

08-28-2001



101826319

Docket-30435.95WOU1

To the Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying parties:

- (1) CHANG-JIN KIM
(2) JUNGHOON LEE

8-20-01

2. Name and address of receiving party:

The Regents of the University of California
1111 Franklin Street, 12th Floor
Oakland, California 94607

Additional name(s) of conveying party(ies) attached? ☒ No

Additional name(s) & address(es) attached? ☒ No

3. Nature of conveyance:

☒ Assignment

Execution Date: (1) July 30, 2001, (2) August 4, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No.(s)

B. Patent No.(s)

PCT/US01/23506 filed July 25, 2001

Additional numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: George H. Gates
Address: GATES & COOPER LLP
6701 Center Drive West, Suite 1050
Los Angeles, CA 90045

6. Total number of applications and patents involved: One

7. Total fee (37 CFR 3.41): \$40

☒ Assignment recordation fee is to be charged to a Credit Card. **Form PTO-2038 Credit Card Payment Form is enclosed.**

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To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

George H. Gates

Name of Person Signing

Signature

August 13, 2001

Date

Total number of pages including cover sheet, attachments, and document: Three

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08/27/2001 LMUELLER 00000066 PCTUS0123506

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PATENT
REEL: 012101 FRAME: 0570

ASSIGNMENT

U.C. Case No. 2000-270-2

G & C Case No. 30435.95WOU1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S),

1. Chang-Jin Kim

2. Junghoon Lee

hereby sells, assigns and transfers to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located 1111 Franklin Street, 12th Floor, Oakland, California 94607, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

ELECTROWETTING-DRIVEN MICROPUMPING

and which is found in

 X PCT International Patent Application Number PCT/US01/23506 , filed
on 25 July 2001

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

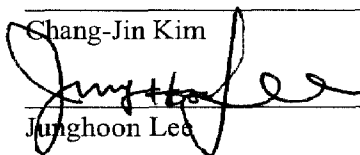
AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

Signature of Inventor(s)

 day of , 2001

 4 day of August , 2001

Chang-Jin Kim

Junghoon Lee

ASSIGNMENT

U.C. Case No. 2000-270-2

G & C Case No. 30435.95WOU1

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2. Junghoon Lee

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and which is found in

X PCT International Patent Application Number PCT/US01/23506, filed
on 25 July 2001

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.


AND the ASSIGNOR(S) requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

executed this

30 day of July, 2001

____ day of _____, 2001

Signature of Inventor(s)



Chang-Jin Kim

Junghoon Lee