

08-28-2001

ET



101825065

Tab settings

To the Honorable Commissioner of Patents and Trademarks, 101825065, e attached original documents or copy thereof.

1. Name of conveying party(ies):

Olivier Civelli  
Steven Lin

8/17/01

2. Name and address of receiving party(ies):

Name: The Regents of the University of California

Internal Address: \_\_\_\_\_

Street Address: 1111 Franklin Street, 12th Floor

City: Oakland State: CA ZIP: 94607

Additional names(s) of conveying party(ies)  Yes  No

3. Nature of conveyance:

- Assignment  Merger
- Security Agreement  Change of Name
- Other \_\_\_\_\_

Execution Date: August 3, 2001; August 6, 2001

Additional name(s) & address(es) attached?  Yes  No

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: August 17, 2001

A. Patent Application No.(s)

08/22/2001 MBERHE 00000036 09932161  
04 FD:581 40.00 DP

B. Patent No.(s)

09/932161

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Cathryn Campbell, Reg. No. 31,815

Internal Address: Campbell & Flores, LLP

Street Address: 4370 La Jolla Village Drive, Suite 700

City: San Diego State: CA ZIP: 92122

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):.....\$ 40.00

- Enclosed - Any excess or insufficiency should be credited or debited to deposit account
- Authorized to be charged to deposit account

8. Deposit account number:

03-0370

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Melanie K. Webster, Reg. No. 45,201

*Melanie K. Webster*

August 17, 2001

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 3

PATENT

ASSIGNMENT

U.C. Case No.: 2001-364-1

C&F Docket No.: P-UC 4679

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Olivier Civelli

2. Steven Lin

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, A California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled: **SCREENING AND THERAPEUTIC METHODS FOR PROMOTING WAKEFULNESS AND SLEEP.**

and which is found in

a) \_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors

(b) \_\_\_ U.S. patent application filed herewith and listing the above named persons as inventors

(c) x U.S. application serial no. (Not Yet Assigned), filed herewith.

(d) \_\_\_ U.S. Patent No.: \_\_\_\_\_, issued

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

Inventors: Civelli and Lin  
Serial No.: Not Yet Assigned  
Filed: Herewith  
Page 2

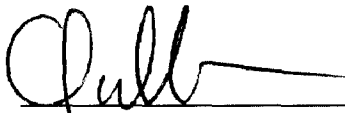
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNOR(S) requests the Director of the United States Patent and Trademark Office to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

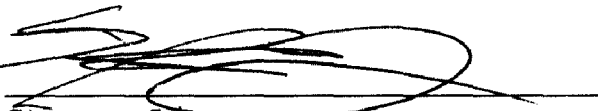
Executed this

Signatures of Inventor(s)

3<sup>rd</sup> day of August, 2001

  
Olivier Civelli

6<sup>th</sup> day of August, 2001

  
Steven Lin

ASSIGNMENT

COPY

U.C. Case No.: 2001-364-1

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ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

Inventors: Civelli and Lin  
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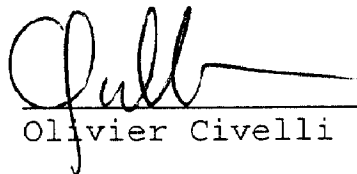
ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

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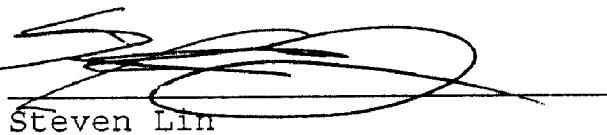
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3<sup>rd</sup> day of August, 2001

  
Olivier Civelli

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