

RECORDATION SET

08-29-2001

To the Honorable Commissioner for Patent

ment(s) or copy(ies) thereof.

1. Name of Conveying Party(ies):

Zheng, Jun
8-16-01



101827722

10186 U.S. PTO
09/931669
08/16/01

Additional name(s) of conveying party(ies) attached? Yes No

2. Name and Address of Party(ies) receiving an interest (assignee(s)):

Name: Applied Optoelectronics, Inc.
Address: 13111 Jess Pirtle Boulevard
Sugar Land, Texas 77478
Country: USA

Additional name(s) and address(es) attached? Yes No

3. Nature of Conveyance:

Assignment Merger Change of Name
 Verified Translation Security Agreement Other: _____

Execution Date: **08162001**

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date(s) of the application is: **08162001**

A. Patent Application No(s): B. Patent No(s):

Others on additional sheet(s) attached? Yes No

5. Name and address of party to whom correspondence concerning document should be mailed:

N. Stephan Kinsella
Applied Optoelectronics, Inc.
13111 Jess Pirtle Blvd.
Sugar Land, Texas 77478
(281) 295-1800 (telephone)
(281) 295-1888 (facsimile)

6. Total number of applications and patents involved:

Application(s): 1
+ Patent(s): _____
= Total: 1

7. Total Fee (37 C.F.R. § 3.41) \$ **40.00**

Enclosed
 Authorized to be charged to Deposit Account

8. Deposit Account No.: 50-1705
(Duplicate copy of this sheet attached)

Charge any underpayment or credit any overpayment to above Deposit Account

DO NOT USE THIS SPACE

9. Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

N. Stephan Kinsella
Name of Person Signing

N. S. Kinsella
Signature

08/16/2001
Date

08/28/2001 TDIAZ1 00000078 501705 of pages including cover sheet, attachments, and document:

01 FC:581 40.00 CH

3

ASSIGNMENT

I, Jun Zheng, hereby declare that I am the inventor of the invention relating to **VCSEL WITH HEAT-SPREADING LAYER** described in an application for Letters Patent of the United States of America, identified as Docket No. PAT036US, executed this same day.

For valuable consideration received, I hereby sell, assign, and transfer to Applied Optoelectronics, Inc., a Texas corporation, with its principal place of business located at 13111 Jess Pirtle Blvd., Sugar Land, Texas 77478, its successors, and assigns, my entire right, title, and interest, for all countries in and to said inventions, and all the rights and privileges under any and all applications and Letters Patents that may be granted therefore, and any divisions, continuations, reissues, and extensions thereof.

I agree that, when requested, I will, without charge to said Corporation but at its expense, sign all papers, take all rightful oaths, make all rightful declarations, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents or other forms of protection for said inventions in any and all countries, and for vesting title thereto in said Corporation, its successors, assigns or nominees.

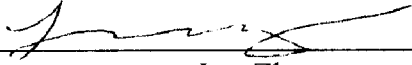
I agree that I will communicate to said Corporation or its representatives any facts known to me respecting said inventions and, when requested by said Corporation and at its expense, will testify in any legal proceedings, and generally do everything possible to aid said Corporation, its successors, assigns, and legal representatives or nominees, to obtain or enforce proper protection for said inventions in any and all countries.

I authorize and empower said Corporation, its successors, assigns or nominees, to make application for patent or other form of protection for said inventions in its or their own name, or in my name, in any and all countries, and to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right or priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it, and to invoke and claim such right of priority without further written or oral authorization from us.

I hereby consent that a copy of this Assignment shall be deemed a full, legal, and formal equivalent of any Assignment, consent to file or like document, which may be required in any country for any purpose, and more particularly, in proof of the right of the said Corporation or its successors, assigns or nominees to apply for patent or other proper protection for said inventions, and to claim the aforesaid benefits of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it.

I covenant Applied Optoelectronics, Inc., its successors, assigns, legal representatives, and nominees, that to the best of my knowledge the right, title, and interest herein conveyed by me, is free and clear of any incumbrance, and that I have full right to convey the same as herein expressed.

Signed at Sugar Land, Texas Dated 08/16/01


Inventor 
Jun Zheng

Address: 2767 Briargrove Drive #665, Houston, Texas 77057

This 16th day of August, 2001, before me personally came the above-named Jun Zheng known as the individual who executed the foregoing Assignment, who acknowledged to me that he executed the same of his own free will for the purposes therein set forth.

Witness my hand and Notarial seal the day and year immediately above-written.




Notary Public