

08-30-2001

Form PTO-1595

R

U.S. Department of Commerce

Docket No. 510015-268

101828576

To the Hon. Commissioner of Patents &amp; Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Larry A. Coldren, Eric M. Hall and  
Shigeru NakagawaAdditional name of conveying party attached? ☐ Yes ☒ No

2. Name and address of receiving party(ies)

Name The Regents of the University of CaliforniaStreet Address: 1111 Franklin Street, 5<sup>th</sup> FloorCity: Oakland State CA ZIP 94607-5200Additional name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name**Assignment being submitted in counterparts – 2 pages**Execution Date(s): August 17, 2001

4. Application number(s) or patent numbers:

If this document is being filed together with a new application, the execution date of the application is: August 17, 2001.

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address party to whom correspondence concerning document should be mailed:

Name Charles Berman, Esq.Internal Address: 38th FloorStreet Address 2029 Century Park EastCity: Los Angeles State CA ZIP 900676. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41) \$40.00☒ Enclosed (Check No. 023453)☒ Any discrepancy or overpayment is authorized to be charged to deposit account

8. Deposit Account number:

16-2230

(Attach duplicate copy of this page if paying by deposit account)

**DO NOT USE THIS SPACE**

9. Statement and signature.

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Marc P. Bobys, Reg. No. 45,267

Name of Person Signing

Signature

August 21, 2001

Date

Total number of pages including cover sheet, attachments, and document: 4Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

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40.00 DP

LA: 297241 v01 08/21/2001

**PATENT****REEL: 012111 FRAME: 0488**

ASSIGNMENTU.C. Case No. 2001-026-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNORS,

1. Larry A. Coldren2. Eric M. Hall3. Shigeru Nakagawa

hereby sell, assign and transfer to ASSIGNEE, The Regents of the University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 5th Floor, Oakland, CA 94607-5200, and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

DOUBLE INTRACAVITY CONTACTED LONG-WAVELENGTH VCSELS

and which is found in

(a) \_\_\_\_\_ U.S. provisional application filed herewith and listing the above named persons as inventors

(b) X U.S. patent application filed herewith and listing the above named persons as inventors

(c) \_\_\_\_\_ U.S. application serial no. \_\_\_\_\_, filed on \_\_\_\_\_

(d) \_\_\_\_\_ U.S. Patent No.: \_\_\_\_\_, issued \_\_\_\_\_

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(a) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNORS hereby covenant that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNORS further covenant that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalent as may be known and accessible to ASSIGNORS and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof. An attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States an any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this

17th day of July, 2001

\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_ day of \_\_\_\_\_, 2001

Signature of Inventors

Larry A. Coldren
Eric M. Hall
Shigeru Nakagawa

ASSIGNMENTU.C. Case No. 2001-026-1

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1. Larry A. Coldren2. Eric M. Hall3. Shigeru Nakagawa

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DOUBLE INTRACAVITY CONTACTED LONG-WAVELENGTH VCSELS

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AND the ASSIGNORS request the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States an any reissue or extension thereof to the ASSIGNEE, The Regents of the University of California.

Executed this

Signature of Inventors

\_\_\_\_\_ day of \_\_\_\_\_, 2001

\_\_\_\_\_  
Larry A. Coldren17 day of August, 2001\_\_\_\_\_  
Eric M. Hall17 day of August, 2001\_\_\_\_\_  
Shigeru Nakagawa

LA: 296132 v02 08/16/2001