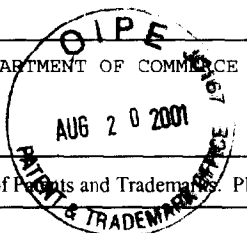


08-28-2001

Patent and Trademark Office



101824693



To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Roger S. Brown  
Karen C. Roles  
Simon G. Applebaum  
Sun Microsystems Limited

8-20-01

2. Name and address of receiving party(ies):

Name: Sun Microsystems, Inc.  
Street Address: 901 San Antonio Road  
City Palo Alto State CA ZIP 94303

Additional name(s) of conveying party(ies) attached?  Yes  No

Additional name(s) & address(es) attached?  Yes  No

3. Nature of Conveyance:

- Assignment
- Merger
- Security Agreement
- Change of Name
- Other \_\_\_\_\_

Execution Date: December 18, 1998

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of this application is:

A. Patent Application No.(s)

**09/828,494**

B. Patent No.(s)

Additional numbers attached?  Yes  No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: B. Noël Kivlin  
Internal Address: Conley, Rose & Tayon, P.C.  
Street Address: P.O. Box 398  
City Austin State TX ZIP 78767-0398

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): .....\$ 40.00

- Fee Authorization Form Enclosed
- Authorized to be charged to deposit account

8. Deposit account number: 501505/\*1\*/BNK  
(Attach a duplicate copy of this page if paying by deposit account)

08/27/2001 DBYRNE 00000084 501505 09828494  
01 FC:581 40.00 CH

DO NOT USE THIS SPACE

9. Statement and Signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*

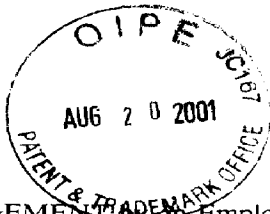
B. Noël Kivlin  
Name of Person Signing  
Reg. No. 33,929

[Signature]  
Signature

8-16-01  
Date

Total number of pages: 54

REF: P3459



ACKNOWLEDGEMENT by an Employee of the Right to apply for a Patent and AN ASSIGNMENT made on the EIGHTEENTH DAY OF DECEMBER 1998 BETWEEN

**ROGER S BROWN**, of BRITISH nationality, of 35 FRIARS MEAD, LONDON, E14 3JY, UNITED KINGDOM (hereinafter referred to as "Inventor") of the first part;

**KAREN C ROLES** of BRITISH nationality, of 4 LUCAS COTTAGES, TANNERY LANE, SEND, SURREY, GU23 7ED, UNITED KINGDOM (hereinafter referred to as "Inventor") of the second part;

**SIMON G APPLEBAUM** of BRITISH nationality, of 4 CORNWALL CLOSE, ETON WICK, BERKSHIRE, SL4 6NB, UNITED KINGDOM (hereinafter referred to as "Inventor") of the third part;

**SUN MICROSYSTEMS LIMITED**, a UNITED KINGDOM company, having a place of business at BAGSHOT MANOR, GREEN LANE, BAGSHOT, SURREY, GU19 5NL, UNITED KINGDOM (hereinafter referred to as "Employer Company") of the fourth part; AND

**SUN MICROSYSTEMS, INC.**, a corporation of Delaware, United States of America, having a place of business at M/S PAL01-521, 901 SAN ANTONIO ROAD, PALO ALTO, CALIFORNIA 94303, UNITED STATES OF AMERICA (hereinafter referred to as "Parent Company") of the fifth part.

WHEREAS :-

- (A) Each Inventor claims jointly with the other Inventor(s) to have made the Invention described in the Schedule (hereinafter referred to as "the Invention");
- (B) The Invention has been made by the Inventors during the period of their employment with the Employer Company and in the course of their normal duties with the Employer Company and by virtue of the terms of their employment with the Employer Company, the Invention is to be taken as between the Employer Company and the Inventors to belong to the Employer Company;
- (C) The Employer Company agrees to assign the Invention and the right to apply for a patent or patents relating to the said Invention to the Parent Company together with all rights title and beneficial interest in and arising therefrom.

