

FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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P08/REV02

08-22-2001



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2 SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks, please record the attached original documents or copy thereof.

1. Name of conveying party(ies):  
**Nippon Oil Company Limited**  
3-12 Nishi-Shimbashi 1-Chome  
Minato-ku  
Tokyo, Japan

5.9.01

2. Name and address of receiving party(ies):

Name: **Nippon Mitsubishi Oil Corporation**

Internal Address: \_\_\_\_\_

Additional names(s) of conveying party(ies)  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other correction of name of assignee

Street Address: **1-3-12 Nishi-Shimbashi**

**Minato-Ku**

City: **Tokyo, Japan** State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Execution Date: \_\_\_\_\_

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

09/008,007

B. Patent No.(s)

6,068,792  
6,124,913

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: **Edward W. Grolz, Esq.**

Internal Address: **Scully, Scott, Murphy & Presser**

6. Total number of applications and patents involved: **3**

7. Total fee (37 CFR 3.41):.....\$ \_\_\_\_\_

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

Street Address: **400 Garden City Plaza**

8. Deposit account number:

**19-1013**

City: **Garden City** State: **NY** ZIP: **11530**

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Edward W. Grolz, Esq., Reg. No. 33,705**

**August 13, 2001**

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

**15**

PATENT

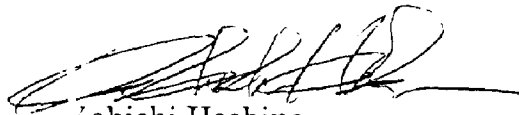
REEL: 012083 FRAME: 0457

# CERTIFICATE OF VERIFICATION

I, Kohichi Hoshino of c/o Saito & Hata International Patent Office, Akasaka-taisei Bldg., 1-18, Akasaka 1-chome, Minato-ku, Tokyo, Japan, hereby certify that I am the translator of the document attached and I state that the attached document is a true and complete translation to the best of my knowledge and belief of CERTIFICATION FOR PARTIAL HISTORICAL MATTERS AS REGISTERED, issued on February 7, 2000.

Dated this 31st day of May, 2000

Signature of translator



Kohichi Hoshino

CERTIFICATION FOR PARTIAL HISTORICAL MATTERS AS REGISTERED

1-3-12, Nishi-Shimbashi, Minato-ku, Tokyo, Japan

NIPPON MITSUBISHI OIL CORPORATION

Number of Legal Person 022741

Trade Name	<u>NIPPON OIL COMPANY LIMITED</u>	
	NIPPON MITSUBISHI OIL CORPORATION	Registered on April 1, 1999
Address of Main Office	1-3-12, Nishi-Shimbashi, Minato-ku, Tokyo, Japan	
Method for Public Notice	Such Notice is to be printed in the newspaper published by Nihon Keizai Shimbun, Inc. in the Tokyo Metropolitan area	
Date of Incorporation	May 10, 1888	
Merger	NIPPON OIL CO., LTD., the surviving corporation, merged with MITSUBISHI OIL CO., LTD. of 1-2-6, Toranomon, Minato-ku, Tokyo, Japan. Registered on April 1, 1999	

This document is to certify that the item mentioned herein is a portion of items registered in the Commercial Register and not having been closed.

February 7, 2000

Tokyo Legal Affairs Bureau Minato Branch

Registrar

Tatsuya Terada (seal)

Serial No. 7 836823

\* In this document, the underlined item denotes that the item has already been crossed out from the Commercial Register.

履歴事項一部証明書

東京都港区西新橋一丁目3番12号  
日石三菱株式会社

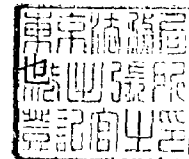
会社法人等番号 022741

商号	日本石油株式会社	
	日石三菱株式会社	平成11年 4月 1日登記
本店	東京都港区西新橋一丁目3番12号	
公告をする方法	東京都内で発行される日本経済新聞にこれを掲載する	
会社成立の年月日	明治21年5月10日	
吸収合併	東京都港区虎ノ門一丁目2番6号三菱石油株式会社を合併 平成11年 4月 1日登記	

これは登記簿に記録されている閉鎖されていない事項の一部であることを証明した書面である。

平成12年 2月 7日  
東京法務局港出張所  
登記官

寺田 龍



整理番号 ソ836823

\* 下線のあるものは抹消事項であることを示す。

1/1

05-15-2001

ET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office



101716763

Tab settings

To the Honorable Commissioner of Pat.

attached original documents or copy thereof.

