

08-27-2001

PATENT AND TRADEMARK OFFICE



101824064

8-2001

To the Honorable Commissione

ie attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Glenn Ricart, Sheldon Laube,  
Arno A. Penzias and Marc EpsteinAdditional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of name  
☐ OtherExecution Date: May 7, 2001, May 8, 2001  
and May 9, 2001

## 2. Name and address of receiving party(ies)

Name: CenterBeam, Inc.

Internal Address: \_\_\_\_\_

Street Address: 5302 Betsy Ross DriveCity: Santa Clara State: CA Zip: 95054Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: \_\_\_\_\_

A. Patent Application No.(s)

B. Patent No.(s)

09/772,338Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Jeffrey I. Kaplan, Esq.Street Address: KAPLAN & GILMAN, LLP900 Route 9 NorthCity: Woodbridge State: NJ Zip: 070956. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) .....\$ 40.00☐ Enclosed (previously submitted)☐ Authorized to be charged to deposit account

## 8. Deposit account number: \_\_\_\_\_

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

## 9. Statement and signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Jeffrey I. Kaplan

Name of Person Signing

Signature

August 9, 2001

Date

Total number of pages including cover sheet, attachments, and document: 6Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents & Trademarks, Box Assignments  
Washington, DC 20231

# Assignment of Patent Rights

Dated: MAY 9, 2001

Assignor: Glenn Ricart  
Address: The Agrie  
16 E. Churchill Drive  
Salt Lake City, Utah 84103

Assignor: Sheldon Laube  
Address:

Assignee: CenterBeam, Inc.  
Address: 5302 Betsy Ross Drive  
Santa Clara, CA 95054

Assignor: Arno A. Penzias  
Address:

Assignor: Marc Epstein  
Address: 56 Fillmer Avenue  
Los Gatos, CA 95030

Assignor:  
Address:

If there is more than one Assignor or Assignee, the words "Assignor" and "Assignee" shall include them.

This assignment relates to:

[ ] a United States patent application signed by Assignor on \_\_\_\_\_, 20\_\_ and entitled \_\_\_\_\_; or

[ X ] a United States patent application filed in the U.S. Patent and Trademark Office on January 29, 2001 assigned Serial No. 09/772,338; or

[ ] an issued United States patent, issued on \_\_\_\_\_, 20\_\_, under United States Patent No. \_\_\_\_\_.

This patent application or issued patent is hereinafter referred to as "the Patent".

Assignor has received Ten Dollars (\$10.00) and other good and valuable consideration for this assignment.

Assignor assigns to Assignee all of Assignor's right, title and interest in the Patent, the invention described and claimed in the Patent, and all patents that may issue based on the invention and on the Patent in the United States *and in every foreign country. Assignor also assigns to Assignee all priority rights in the Patent.\**

*In those countries where permitted, the Assignor authorizes the Assignee to apply for patents for the invention directly in Assignee's name.\** Assignor authorizes the Commissioner of Patents and Trademarks or other governmental authority to issue all patents for the invention directly to Assignee.

Assignor states that Assignor has the right to grant to Assignee the rights which are assigned by this assignment. Assignor will sign any additional documents as may be needed to carry out the purpose of this assignment.

This assignment is binding on all parties who lawfully succeed to the rights of or take the place of Assignor or Assignee.

The undersigned hereby grant(s) to the firm of Kaplan & Gilman, L.L.P., 900 Route 9 North, Woodbridge, New Jersey 07095, the power to insert on this assignment any further identification of the

\* Strike italicized sections only if foreign rights are not intended to be assigned.

Patent which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office concerning recordation of this document.

The effective date of this assignment is the date at the top of this assignment.

SIGNATURE(S)

DATE

TYPED OR PRINTED NAME(S)

First Name Middle Initial Last Name

First Name Middle Initial Last Name

First Name Middle Initial Last Name

First Name Middle Initial Last Name

First Name Middle Initial Last Name

STATE OF

COUNTY OF

On before me personally came

to me known to be the Assignor(s) described in and who executed the foregoing Assignment, and the Assignor(s) duly acknowledged to me execution of the same.

NotaryPublic

STATE OF

COUNTY OF

On 5/8/01 before me personally came

Sheldon Laube

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NotaryPublic

STATE OF

COUNTY OF

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NotaryPublic

Glenn Ricart

Sheldon Laube

Arno A. Penzias

Marc Epstein

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Notary Public

STATE OF

COUNTY OF

On 5/7/01

before me personally came Marc Epstein

to me known to be the Assignor(s) described in and who executed the foregoing Assignment, and the Assignor(s) duly acknowledged to me execution of the same.

Notary Public

PATENT

REEL: 012095 FRAME: 0140

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SIGNATURE(S)

DATE

TYPED OR PRINTED NAME(S)

First Name Middle Initial Last Name

Glenn Ricart

First Name Middle Initial Last Name

Sheldon Laube

First Name Middle Initial Last Name

Arno A. Penzias

First Name Middle Initial Last Name

Marc Epstein

First Name Middle Initial Last Name

STATE OF CALIFORNIA

COUNTY OF SAN FRANCISCO

STATE OF

COUNTY OF

On 5/9/01 before me personally came

Arno A. Penzias  
to me known to be the Assignor(s) described in and who executed the foregoing Assignment, and the Assignor(s) duly acknowledged to me execution of the same.

On

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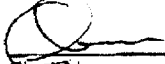
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SIGNATURE(S)

DATE

TYPED OR PRINTED NAME(S)



Ricart 8 MAY 01

Glenn Ricart

First Name

Middle Initial

Last Name

First Name

Middle Initial

Last Name

Sheldon Laube

First Name

Middle Initial

Last Name

Arno A. Penzias

First Name

Middle Initial

Last Name

Marc Epstein

First Name

Middle Initial

Last Name

STATE OF

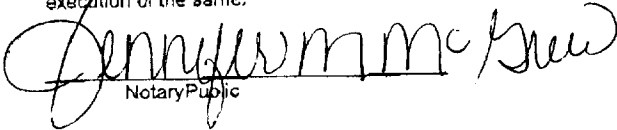
California

COUNTY OF

Santa Clara

On 5/8/01 before me personally came Glenn Ricart

to me known to be the Assignor(s) described in and who executed the foregoing Assignment, and the Assignor(s) duly acknowledged to me execution of the same.



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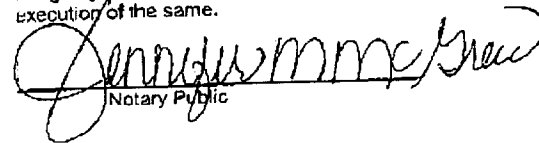
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Notary Public