

08-28-2001

FORM PTO-1595

(Rev. 3/01)

OMD NO. 0651-0011 exp. 5/31/2002)



101825603

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

William Lee Duncan

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

3. Nature of Conveyance

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other:Execution Date(s): August 13, 2001

2. Name and address of receiving party(ies):

Name: Sun Microsystems, Inc.

Internal Address: _____

Street Address: 901 San Antonio Road,City: Palo Alto State/Province: CA Zip: 94303

Country: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application Number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

August 14, 2001

A. Patent Application No(s)

B. Patent No(s)

09/929953Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Blakely, Sokoloff, Taylor & Zafman LLP

Internal Address: _____

Street Address: 12400 Wilshire Boulevard, 7th FloorCity: Los Angeles State: California Zip: 900256. Total number of applications and patents involved: 1

7. Total Fee (37 CFR 3.41).....\$40.00

☒ Enclosed☐ Authorized to be charged to deposit account

8. Deposit Account Number:

02-2666

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

*To the best of my knowledge and believe, the foregoing is true and correct and any attached copy is a true copy of the original document*Libby N. Ho Reg. No. 46, 774

Name of Person Signing

Signature

August 14, 2001

Date

Total number of pages including cover sheet, attachments, and document: 3Mail documents to be recorded with required cover sheet information to:
Assistant Commissioner of Patents, Box Assignments
Washington, D.C. 20231

Atty Docket No. 5140P5268

Express Mail No.: EL906881308US

PATENT
REEL: 012098 FRAME: 09891000 U.S. PTO
09/929953

Attorney's Docket No.: 5140P5268PATENT**ASSIGNMENT**

(For Execution Prior To Filing Patent Application)

In consideration of good and valuable consideration, the receipt of which is hereby acknowledged, I, the undersigned:

William Lee Duncan

hereby sell, assign, and transfer to:

SUN MICROSYSTEMS, INC.

a corporation of Delaware, having a principal place of business at 901 San Antonio Road, Palo Alto, California 94303, ("Assignee"), and its successors, assigns, and legal representatives, the entire right, title, and interest for the United States and all foreign countries, in and to any and all improvements that are disclosed in the application for the United States patent that has been executed by the undersigned prior hereto or concurrently herewith on the dates indicated below and is entitled:

ROBUST DEVICE DRIVER TOKEN MANAGEMENT FOR UPGRADED COMPUTERS USING

LEGACY DEVICE CARDS

and in and to said application and all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions, and all other patent applications that have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original patents, reissued patents, reexamination certificates, and extensions, that have been or shall be issued in the United States and all foreign countries on said improvements; and in and to all rights of priority resulting from the filing of said United States application;

agree that said Assignee may apply for and receive a patent or patents for said improvements in its own name; and that, when requested, without charge to, but at the expense of, said Assignee, its successors, assigns, and legal representatives, to carry out in good faith the intent and purpose of this Assignment, the undersigned will execute all divisional applications, continuation applications, continued prosecution applications, continuation-in-part applications, substitute applications, renewal applications, reissue applications, reexaminations, extensions and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney, and other papers; communicate to said Assignee, its successors, assigns, and representatives all facts known to the undersigned relating to said improvements and the history thereof; and generally assist said Assignee, its successors, assigns, or representatives in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements, and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

covenant with said Assignee, its successors, assigns, and legal representatives that no assignment, grant, mortgage, license, or other agreement affecting the rights and property

Docket No.: 5140P5268

Express Mail No.: EL906881308US

- 1 -

PATENT**REEL: 012098 FRAME: 0990**

herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Each Inventor: Please Sign and Date Below:

8/13, 2001 William Lee Duncan
Date Name:

Each Inventor: Please also list the date that you signed the accompanying DECLARATION AND POWER OF ATTORNEY:

8/13, 2001
Date

State of: _____ }

County of: _____ }

Assignment Document Return Address:
SS. BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
12400 Wilshire Blvd., Seventh Floor
Los Angeles, CA 90025-1026
(303) 740-1980

On this _____ day of _____, 20____, before me, _____,
the undersigned Notary Public, personally appeared _____
[] personally known to me [] proved to me on the basis of satisfactory evidence to be the
person(s) whose name(s) _____ subscribed to the within instrument, and acknowledged
that _____ executed it.
WITNESS my hand and official seal.

Notary's Signature