

FORM PTO-1595
(Rev. 6-93)

Rec

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10-25-2001



Attorney Docket No.
015270-001400US

U.S. Department of Commerce
Patent and Trademark Office

To the Honorable Asst. Commissioner for Patents. Please

101875220

or copy thereof

1. Name of conveying party(ies)

Ted A. Yednock

Additional name(s) of conveying parties attached?

☐ Yes ☒ No.

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other:

Execution Date: October 10, 2000 and October 13, 2000

2. Name and address of receiving party(ies)

Name: Athena Neurosciences, Inc.

Internal Address: 800 Gateway Blvd.

Street Address: 800 Gateway Blvd.

City: So. San Francisco State: CA ZIP: 94080

Additional names and addresses attached?

☐ Yes ☒ No

4. Application Number(s) or Patent Numbers.

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): 08/186,269 filed January 25, 1994; and 08/561,521 filed November 21, 1995, Issued

B. Patent No(s): 5,840,299

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Andrew T. Serafini, Ph.D.
TOWNSEND AND TOWNSEND AND CREW LLP
Two Embarcadero Center, 8th Floor
San Francisco, California 94111-3834
(415) 576-0200

6. Total number of applications and patents involved 1

7. Total fee (37 CFR 3.41): \$40.00

☐ Enclosed

☒ Charge Fees to Deposit Account

☒ Charge any additional fees associated with this paper or during the pendency of this application, or credit any overpayment, to deposit account.

8. Deposit account number: 20-1430

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing is true and correct and any attached copy is a true of copy of the original document.

Andrew T. Serafini, Ph.D.
Name of Person Signing

Signature

Date

Atty Reg. No. 41,303

Total number of pages including cover sheet, attachments and document 5

10. Change Correspondence Address to that of Part 5? ☐ Yes ☒ No

OMB No. 0651-0011 (exp. 4/94)

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Mail documents to be recorded with required cover to:

Asst. Commissioner for Patents
Box: Assignments
Washington, D.C. 20231

PATENT
REEL: 12090 FRAME: 0786

ASSIGNMENT OF PATENT APPLICATION

WHEREAS, Ted A. Yednock, of 184 Arroyo Road, P. O. Box 831, Forest Knolls, CA 94933, hereinafter referred to as "Assignor," is the inventor of the invention titled HUMANIZED ANTIBODIES AGAINST LEUKOCYTE ADHESION MOLECULE VLA-4, application number 08/186,269 filed January 25, 1994:

<u>TTC Ref. No.</u>	<u>Serial Number</u>	<u>Filing Date</u>	<u>Patent No.</u>	<u>Issue Date</u>
15270-001400US	08/186,269	January 25, 1994		
15270-001410PC	PCT/US95/01219	January 25, 1995		
15270-001410AU	16960/95	January 25, 1995	703152	February 2, 1999
15270-001410CA	2,182,013	January 25, 1995		
15270-001410CN	95191354.9	January 25, 1995		
15270-001410EP	95908741.2	January 25, 1995	0804237	May 11, 1997
15270-001410FI	962958	January 25, 1995		
15270-001410HU	P96 02019	January 25, 1995		
15270-001410JP	7-519770	January 25, 1995		
15270-001410KR	96-703987	January 25, 1995		
15270-001410MX	962971	January 25, 1995		
15270-001410NO	96 3097	January 25, 1995		
15270-001410NZ	279730	January 25, 1995	279730	August 18, 1998
15270-001410PL	P 315 634	January 25, 1995		
15270-001420US	08/561,521	November 21, 1995	5,840,299	November 24, 1998

WHEREAS, Athena Neurosciences, located at 800 Gateway Blvd., South San Francisco, CA, 94080, hereinafter referred to as "ASSIGNEE," is desirous of acquiring all of Assignor's right, title, and interest in and to said invention, the right to file applications on said invention, and the right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications, and in and to any Letters Patent or Patents, United States or foreign, to be obtained therefor and thereon.