1. Name of conveying party(ies):  
Nippon Oil Company Limited  
3-12, Nishi-Shimbashi 1-Chome  
Minato-ku  
Tokyo, Japan

S. 9.01

2. Name and address of receiving party(ies):

Name: Nippon Mitsubishi Oil Co., Ltd.

CORPORATION

Internal Address:

Additional names(s) of conveying party(ies)  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other

Street Address: 1-3-12 Nishi-Shimbashi

Minato-Ku

City: Tokyo, Japan State: \_\_\_\_\_ ZIP: \_\_\_\_\_

Execution Date: February 7, 2000

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

~~08/028,130~~      09/008,007      09/132,393  
09/137,066      ~~09/001,622~~

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Edward W. Grolz, Esq.

Internal Address: Scully, Scott, Murphy & Presser

Street Address: 400 Garden City Plaza

City: Garden City State: NY ZIP: 11530

6. Total number of applications and patents involved: **5**

7. Total fee (37 CFR 3.41):.....\$ 200.00

Enclosed - Any excess or insufficiency should be credited or debited to deposit account

Authorized to be charged to deposit account

8. Deposit account number:

19-1013

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Edward W. Grolz, Esq.

June 21, 2000

Name of Person Signing

Signature

**4**

Date

Total number of pages including cover sheet, attachments, and document:

SCULLY, SCOTT, MURPHY & PRESSER

A PROFESSIONAL CORPORATION

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GARDEN CITY, NEW YORK 11530-0299

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RICHARD L. CATANIA  
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MARVIN BRESSLER  
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PATRICIA A. WILCZYNSKI

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COUNSEL

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RETIRED

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WILLIAM E. McNULTY (1927-1993)

TELEPHONE: (516) 742-4343  
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E-MAIL: Intprop@smp.com

August 13, 2001

U.S. Patent and Trademark Office  
Assignment Division, Box Assignments  
CG-4, 1213 Jefferson Davis Hwy.  
Suite 320  
Washington, DC 20231

Re: U.S. Patent Nos. 6,124,913; 6,261,649 & 6,068,792  
Our Dockets: 10486Z, 11113, 11625

Dear Sirs:

On May 7, 2001, the undersigned submitted for recordal a correction of name of assignee in connection with a Change of Name which was previously recorded on June 23, 2000 at Reel/Frame: 010921/0870. In said originally filed (and recorded) Change of Name, the receiving party was incorrectly identified as "Nippon Mitsubishi Oil Co., Ltd." when it should have been "Nippon Mitsubishi Oil Corporation".

The May 7, 2001 submission included a new recordal sheet correctly identifying the parties involved in the change of name, a copy of the originally recorded assignment cover sheet with the name of the receiving party corrected in red ink and a copy of the originally filed change of name document (and verified English translation thereof).

A Notice of Non-Recordation dated July 27, 2001 was received indicating that the submission was not acceptable as it was not accompanied by the originally recorded document. The submission filed herewith includes a copy of the originally filed document. Attached to the Notice was the new recordal sheet correctly identifying the parties, together with the change of name document.

A further Notice of Non-Recordation dated July 30, 2001 was also received. Attached to this Notice was the copy of the originally filed cover sheet with the amendments made in red to the name of the receiving party. The Notice said the document was not recorded as the change of name document was missing.

Copies of both Notices of Non-Recordation are attached, i.e. Document ID Nos. 101716762 and 101716763.

Also being submitted is a new cover sheet reflecting the correct information to be recorded (as identified in the first paragraph of this letter).

