

08-30-2001



TO THE ASSISTANT COMMISSIONER FOR PAT.

101829588

ents or copy thereof.

1. Name of conveying party(ies): (If multiple assignors, list numerically)

Branko Hermesec and David Arthur Edward Butt

Additional name(s) of conveying party(ies) attached?

() Yes (X) No

2. Name and address of receiving party(ies):

Name: The University of Melbourne**Internal Address:****Street Address:** Grattan Street**City:** Parkville **State:** Victoria, Australia **ZIP:** 3052

Additional name(s) of receiving party(ies) attached?

() Yes (X) No

3. Nature of conveyance:

- (X) Assignment
() Merger
() Security Agreement
() Change of Name
() Other:

Execution Date: (If multiple assignors, list execution dates in numerical order corresponding to numbers indicated in 1 above) **BOTH on June 14, 2001**

4. Application number(s) or Patent number(s):

() Application(s) filed herewith Execution Date(s):

(X) Patent Application No.: 09/856,865

Filing Date: May 24, 2001

() Patent No.:

Issue Date:

Additional numbers attached? () Yes (X) No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Daniel E. Altman
KNOBBE, MARTENS, OLSON & BEAR, LLP
Customer No. 20,995

Internal Address: Sixteenth Floor**Street Address:** 620 Newport Center Drive**City:** Newport Beach **State:** CA **ZIP:** 92660**Attorney's Docket No.:** DAVI135.001APC

7. Total fee (37 CFR 1.21(h)): \$40

(X) Enclosed

() Authorized to be charged to deposit account

8. Deposit account number: 11-1410

Please charge this account for any additional fees which may be required, or credit any overpayment to this account.

6. Total number of applications and patents involved: 1

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct, and any attached copy is a true copy of the original document.

Daniel E. Altman
Name of Person Signing

Signature

Date

34,115

Registration No.

Total number of pages including cover sheet, attachments and document: 2

Mail documents to be recorded with required cover sheet information to:

U.S. Patent and Trademark Office

Attn: Assignment Division

Crystal Gateway-4

1213 Jefferson Davis Highway, Suite 320
Arlington, VA 22202

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US
PATENT

ASSIGNMENT

WHEREAS, I/WE (1) Branko HERMESCEC
an Australian citizen, residing at 1040 Midland Highway, Creswick, Victoria 3363, Australia

(2) David Arthur Edward BUTT
an Australian citizen, residing at 51 Loch Avenue, Ballarat, Victoria 3350, Australia

(3)
an _____ citizen, residing at _____

hereinafter referred to as Assignor (collectively if more than one inventor is listed above), have invented certain new and useful improvements in **PROCESS FOR THE RECOVERY OF LOW MOLECULAR WEIGHT PHENOLS, FURFURAL, FURFURYL ALCOHOL AND/OR CELLULOSE OR CELLULOSE-RICH RESIDUES**, the specification of which:

- (a) ☐ was executed on even date herewith;
- (b) ☐ was filed on _____ as ☐ Application No. _____
or ☐ Express Mail No., as Application No. not yet known _____ and was amended on _____ (if applicable); or
- (c) ☒ was described and claimed in PCT International Application PCT/AU99/01045 filed on 24 November, 1999 and as amended under PCT Article 19 on _____ (if any) and/or under PCT Article 34 on _____ (if any).

AND WHEREAS, THE UNIVERSITY OF MELBOURNE with its principal
place of business at Grattan Street, Parkville, Victoria 3052, Australia

(hereinafter referred to as Assignee) desires to acquire the entire right, title, and interest in and to the said improvements with respect to the United States of America, its territories and possessions.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby acknowledges that it has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto Assignee, its successors, legal representatives and assigns, the entire right, title, and interest in the United States of America, and its territories and possessions in, to and under said improvements, and any Patent Applications in the United States of America and all divisions, renewals and continuations thereof, and all Patents of the United States of America which may be granted thereon and all renewals and extensions thereof, and all rights of priority under International Conventions; and Assignor hereby authorizes and requests the Commissioner of Patents of the United States of America to issue all Patents for said improvements to Assignee, its successors, legal representatives and assigns, in accordance with the terms of this instrument.