NOW THIS AGREEMENT WITNESSES as follows:-

1. Each Inventor **HEREBY ACKNOWLEDGES** that the Invention and all rights therein including the right to apply for a patent or patents relating to the Invention belongs to the Employer Company, and pursuant thereto **HEREBY ASSIGNS TO AND CONFIRMS** the vesting in the Employer Company of:
  - (a) the whole of the property in the Invention throughout the world and any protection obtained at any time therefor and all rights title and interest which the Inventor may have or have been entitled to therein including all rights to bring proceedings for infringement thereof together with the full and exclusive benefit thereof; and
  - (b) the right to apply for and obtain or to enable others to apply for and obtain a patent or patents or any other form of protection in respect of the Invention both in the United States of America and throughout the world.
2. In pursuance of the agreement referred to in Recital (C) and **IN CONSIDERATION** of the sum of FIVE US DOLLARS, receipt of which is hereby confirmed, the Employer Company hereby assigns to the Parent Company all its rights in the Invention including the right to apply for a patent or patents and to exploit the Invention or any patent or other protection obtained in respect thereof **TO HOLD** the same unto the Parent Company absolutely.
3. Each Inventor hereby undertakes to the Parent Company that he/she will at the expense of the Parent Company execute all documents and do all such acts and things as the Parent Company may in its absolute discretion consider necessary or desirable to enable Letters Patent or any form of protection to be issued in respect of the Invention in any part or parts of the world and to vest the same in the name of the Parent Company or its nominee free from all encumbrances and to enable or to assist the Parent Company or its nominee to defend oppositions to grant thereof, to maintain the same when granted and to present and prosecute for the infringement thereof.

4. Each Inventor hereby warrants to the Employer Company and to the Parent Company:
- (a) that he/she has not assigned or agreed to assign to any person firm or company or otherwise encumbered the Invention or any other part of the rights therein and thereto;
  - (b) that he/she has not disclosed and will not disclose the Invention to any person firm or company other than the Employer Company or the Parent Company except as directed by the Employer Company or the Parent Company;
  - (c) that he/she will give to the Employer Company or the Parent Company all information in his/her possession or in his/her power relating to the Invention and the method of employing or using the same as the Employer Company or the Parent Company shall require;
  - (d) that he/she knows of no reason why a valid patent or valid patents relating to the Invention should not be granted either to himself/herself or to his/her successors in title.

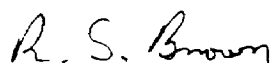
IN WITNESS thereof the parties have duly executed this document the day and year first above written

SCHEDULE

The invention is as described in the attached draft specification entitled:- "CONFIGURING SYSTEM UNITS"

SIGNED by the Inventor:

Witness


  
 .....  
 ROGER S BROWN

  
 .....

SIGNED by the Inventor:

Witness

  
 .....  
 KAREN C ROLES

  
 .....

SIGNED by the Inventor:

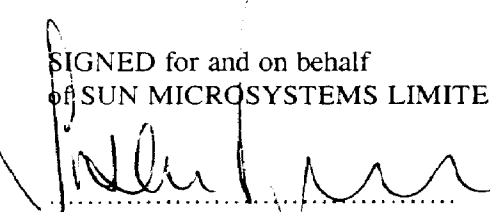
Witness

  
 .....  
 SIMON G APPLEBAUM

  
 .....

SIGNED for and on behalf  
 of SUN MICROSYSTEMS LIMITED

Witness

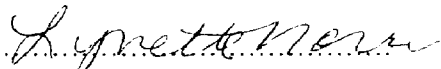
  
 .....  
 SUSAN FERGUSON  
 SOLICITOR AND COMPANY SECRETARY  
 SUN MICROSYSTEMS LIMITED

  
 .....

SIGNED for and on behalf  
 of SUN MICROSYSTEMS, INC:

Witness

  
 .....  
 KENNETH OLSEN  
 VICE PRESIDENT INTELLECTUAL PROPERTY  
 SUN MICROSYSTEMS, INC

  
 .....  
 Lynette Norris

DYC REF: P/5287.GBA

SUN REF: P3459

APPLICATION PAPERS

OF

ROGER BROWN

KAREN ROLES

AND

SIMON APPLEBAUM

FOR

CONFIGURING SYSTEM UNITS