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for good and valuable consideration, receipt of which is hereby acknowledged by Assignor, Assignor has sold, assigned and transferred, and by these presents do sell, assign and transfer unto the said Assignee, and Assignees' successors and assigns, all of the Assignor's right, title, and interest in and to the above-mentioned invention, the right to file applications on said invention and the right, title and interest in and to any applications, including provisional applications, for Letters Patent of the United States or other countries claiming priority to said applications, and any and all Letters Patent or Patents of the United States of America and all foreign countries that have been or may be granted therefor and thereon, and in and to any and all applications claiming priority to said applications, divisions, renewals, substitutions, conversions, continuations, and continuations-in-part of said applications, and reissues, prolongations and extensions of said Letters Patent or Patents, and all rights under the International Convention for the Protection of Industrial Property, the same to be held and enjoyed by the Assignee, for its own use and behalf and the use and behalf of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent or Patents may be granted as fully and entirely as the same would have been held and enjoyed by the Assignor had its sale and assignment not been made, this assignment being effective as of January 25, 1994.

AND for the same consideration, the Assignor hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that the Assignor will, whenever counsel of the Assignee, or the

Assignment

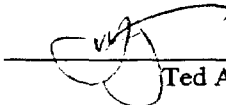
Attorney Docket No.: 15270-001400US

Page 2

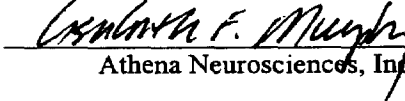
counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said invention or said applications for Letters Patent or Patents, or any proceeding in connection with Letters Patent or Patents for said inventions in any country, including interference proceedings, is lawful and desirable, or that any application claiming priority to said application, division, continuation, or continuation-in-part of any applications for Letters Patent or Patents, or any reissue or extension of any Letters Patent or Patents to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement and defense of Letters Patent or Patents for said inventions, without charge to the Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns;

IN TESTIMONY WHEREOF, Assignor and Assignee have signed their names on the date indicated.

Dated: 10/10/00


Ted A. Yednock

Dated: October 13, 2000


Lisabeth F. Murphy
Athena Neurosciences, Inc.

PA 3099245 v1

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

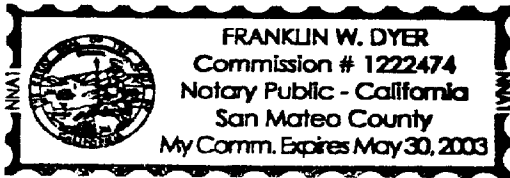
State of California

County of San Mateo } ss.

On 10/10/00, before me, Franklin W. Dyer, Notary Public,
Date Name and Title of Officer (e.g., "Jane Doe, Notary Public")

personally appeared Ted Yednock,
Name(s) of Signer(s)

- ☒ personally known to me
☐ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Franklin W. Dyer
Signature of Notary Public

Place Notary Seal Above

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

Description of Attached Document

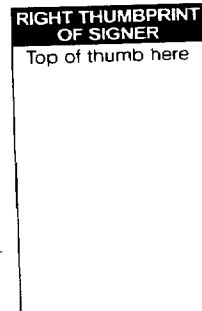
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Document Date: _____ Number of Pages: _____

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Capacity(ies) Claimed by Signer

Signer's Name: _____
☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
☐ Trustee
☐ Guardian or Conservator
☐ Other: _____



Signer Is Representing: _____

CALIFORNIA ALL-PURPOSE ACKNOWLEDGMENT

State of California

San Mateo

County of _____

ss.

Franklin W. Dyer, Notary Public

On 10/13/00

Date

, before me, _____

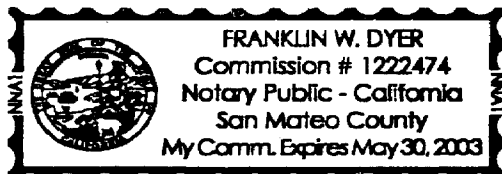
Name and Title of Officer (e.g., "Jane Doe, Notary Public")

Lisabeth F. Murphy

personally appeared _____

Name(s) of Signer(s)

- ☒ personally known to me
☐ proved to me on the basis of satisfactory evidence



to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

Place Notary Seal Above

Franklin W. Dyer
Signature of Notary Public

OPTIONAL

Though the information below is not required by law, it may prove valuable to persons relying on the document and could prevent fraudulent removal and reattachment of this form to another document.

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Document Date: _____ Number of Pages: _____

Signer(s) Other Than Named Above: _____

Capacity(ies) Claimed by Signer

Signer's Name: _____
☐ Individual
☐ Corporate Officer — Title(s): _____
☐ Partner — ☐ Limited ☐ General
☐ Attorney in Fact
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☐ Other: _____

RIGHT THUMBPRINT
OF SIGNER
Top of thumb here

Signer Is Representing: _____