

Office

08-30-2001

R SHEET

U.S. DEPT. OF COMMERCE  
Patent and Trademark

LY

HT01-015

101828536

To the Honorable Commissioner of Patents and Trademarks, PTO, Washington, DC 20590-0001, and original documents or copy thereof.

1. Name of conveying party(ies):

KOCHAN JU, YOU FENG ZHENG, ROD LEE

8-17-01

2. Name and address of receiving party(ies):

Name: Headway Technologies, Inc.

Internal Address:

Street Address:

678 S. Hillview Drive  
City: Milpitas State: Ca Zip:  
95035Additional name(s) or conveying party(ies) attached? ☐ Yes ☒ No11046 U.S. PTO  
09/931155  
08/17/01

3. Nature of conveyance



Assignment



Merger



Security Agreement



Change of Name



other \_\_\_\_\_

Execution Date: 8/1/01Additional name(s) & address(es) attached? Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 8/1/01 < 8/6/01A. Patent Application No(s)B. Patent No(s)Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: GEORGE O. SAILE

Internal Address: \_\_\_\_\_

Street Address: 20 MCINTOSH DRIVECity: POUGHKEEPSIE State: NY ZIP: 126036. Total no. of applications and patents involved: 17. Total fee (37 CFR 3.41): \$ 40.00

Enclosed



Authorized to be charged to deposit acct.

8. Deposit account number: 19-0033

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

08/29/2001 DBYRNE 00000077 190033 09931155

01 FC:581 40.00 CH

9. Statement and signature.

To the best of my knowledge and belief the foregoing information is true and correct and any attached copy is a true copy of the original document

STEPHEN B. ACKERMAN

Name of Person Signing

Signature

8/15/01

Date

For ☒ U.S. and/or ☒ Foreign Rights

For ☒ Application or ☐ U.S. Patent

By ☒ Inventor(s) or ☐ Present Owner

### ASSIGNMENT OF INVENTION

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

#### ASSIGNOR:

**KOCHAN JU**  
Type or print name of ASSIGNOR  
*Kochan Ju*  
Address  
3535 Woodside Terrace  
Fremont, CA 94539  
USA  
Nationality

**YOU FENG ZHENG**  
Type or print name of ASSIGNOR  
1812 Castro Dr.  
Address  
San Jose, CA 95130  
China  
Nationality

**ROD LEE**  
Type or print name of ASSIGNOR  
859 Foxridge Way  
Address  
San Jose, CA, 95133  
USA  
Nationality

#### ASSIGNEE:

**Headway Technologies, Inc.**  
678 S. Hillview Drive  
Milpitas, CA 95035  
USA  
Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

- ☒ the entire right, title and interest  
☐ an undivided \_\_\_\_\_ percent (\_\_\_\_%) interest for the United States and its territorial possessions  
(check the following box if foreign rights are also to be assigned)  
☒ and in all foreign countries

in and to any and all improvements which are disclosed in the invention entitled:

**Spin Valve Head With A Current Channeling Layer**

PATENT

REEL: 012109 FRAME: 0092

and which is found in

- (a) ☐ U.S. patent application executed on even date herewith
- (b) ☒ U.S. patent application executed on 8/1/01 & 8/6/01
- (c) ☐ U.S. application serial no. \_\_\_\_\_ filed on \_\_\_\_\_
- (d) ☐ U.S. patent no. \_\_\_\_\_ issued \_\_\_\_\_  
(also check (e) if foreign application(s) is also being assigned)
- (e) ☐ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute, thereof, and as to letters patent any re-issue or re-examination thereof.

ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment.

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I / We have signed this  
8-01-2001 (Date of signing)

**WARNING** Date of signing must be the same as the date of execution of the application if item (a) was checked above.

Kochan  
Signature of ASSIGNOR(S)

[Signature]  
[Signature]

If ASSIGNOR is a legal entity complete the following information

\_\_\_\_\_  
Type or print the name of the above person authorized to sign on  
behalf of ASSIGNOR

\_\_\_\_\_  
Title

Note: No witnessing, notarization or legalization is necessary, if the assignment is notarized or legalized then it will only be prima facie evidence (35 USC 261). Use next page if notarization is desired.

\_\_\_Notarization of Legalization Page Added.