

08-24-2001



101823425

WENMM 1619A (2-99)

SHEET

TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies).

## Submission Type

☒

New

08/20/01

☐Resubmission (Non-Recordation)  
Document ID#☐

Correction of PTO Error

☐

Corrective Document

Reel #

Frame #

## Conveyance Type

☐

Assignment

☐

Security Agreement

☐

License

☒

Change of Name

☐

Merger

☐

Other

U.S. Government

(For use ONLY by U.S. Government Agencies)

☐

Departmental File

☐

Secret File

## Conveying Party(ies)

☐

Mark if additional names of conveying parties attached

Execution Date

Month Day Year

Name (line 1) Allison Engine Company, Inc.

April 04, 2000

Name (line 2)

## Second Party

Execution Date

Month Day Year

Name (line 1)

Name (line 2)

## Receiving Party

Mark if additional names of receiving party attached

Name (line 1) Rolls-Royce Corporation

Name (line 2)

Address (line 1) P.O. Box 420

Address (line 2)

☐

If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Address (line 3) Indianapolis,

IN

46206-0420

City

State/Country

Zip Code

## Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

FOR OFFICE USE ONLY

Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington D.C., 20231

08/23/2001 GTON11 00000234 5298204

01 FC:581

40.00 DP

**PATENT**  
**REEL: 012090 FRAME: 0061**

Page 2

**Correspondent Name and Address**

Area Code and Telephone Number

(317) 634-3456

Name

John H. Allie

Address (line 1)

Woodard, Emhardt, Naughton, Moriarty &amp; McNett

Address (line 2)

111 Monument Circle, Suite 3700

Address (line 3)

Bank One Center/Tower

Address (line 4)

Indianapolis, Indiana 46204-5137

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 4

**Application Number(s) or Patent Number(s)**☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

**Patent Application Number(s)****Patent Number(s)**

5,298,204

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Corporation Treaty**Enter a PCT application number only if a U.S. Application Number has not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

**Number of properties**

Enter the total number of properties involved

#

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40.00

Method of Payment:

Enclosed

☒

Deposit Account

☐

Deposit Account

(Enter for payment by deposit account or if additional fees can be charged to the account).

Deposit Account Number

#23-3030

Authorization to charge additional fees:

Yes

☒

No

☐**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

John H. Allie

**Name of Person Signing****Signature****Date**

6001-59:JHA/pdr:138610

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on August 16, 2001John H. Allie  
Name of Registered Representative

Signature

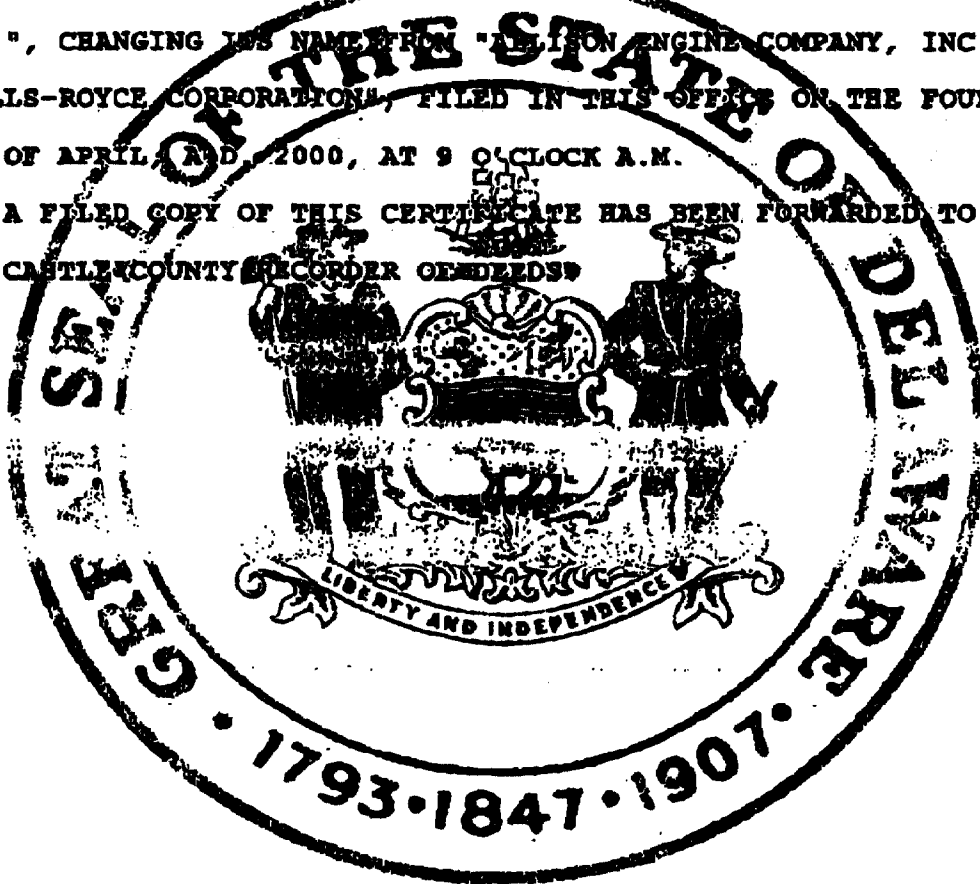
Date of Signature

**State of Delaware**  
**Office of the Secretary of State**

PAGE 1

I, EDWARD J. FREEL, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF AMENDMENT OF "ALLISON ENGINE COMPANY, INC.", CHANGING ITS NAME FROM "ALLISON ENGINE COMPANY, INC." TO "ROLLS-ROYCE CORPORATION", FILED IN THIS OFFICE ON THE FOURTH DAY OF APRIL, A.D. 2000, AT 9 O'CLOCK A.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



2335555 8100  
001170880

*Edward J. Freel*  
Edward J. Freel, Secretary of State

AUTHENTICATION: 0359661  
DATE: 04-04-00

RRI

ID: 703-310-3003

**CERTIFICATE OF AMENDMENT OF CERTIFICATE OF INCORPORATION  
OF  
ALLISON ENGINE COMPANY, INC.**

**IT IS HEREBY CERTIFIED THAT:**


1. The name of the corporation (hereinafter called the "Corporation") is Allison Engine Company, Inc.
2. The certificate of incorporation of the Corporation is hereby amended by striking out "Article First" thereof and by substituting in lieu of said Article the following new Article:

~~First:~~ The name of the corporation is Rolls-Royce Corporation.

3. The amendment of the certificate of incorporation herein certified has been duly adopted in accordance with the provisions of Sections 228 and 242 of the General Corporation Law of the State of Delaware.

The effective time of the amendment herein certified shall be upon filing with the Delaware Secretary of State.

Signed and attested to on April 4, 2000.

  
Thomas P. Dale  
Vice President - Business  
Development & General Counsel &  
Secretary

Attest:

  
Assistant Secretary

STATE OF DELAWARE  
SECRETARY OF STATE  
DIVISION OF CORPORATIONS  
FILED 09:00 AM 04/04/2000  
001170880 - 2335355