As this submission is within 30 days of the first Notice of Non-Recordation, it is requested that the original date of filing of this Correction of Name of the Receiving Party be maintained. The \$120.00 fee for recording this correction in connection with the three identified cases accompanied the originally filed documents.

Respectfully submitted,



Edward W. Grolz  
Reg. No. 33,705

EWG/nd  
Encs.



**UNITED STATES DEPARTMENT OF COMMERCE**

**Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER

OF PATENTS AND TRADEMARKS

Washington, D.C. 20231

JULY 30, 2001

PTAS

SCULLY, SCOTT, MURPHY & PRESSER  
EDWARD W. GROLZ, ESQ.  
400 GARDEN CITY PLAZA  
GARDEN CITY, NY 11530



\*101716763A\*

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF NON-RECORDATION OF DOCUMENT

DOCUMENT ID NO.: 101716763

THE ENCLOSED DOCUMENT HAS BEEN EXAMINED AND FOUND NON-RECORDABLE BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. THE REASON(S) FOR NON-RECORDATION ARE STATED BELOW. DOCUMENTS BEING RESUBMITTED FOR RECORDATION MUST BE ACCOMPANIED BY A NEW COVER SHEET REFLECTING THE CORRECT INFORMATION TO BE RECORDED AND THE DOCUMENT ID NUMBER REFERENCED ABOVE.

THE ORIGINAL DATE OF FILING OF THIS ASSIGNMENT DOCUMENT WILL BE MAINTAINED IF RESUBMITTED WITH THE APPROPRIATE CORRECTION(S) WITHIN 30 DAYS FROM THE DATE OF THIS NOTICE AS OUTLINED UNDER 37 CFR 3.51. THE RESUBMITTED DOCUMENT MUST INCLUDE A STAMP WITH THE OFFICIAL DATE OF RECEIPT UNDER 37 CFR 3. APPLICANTS MAY USE THE CERTIFIED PROCEDURES UNDER 37 CFR 1.8 OR 1.10 FOR RESUBMISSION OF THE RETURNED PAPERS, IF THEY DESIRE TO HAVE THE BENEFIT OF THE DATE OF DEPOSIT IN THE UNITED STATES POSTAL SERVICE.

SEND DOCUMENTS TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231. IF YOU HAVE ANY QUESTIONS REGARDING THIS NOTICE, YOU MAY CONTACT THE INDIVIDUAL WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723.

1. IN ORDER TO RECORD THIS PATENT CHANGE OF NAME DOCUMENT, NEEDED IS THE ARTICLES OF AMENDMENT SHOWING THE NAME CHANGE

MARY BENTON, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS



FORM PTO-1595 (Modified)  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)  
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P08/REV02

RECORDATION FORM COVER SHEET

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

**PATENTS ONLY**

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**Minato-ku**  
**Tokyo, Japan**

Additional names(s) of conveying party(ies)  Yes  No

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Internal Address: \_\_\_\_\_

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**Minato-Ku**

City: **Tokyo, Japan** State: \_\_\_\_\_ ZIP: \_\_\_\_\_

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Assignment  Merger

Security Agreement  Change of Name

Other **correction of name of assignee**

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**09/008,007**

B. Patent No.(s)  
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**6,124,913**

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Name: **Edward W. Grolz, Esq.**

Internal Address: **Scully, Scott, Murphy & Presser**

Street Address: **400 Garden City Plaza**

City: **Garden City** State: **NY** ZIP: **11530**

6. Total number of applications and patents involved: **3**

7. Total fee (37 CFR 3.41):.....\$ \_\_\_\_\_

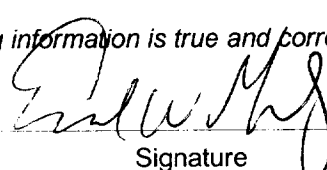
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8. Deposit account number:  
**19-1013**

DO NOT USE THIS SPACE

9. Statement and signature.  
*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

**Edward W. Grolz, Esq., Reg. No. 33,705**  **August 13, 2001**

Name of Person Signing Signature Date

Total number of pages including cover sheet, attachments, and document: **15**

**PATENT**