

08-28-2001

TO THE ASSISTANT COMMISSIONER OF SIR: PLEASE RECORD THE ATTACHED C



101825793

OF.

1. NAME OF CONVEYING PARTY(IES) (ASSIGNOR(S))

- 1. Michael Sean COE
- 3. Thomas E. PLASKET
- 5.
- 7.

08/20/01

- 2. Kenneth D. HARLAN
- 4.
- 6.
- 8.

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED?  YES  NO



2. PARTY(IES) (ASSIGNEE(S)) RECEIVING INTEREST:

NAME: The Spectranetics Corporation

ADDRESS: 96 Talamine Court, Colorado Springs, CO 80907-5159

ADDITIONAL NAME(S) & ADDRESS(ES) ATTACHED?  YES  NO

3. NATURE OF CONVEYANCE (DOCUMENT):

09/931961

(Submit herewith only one document for recordation—multiple copies of same Assignment signed by different inventors is one document)

- ASSIGNMENT OF  WHOLE  PART INTEREST
- ORIGINAL  FACSIMILE/PHOTOCOPY
- CHANGE OF NAME  VERIFIED TRANSLATION
- SECURITY  MERGER  OTHER:

EXEC. DATE: August 16, 2001

EXECUTION DATE(S) ON THE DECLARATION IF FILED HEREWITH: (**NOTE:** IF DATES ON DECLARATION AND ASSIGNMENT DIFFER SEE ATTY!) August 16, 2001

4.5 APPL. NO.(S) OR PAT NO.(S). OTHERS ON ADDITIONAL SHEET(S) attached?  YES  NO

A. PAT. APP. NO.(S) series code/serial no	M#	1 <sup>st</sup> INVENTOR if not in item 1	B. PATENT NO(S)	M#	1 <sup>st</sup> INVENTOR if not in item 1
	271803				

5. Name & Address of Party to Whom Correspondence Concerning Document Should be Mailed:

Pillsbury Winthrop LLP  
Intellectual Property Group  
1600 Tysons Boulevard  
McLean, VA 22102

6. NUMBER INVOLVED:  
APPLNS 1 + PATS 0 = TOTAL 1

7. AMOUNT OF FEE ENCLOSED: (Code 581)  
ABOVE TOTAL x \$40 = \$40

5.5 ATTY DKT:

P 271803

8. IF ABOVE FEE IS MISSING OR INADEQUATE CHARGE INSUFFICIENCY TO DEPOSIT ACCOUNT NUMBER: 03-3975

UNDER ORDER NO	61324	271803
dup. sheet not required	CLIENT NO.	MATTER NO.

MATTER NO.

CLIENT REF.

9.

*Henry J. Daley* Reg. No. 42,459  
Signature

10. Total number of pages including this cover sheet, attachments and document (do not file dup. Cover sheet)

3

Attorney: Dale S. Lazar

Reg. No. 28872

Atty/Sec: DSL/vaw

TEL: (703) 905-2126

Date: August 20, 2001

FAX: (703) 905-2500

FILE WITH PTO RETURN RECEIPT (PAT-103A)

08/22/2001 MLAKW1 00000007 09931961

04 FC:581

40.00 DP

McLean, VA 22102

**ASSIGNMENT**  
**of U.S. Origin Patent Application**

WHEREAS, the undersigned, to wit:

- |                             |                             |
|-----------------------------|-----------------------------|
| 1) <u>MICHAEL SEAN COE</u>  | 2) <u>KENNETH D. HARLAN</u> |
| 3) <u>THOMAS E. PLASKET</u> | 4) _____                    |
| 5) _____                    | 6) _____                    |
| 7) _____                    | 8) _____                    |

(hereinafter collectively ASSIGNOR), has/have made an invention known as Dkt. 61324/271803

and entitled: LEAD LOCKING DEVICE AND METHOD

for which an application for Letters Patent of the United States

- was executed even date herewith and is about to be filed in the United States Patent and Trademark Office;  
 was filed on \_\_\_\_\_, Appln. No. \_\_\_\_\_ / \_\_\_\_\_;

AND WHEREAS The Spectranetics Corporation

(hereinafter ASSIGNEE), duly organized and existing under the laws of the State of Colorado

96 Talamine Court, Colorado Springs, CO 80907-

and having its principal office and place of business at 5159

desires to acquire an interest therein;


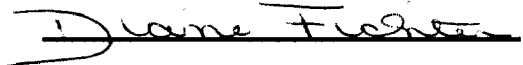

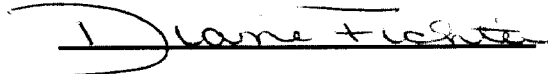


NOW, THEREFORE, in consideration of Ten Dollars (\$10.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said ASSIGNOR, does hereby sell, assign and transfer unto ASSIGNEE, its successors, assigns and legal representatives, the full and exclusive right, title and interest to the said invention in the United States and all foreign countries, as described in the aforesaid application, and to the said application and to all continuations, divisions, reissues and substitutes of said application, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreements to which the United States of America adheres, and ASSIGNOR hereby authorizes and requests the Commissioner of Patents to issue said Letters Patent to ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives.

AND ASSIGNOR hereby agrees to execute any papers requested by ASSIGNEE, its successors, assigns and legal representatives, deemed essential to ASSIGNEE's full protection and title in and to the invention hereby transferred.

ASSIGNOR furthermore agrees upon request of said ASSIGNEE, and without further remuneration, to execute any and all papers desired by said ASSIGNEE for the filing and granting of foreign applications and the perfecting of title thereto in said ASSIGNEE.

NOTE: The undersigned hereby authorizes Pillsbury Winthrop LLP of the above address to insert hereon any further identification necessary or desirable for recordation of this document.

Executed on the date(s) below indicated.

<u>Signature</u>	<u>Date Signed</u>	<u>Witness</u>
1) <u></u> Name: MICHAEL SEAN COE	<u>16 AUG 01</u>	<u></u>
2) <u></u> Name: KENNETH D. HARLAN	<u>8-16-01</u>	<u></u>
3) <u></u> Name: THOMAS E. PLASKET	<u>8-16-01</u>	<u></u>
4) _____ Name:	_____	_____
5) _____ Name:	_____	_____
6) _____ Name:	_____	_____
7) _____ Name:	_____	_____
8) _____ Name:	_____	_____