

08-22-2001

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SHEET

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Attorney Docket Number
9775-0057-999TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS
Box Assignment
Washington, DC 2023110971 U.S. PTO
09/923471

08/06/01

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Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): John Ming-wei Chen and Robert K. Shih Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): Name: <u>Finisar Corporation</u> Address: <u>1308 Moffett Park Drive, Sunnyvale, CA 94089-1133</u> Country (if other than USA): _____
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: <u>June 28, 2001</u>	
4. Application number(s) or patent number(s): <u>09/923471</u> If this document is being filed together with a new application, the execution date of the application is: <u>June 28, 2001</u> A. Patent Application No(s) _____ B. Patent No(s) _____ Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name and address of party to whom correspondence concerning document should be mailed: PENNIE & EDMONDS LLP 3300 Hillview Avenue Palo Alto, California 94304	6. Number of applications and patents involved: <u>1</u> 7. Total fee (37 CFR 3.41):\$ <u>40.00</u> Please charge to the deposit account listed in Section 8. 8. Deposit account number: <u>16-1150</u>

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

<u>Gary S. Williams</u>	<u>31,066</u>		<u>August 6, 2001</u>
Name of Person Signing	Reg. No.	Signature	Date

Total number of pages including cover sheet: _____

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignment
Washington, D.C. 2023108/21/2001 GTON11 00000132 161150 09923471
01 FC:581 40.00 CH

CA1 - 281408.1

PATENT
REEL: 012085 FRAME: 0640

ASSIGNMENT

WHEREAS, WE, John Ming-wei Chen and Robert K. Shih, ASSIGNORS, citizens of the United States, residing at 19347 Andrada Drive, Rowland Height, CA 91748 and 365 Monterey Pine Drive, Arcadia, CA 91006, are the inventors of the invention in **SYSTEM AND METHOD OF PACKAGING A LASER/DETECTOR** for which we have executed an application for a Patent of the United States

- which is executed on • even date herewith or • _____
- which is identified by Pennie & Edmonds LLP docket no. 9775-057-999
- which was filed on , Application No.

and WHEREAS, Finisar Corporation, ASSIGNEE is desirous of obtaining our entire right, title and interest in, to and under the said invention and the said application:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to us in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, we, the said ASSIGNORS, have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, our entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

AND WE HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND WE HEREBY covenant and agree that we have full right to convey the entire interest herein assigned, and that we have not executed, and will not execute, any agreement in conflict herewith.

AND WE HEREBY further covenant and agree that we will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known to us respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

IN TESTIMONY WHEREOF, We hereunto set our hands and seals the day and year set opposite our respective signatures.

Date 6/28, 2001 John Ming-wei Chen L.S.

Date 6/28, 2001 Robert K. Shih L.S.

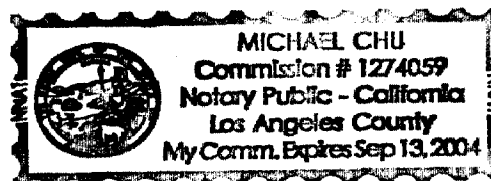
State of CALIFORNIA)

County of Los Angeles) SS.:

On June 28, 2001, before me, MICHAEL CHU, Notary Public, personally appeared John Ming-wei Chen, personally known to me on the basis of satisfactory evidence to be the person(s) whose name(s) is subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

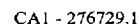
WITNESS my hand and official seal

Michael Chu



County of *Los Angeles*) SS.:

~~WITNESS~~ my hand and official seal



REEL: 012085 FRAME: 0642