

Docket No. PG3608

08-29-2001

RE



ET

101826778

To the Honorable Commissioner of Patents

to receive the attached original documents or copy thereof.

1. Name of conveying party(ies): Paul Kenneth RAND; Gregor John McLennan ANDERSON

2. Name and address of receiving party(ies):

Additional name(s) of conveying party(ies) attached?

Name: SmithKline Beecham Corporation

Internal Address: Corporate Intellectual Property Dept.

3. Nature of conveyance:

Assignment

Merger

Security Agreement

Change of Name

Other \_\_\_\_\_

Street Address: One Franklin Plaza

Philadelphia, Pennsylvania 19102

USA

Additional name(s) & address(es) attached?

Yes

No

Execution Date: July 30, 2001; July 30, 2001

Application number(s) or patent number(s): 09/890,727 filed August 03, 2001

AUG 23 2001

If this document is being filed together with a new application, the Express Mail date of the application is:

A. Patent Application No.(s)

Patent No.(s)

Additional numbers attached?

Yes

No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name:

David J. Levy  
Patent Counsel

Internal Address:

GlaxoSmithKline  
Corporate Intellectual Property

Street Address:

Five Moore Drive  
PO Box 13398

City: RTP

State: NC

Zip: 27709

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):..... \$ 40.00

Enclosed

Authorized to be charged to deposit account

8. Deposit account number: 07-1392

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

X

James P. Riek ( Reg. No. 39,009)

20 Aug 2001  
Date

Total number of pages comprising cover sheet: 1 of 1

08/28/2001 AAMMED1 00000135 071392 09890727  
01 FC:581 40.00 CH

## ASSIGNMENT

WHEREAS I/WE, Paul Kenneth RAND, a citizen of Great Britian, residing at Ware, GB and Gregor John McLennan ANDERSON, a citizen of Great Britian, residing at Ware, GB (hereinafter called "the inventor(s)") have invented or discovered INHALATION DEVICE (hereinafter called "the invention") for which a patent application No. GB9902493.7 was filed on 5 February 1999 in the United Kindom; and for which a patent application and for which an international patent application PCT/EP99/09615 was filed 8 December 1999 designating the United States of America; and for which a United States Patent application is now being filed in the United States Patent and Trademark Office. I/we hereby authorize and request that the filing date and US patent application serial number, when known, be inserted here in parentheses;

(US 09/890,727 filed 3 August 2001 )

WHEREAS the invention, being made in the circumstances set out in Section 39(1)(a) of the United Kingdom Patents Act 1977, belongs to my/our employer, namely GLAXO WELLCOME plc, a company incorporated in England whose registered address is Lansdowne House, Berkeley Square, London W1X 6BQ, England, and

WHEREAS GLAXO RESEARCH AND DEVELOPMENT LIMITED, a company incorporated in England whose registered address is Glaxo Wellcome House, Berkeley Avenue, Greenford, Middlesex UB6 0NN, England, is desirous of acquiring from GLAXO WELLCOME plc the whole right, title and interest in and to the invention and the application, and

WHEREAS GLAXO WELLCOME plc and GLAXO RESEARCH AND DEVELOPMENT LIMITED have authorised and requested my/our making the application, and

WHEREAS SMITHKLINE BEECHAM CORPORATION a corporation organised and existing under and by virtue of the laws of the State of Pennsylvania and having its principal place of business at One Franklin Plaza, Philadelphia, Pennsylvania 19102, USA is desirous of acquiring from GLAXO RESEARCH AND DEVELOPMENT LIMITED the whole right, title and interest in and to the invention and the application in respect of the United States of America;

**NOW, THEREFORE**, to all whom it may concern be it known that I/we, the inventor(s), hereby confirm the ownership by **GLAXO WELLCOME plc** of the invention and the application by operation of law under the United Kingdom Patents Act 1977 and, if under the law of the United States of America I/we the inventor(s) have any ownership right, title and interest in the invention and the application (which I/we do not believe to be the case and claim no ownership right, title or interest in the invention and the application based on the law of the United Kingdom), I/we the inventor(s) nevertheless hereby assign and transfer such ownership right, title and interest in and to the invention and the application to **GLAXO WELLCOME plc**. **GLAXO WELLCOME plc** in turn hereby assigns and transfers to **GLAXO RESEARCH AND DEVELOPMENT LIMITED** its whole right, title and interest in and to the invention and the application. **GLAXO RESEARCH AND DEVELOPMENT LIMITED** in turn hereby assigns and transfers to **SMITHKLINE BEECHAM CORPORATION** its whole right, title and interest in and throughout the United States of America in and to the invention and the application and in and to any priority rights in respect of the invention and the application and in and to any divisional application, continuation or continuation in part application thereof, and in and to any extension or re-issue thereof, and I/we the inventor(s) and **GLAXO WELLCOME plc** and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** hereby authorise and request any patent arising therefrom in the United States of America be issued to **SMITHKLINE BEECHAM CORPORATION**.

**AND GLAXO WELLCOME plc** and **GLAXO RESEARCH AND DEVELOPMENT LIMITED** hereby, and I/we the inventor(s) for myself/ourselves and my/our respective executors and legal representatives hereby, agree to provide information and make execute and deliver any and all other instruments in writing, and any and all further acts, applications, papers, affidavits, assignments and other documents which may be possible and are necessary or desirable to more effectually secure to and vest in **SMITHKLINE BEECHAM CORPORATION**, its successors and assigns, the whole right, title and interest in and to the invention and the application hereby assigned and transferred in respect of the United States of America.

IT is hereby declared that each of the transactions hereby effected does not form part of a larger transaction or of a series of transactions in respect of which the amount or value, or the aggregate amount or value, of the consideration exceeds £60,000.

IN WITNESS whereof and with effect from 05 February 1999 the inventor(s) and David J. Lev as Attorney of both GLAXO WELLCOME plc and GLAXO RESEARCH AND DEVELOPMENT LIMITED by virtue of Powers of Attorney granted by GLAXO WELLCOME plc and GLAXO RESEARCH AND DEVELOPMENT LIMITED respectively have hereunto set their respective hands.

SIGNED by Paul Kenneth RAND

Date: X...30th July 01

X Paul Kenneth Rand

in the presence of: (Witness to print name and sign below)

Name: X A L A WIGHT

Signature: X A L A WIGHT

SIGNED by Gregor John McLennan ANDERSON

Date: X...30th July 01

X Gregor J Anderson

in the presence of: (Witness to print name and sign below)

Name: X A L A WIGHT

Signature: X A L A WIGHT

SIGNED by David J. Levy as the Attorney of each of Glaxo Wellcome plc and Glaxo Research and Development Limited:

David J. Levy

Date: AUGUST 20, 2001

David J. Levy