/21 (08-00)

Approval for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<h1>TRANSMITTAL FORM</h1> <p><i>(to be used for all correspondence after initial filing)</i></p>	Application Number	09/765,069	
	Filing Date	Jan 18, 2001	
	First Named Inventor	Turner, Jr., C. Alexander	
	Group Art Unit	1645	
	Examiner Name	to be assigned	
Total Number of Pages in This Submission	10	Attorney Docket Number	LEX-0123-USA

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input checked="" type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): return postcard Recordation Form Cover Sheet
Remarks 2 sets of Assignments/ 6 pages total		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Lance K. Ishimoto Reg. No. 41,866 Lexicon Genetics Incorporated
Signature	<i>Lance K. Ishimoto by Peter S. Reg. No. 40162</i>
Date	August 8, 2001

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: August 8, 2001	
Typed or printed name	Michelle Klein
Signature	<i>Michelle Klein</i>
Date	August 8, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.