

FORM PTO-1619A
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U.S. Department of Commerce
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PATENT

08-22-2001



101820232 COVER SHEET
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997 U.S. PTO
09/921486

08/03/01

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- ☒ New
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Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
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☐ Departmental File ☐ Secret File

Conveying Party(ies)

- ☒ Mark if additional names of conveying parties attached
Execution Date
Month Day Year

Name (line 1) CHARLES EDWARD AKERS, JR.

08/03/01

Name (line 2)

Second Party

Name (line 1) TERENCE EDWARD FRANEY

Execution Date
Month Day Year
08/03/01

Name (line 2)

Receiving Party

- ☐ Mark if additional names of receiving parties attached

Name (line 1) LEXMARK INTERNATIONAL, INC.

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Name (line 2)

Address (line 1) 740 WEST NEW CIRCLE ROAD

Address (line 2)

Address (line 3) LEXINGTON

KENTUCKY

40550

City

State/Country

Zip Code

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

08/21/2001 00000069 121213 09921486

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Mail documents to be recorded with required cover sheet(s) information to:
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PATENT
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Correspondent Name and Address

Area Code and Telephone Number

(859) 232-7843

Name

JACQUELINE M. DASPIT

Address (line 1)

LEXMARK INTERNATIONAL, INC.

Address (line 2)

INTELLECTUAL PROPERTY LAW

Address (line 3)

740 WEST NEW CIRCLE ROAD

Address (line 4)

LEXINGTON, KY 40550

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

#

2

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/22/98

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

08/03/01

Patent Cooperation Treaty (PCT)

Enter PCT application number

only if a U.S. Application Number has not been assigned.

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Number of Properties

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1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

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Deposit Account ☒

Deposit Account

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12-1213

Authorization to charge additional fees:

Yes

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No

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Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

JACQUELINE M. DASPIT 36,779

Name of Person Signing

Jacqueline M. Daspit

Signature

2 Jan 2001

Date

RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) JING X. SUN

Execution Date
Month Day Year

08/03/01

Name (line 2)

Execution Date
Month Day Year

08/03/01

Name (line 1) CARLA MARARY BUTLER

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

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State/Country

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

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City

State/Country

Zip Code

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Patent Application Number(s)

Patent Number(s)

U.S. PTO
09/921486
08/03/01

ASSIGNMENT OF INVENTION

For good and valuable consideration, the receipt of which is hereby acknowledged,

ASSIGNORS:

Charles Edward Akers, Jr.
2181 Birkdale Drive
Lexington, KY 40509

Terence Edward Franey
3243 Roxburg Drive
Lexington, KY 40503

Jing X. Sun
655 Gingermill Lane
Lexington, KY 40509

Carla Marary Butler
P.O. Box 23791
Lexington, KY 40523

hereby sell, assign and transfer to

ASSIGNEE:

Lexmark International, Inc.
740 West New Circle Road
Lexington, KY 40550

and the successors, assigns and legal representatives of the ASSIGNEE the entire right, title and interest for the United States and its territorial possessions and in all foreign countries, including all rights to claim priority, in and to the invention entitled:

Polymeric Dispersants Used for Aqueous Pigmented Inks for Ink-Jet Printing

which is disclosed in and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, extension, or substitute thereof, and as to letters patent any reissue or re-examination thereof.

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said

application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, We have hereunto set hand and seal as of the date(s) listed below.

Charles Edward Akers, Jr.
Signature of Charles Edward Akers, Jr.

8/3/01
Date

Terence Edward Franey
Signature of Terence Edward Franey

8/3/01
Date

Jing X. Sun
Signature of Jing X. Sun

8/3/01
Date

Carla Marary Butler
Signature of Carla Marary Butler

8/3/01
Date

NOTARIZATION

Details of Country

USA

and place of signing of assignment

Lexington, KY

Before me on the date(s) indicated above, personally appeared the above named individuals, to me known to be the persons who are described in, and who executed the foregoing assignment instrument and acknowledged to me that they executed the same of their own free will for the purpose therein expressed.

Amy R. LeMay
Notary Public
My Commission Expires on the 2nd day of March, 2005.

Jacqueline M. Daspit
Lexmark International, Inc.
Intellectual Property Law Dept.
740 West New Circle Road
Lexington, Kentucky 40550