

101822563

IN THE UN

ADEMARK OFFICE

8.20:01

Assignor	Thomson Trading Services, Inc.
Assignee	Omgeo LLC
Patent No. 5,497,317	Issue Date March 5, 1996
Title of Application:	Device And Method For Improving The Speed And Reliability Of Security Trade Settlements

Box Assignment Assistant Commissioner for Patents Washington, DC 20231

# Patent Assignment Recordation Form Cover Sheet

Dear Sir:

Please record the attached original document.

- 1. <u>Name of conveying parties</u>. Thomson Trading Services, Inc.
- 2. <u>Name and Address of Receiving Party</u>. Omgeo LLC, 22 Thomson Place; Boston, MA 02210.
- 3. <u>Nature of Conveyance</u>: Assignment. <u>Execution Date</u>: July 2, 2001.
- <u>Name and Address of Party to Whom Correspondence Concerning Document</u> should be mailed.

08/24/2001 GTON11 01 FC:581	Wesley W. Whitmyer, Jr., Registration No. 33,558 Attorney for Applicants ST.ONGE STEWARD JOHNSTON & REENS LLC 986 Bedford Street Stamford, CT 06905-5619 203 324-6155
	Mailing Certificate: I hereby certify that this correspondence is today being deposited with the U.S. Postal Service as <i>First Class Mail</i> in an envelope addressed to: Box Assignment: Commissioner for Patents and Trademarks; Washington, DC 20231.   August 16, 2001 Heatrice Emerson

Page 2 Assignment Serial No. 08/174,231

- 5. Patent Number: Patent No. 5,497,317 issued on March 5, 1996.
- 6. <u>Total Number of Patents Involved</u>: 1.
- 7. <u>Total Fee (37 CFR 3.41)</u>. \$40.00 due. A check for this amount is enclosed.
- 8. <u>Authorization to Charge Deposit Account</u>. The Commissioner is hereby authorized to charge any additional fees due by this paper and during the entire pendency of this Application to Account No. 19-4516.
- 9. <u>Statement and Signature</u>. Total number of pages including cover sheet, attachments and document are 5. To the best of my knowledge and belief, the foregoing information is true and correct and any copy submitted herewith is a true copy of the original document.

Respectfully submitted,

Tod M. aluci

Wesley W. Whitmyer, Jr., Registration No. 33,558 Todd M. Oberdick, Registration No. 44,268 Attorneys for Applicants ST.ONGE STEWARD JOHNSTON & REENS LLC 986 Bedford Street Stamford, CT 06905-5619 203 324-6155

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### ASSIGNMENT OF PATENT

#### Docket Number (optional) 1985-P0008A

Whereas, Thomson Trading Services, Inc., of 22 Thomson Place; Boston, MA 02210, hereinafter referred to as Assignor, is the holder of a United States Patent entitled "Device and Method for Improving the Speed and Reliability of Security Trade Settlements", Patent Number 5,497,317 issued March 5, 1996; and whereas, Assignor is now the sole owner of said Patent; and

Whereas, Omgeo LLC, a limited liability company organized and existing under the laws of the State of Delaware, hereinafter referred to as "Assignee" whose post office address is 22 Thomson Place: Boston: MA 02210; is desirous of acquiring the entire right, title and interest in the same:

Now, therefore, in consideration of the sum of One Dollar (\$1.00), the receipt whereof is acknowledged, and other good and valuable consideration, Assignor, by these presents does sell, assign and transfer unto said Assignee the entire right, title and interest in and to the said Patent Application aforesaid; including the right to sue and collect damages for past infringement thereof; the same to be held and enjoyed by the said Assignee for its own use and behoof, and for its legal representatives and assigns, to the full end of the term for which said Patent is granted, as fully and entirely as the same would have been held by Thomson Information Services, Inc. had this assignment and sale not been made.

Executed this <b>Zad</b>	_ day of,	2001, at <b>22</b>
--------------------------	-----------	--------------------

Thomson Place; Boston, MA 02210.

By Edward A. Friedland, Vice President
Edward A. Friedland, Vice Trester
State of $Concentration formation Services, Inc. this 2R day of Richard for formation format$
(Notary Public) APRIL L. RICHTER
(Nogary Public) APRIL L. Seal NY COMMISSION EXPRES SEP. 30, 2001

Burden Hour Statement: This form is estimated to take 0.1 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner of patents

and Trademarks, Washington, DC 20231.

# **RECORDED: 08/20/2001**

# PATENT REEL: 012090 FRAME: 0009