AND ASSIGNOR HEREBY covenants and agrees that it will communicate to Assignee, its successors, legal representatives and assigns, any facts known to it respecting said improvements, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and renewal applications, make all rightful oaths and generally do everything possible to aid Assignee, its successors, legal representatives and assigns, to obtain and enforce proper patent protection for said improvements in the United States of America.

IN TESTIMONY WHEREOF, Assignor intending to be legally bound has hereunto affixed its signature.

x This 14th day of June, 2001

x Branko HERMESCEC
Signature of Branko HERMESCEC

x This 14th day of June, 2001

x David Arthur Edward BUTT
Signature of David Arthur Edward BUTT

This _____ day of _____, 2001

Signature of _____

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Attorney's Docket No. _____

DECLARATION AND POWER OF ATTORNEY - USA PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name:

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **PROCESS FOR THE RECOVERY OF LOW MOLECULAR WEIGHT PHENOLS, PURPURAL, PURPURYL ALCOHOL AND/OR CELLULOSE OR CELLULOSE-RICH RESIDUES**

the specification of which:

- (a) ☐ is attached hereto; or
- (b) ☐ was filed on _____ as ☐ Application No. 0 / _____ or ☐ Express Mail No., as Application No, not yet known _____ and was amended on _____ (if applicable); or
- (c) ☒ was described and claimed in PCT International Application No. PCT/AU99/01045 filed on 24 November, 1999 and as amended under PCT Article 19 on _____ (if any) and/or under PCT Article 34 on _____ (if any).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above;

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56;

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent, design or inventor's certificate or any PCT international application(s) listed below and have also identified below any foreign application(s) for patent, design or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed for the same subject matter having a filing date before that of the application(s) of which priority is claimed:

PRIOR FOREIGN APPLICATION(S)

COUNTRY (OR INDICATE IF PCT)	APPLICATION NUMBER	DATE OF FILING (day, month, year)	PRIORITY CLAIMED UNDER 37 U.S.C. § 119	
Australia	PP7290/98	24 November, 1998	☒ YES	NO ☐
			☐ YES	NO ☐
			☐ YES	NO ☐
			☐ YES	NO ☐
			☐ YES	NO ☐

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below, and insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code § 112, I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56, which became available between the filing date of the prior application and the national or PCT international filing date of this application:

ROBERT, MARTENS, OLSON & DEAR, LLP
NEWPORT CENTER 14TH FLOOR NEWPORT SP-04, ON 11000
(415) 760-0404 FAX (415) 760-0402

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Attorney's Docket No. _____

Prior U.S.A. Application(s) _____

Application No.: _____ Filing Date: _____ Status: _____

POWER OF ATTORNEY: I hereby appoint the registrants of Knobbs, Martens, Olson & Bear, LLP, 630 Newport Center Drive, Sixteenth Floor, Newport Beach, California 92660, Telephone (714) 760-0404, Customer No. 20,995, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith (if this application is assigned, I acknowledge that the appointed individuals do not represent me, and that instead they represent the assignee).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful, false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or first inventor: Branko HERMESERInventor's signature: [Signature] Day 14 Month 06 Year 2001Residence (city and country): Creswick, Victoria, AustraliaCitizenship: AustralianPost Office Address: 1040 Midland Highway, Creswick, Victoria 3363, AustraliaFull name of second inventor: David Arthur Edward BUTTInventor's signature: [Signature] Day 14 Month 06 Year 2001Residence (city and country): Ballarat, Victoria, AustraliaCitizenship: AustralianPost Office Address: 51 Loch Avenue, Ballarat, Victoria 3350, Australia

Full name of third inventor: _____

Inventor's signature: _____ Day _____ Month _____ Year _____

Residence (city and country): _____

Citizenship: _____

Post Office Address: _____

Send Correspondence To:
KNOBBS, MARTENS, OLSON & BEAR, LLP
Customer No. 20,995

KNOBBS, MARTENS, OLSON & BEAR, LLP
630 NEWPORT CENTER DRIVE, 16TH FLOOR, NEWPORT BEACH, CA 92660
(714) 760-0404 FAX (714) 760-0405