



08-28-2001



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APPENDIX B

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DOCKET NO. 210\_229

Form PTO 1595

U.S. Department of Commerce

RECORDATION FORM COVER SHEET  
PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents on hand thereof.

1. Name of conveying party(ies)

Christian C. Herbeck and Michael W. Austin

Additional name(s) of conveying parties attached ☒ No

2. Name and address of receiving party(ies):

Name: Carrier Corporation

Address: Carrier World Headquarters Building, One  
Carrier Place, Farmington, CT 06034Additional name(s) attached? ☒ No

3. Nature of Conveyance:

☒ Assignment ☐ Merger  
☐ Security Agreement ☐ Change of Name  
☐ OtherExecution Date July 30, 2001

4. Application number(s) or patent number(s)

If this document is being filed together with a new application, the execution date of this application is .

A. Patent Application No.(s)

09/904684 - filed on July 13, 2001

B. Patent No.(s)

Additional Numbers attached? ☒ No

5. Name and address of party to whom correspondence concerning this document should be mailed:

Name: Thomas J. Wall

Address: WALL MARJAMA & BILINSKI  
101 South Salina Street, Suite 400  
Syracuse, NY 13202  
Telephone: (315) 425-9000  
Facsimile: (315) 425-91146. Total number of application and patents involved: 1

7. Total fee (37 CFR 3.4) \$40.00

☒ Enclosed  
☒ Authorized to charge any deficiency or credit any over payment to  
Deposit Account No. 50-0289.

8. Charge Deposit account

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9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Thomas J. Wall  
Thomas J. Wall

Reg. No. 24,280

August 13, 2001

Date

Total Number of Pages Comprising Cover Sheet 3

OMB NO 0651-0011(EXP. 4/94)

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08/13/2001 13:51:01 00000040 09904684

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08/13/2001

PATENT  
REEL: 012102 FRAME: 0615

## ASSIGNMENT

(1-8) Insert Name(s) of Inventor(s)

(1) Christian C. Herbeck (5) \_\_\_\_\_  
(2) Michael W. Austin (6) \_\_\_\_\_  
(3) \_\_\_\_\_ (7) \_\_\_\_\_  
(4) \_\_\_\_\_ (8) \_\_\_\_\_

In consideration of the sum of dollar (\$1.00) and other good and valuable consideration paid to each of the undersigned, the undersigned agree(s) to assign, and hereby does assign, transfer and set over to

(9) Insert name of Assignee

(9) Carrier Corporation

(10) Insert address of Assignee

(10) Carrier World Headquarters Building, One Carrier Place,  
Farmington, CT 06034

(hereinafter designated as the Assignee) the entire right, title and interest for the United States, its territories, dependencies and possessions, in the invention, and all applications for patent and any Letters Patent which may be granted therefor, known as

(11) Insert Identification of Invention, such as Title, Case Number or Foreign Application Number

(11) THERMAL BARRIER FOR AIR HANDLER (AHU) CABINET  
(Case No. 210 229 (10069) )

for which the undersigned have executed an application for patent in the United States of America

(12) Insert Date of Signing of Application

(12) on July 30, 2001

(13) Alternative identification

(13) U.S. Application(s) Serial Number(s) 09/904684 for filed application(s) filed July 13, 2001

1) The undersigned agree(s) to execute all papers necessary in connection with the application and any continuing or divisional applications thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

2) The undersigned agree(s) to execute all papers necessary in connection with any interference which may be declared concerning this application or continuation or division thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

3) The undersigned agree(s) to execute all papers and documents and perform any act which may be necessary in connection with claims or provisions of the International Convention for Protection of Industrial Property or similar agreements.

4) The undersigned agree(s) to perform all affirmative acts which may be necessary to obtain a grant of a valid United States patent to the Assignee.

5) The undersigned hereby authorize(s) and request(s) the Commissioner of Patents to issue any and all Letters Patents of the United States resulting from said application or any divisions or continuing applications thereof to the said Assignee, as Assignee of the entire interest, and hereby covenants that he has (they have) full right to convey the entire interest herein assigned, and that he has (they have) not executed and will not execute, any agreement in conflict herewith.

6) The undersigned hereby grant(s) Thomas J. Wall, of WALL MARJAMA BILINSKI the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office recordation of this document.

**In witness whereof, executed by the undersigned on the date(s) opposite the undersigned name(s).**

Date 7/30/01 Signature  (SEAL)  
Christian C. Herbeck

Date 7/30/01 Signature  (SEAL)  
Michael W. Austin

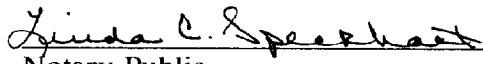
This assignment should preferably be signed before: (a) a Notary Public if within the U.S.A. or (b) a U.S. Consul if outside the U.S.A. If neither, then it should be signed before at least two witnesses who also sign here:

Date \_\_\_\_\_ Witness \_\_\_\_\_

Date \_\_\_\_\_ Witness \_\_\_\_\_


STATE OF In }  
COUNTY OF Warren } SS: 412-70-2751

On this 30th day of July, before me, the subscriber(s) personally came Christian C. Herbeck to me known and known to me to be the person(s) described in and who executed the within instrument and he(they) acknowledged to me that he (they) executed the same.

  
Notary Public

STATE OF In }  
COUNTY OF Warren } SS: 412-70-2751

On this 30th day of July, before me, the subscriber(s) personally came Michael W. Austin to me known and known to me to be the person(s) described in and who executed the within instrument and he(they) acknowledged to me that he (they) executed the same.

  
Notary Public