

COBK

08-31-2001

Substitute Form PTO-1595
Attorney Docket No.: 05770-061001
Client's Ref. No.: ASC-263

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Commissioner for Patents: Please record the attached original document(s) or copy(ies).	
1. Name of conveying party(ies): James L. Smith and W. Larry Hults Additional name(s) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	2. Name and address of receiving party(ies): The Regents of the University of California 1111 Franklin Street Fifth Floor Oakland, CA 94667 AUG 27 2001 Additional names/addresses attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other: Execution Date: 05/22/01	
4. Application number(s) or patent number(s): If this document is being filed with a new application, the execution date of the application is: A. Patent Application No(s): 08/942,038 B. Patent No(s): Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
5. Name/address of party to whom correspondence concerning document should be mailed: SEAN P. DALEY Fish & Richardson P.C. 225 Franklin Street Boston, MA 02110-2804	6. Total number of applications/patents involved: 1 7. Total fee (37 CFR §3.41): \$40 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to charge Deposit Account. 8. Deposit Account No.: 06-1050 Please apply any additional charges, or any credits, to our Deposit Account No. 06-1050.
DO NOT USE THIS SPACE	
9. Statement and Signature: <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Sean P. Daley Reg. No. 40,978 Name of Person Signing Signature Date: August 22, 2001	
Total number of pages including coversheet, attachments and document: 4	

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CERTIFICATE OF MAILING BY FIRST CLASS MAIL

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner of Patents, Washington, D.C. 20231.

22 August 2001
Date of Deposit
Diane C. Brown
Signature
Diane C. Brown
Typed Name of Person Signing Certificate

PATENT
REEL: 012115 FRAME: 0449

ASSIGNMENT

For valuable consideration, we, James L. Smith of Los Alamos, NM, and W. Larry Hults of Los Alamos, NM, hereby assign to: The Regents of the University of California, a CALIFORNIA corporation having a place of business at: 1111 Franklin Street, Fifth Floor, Oakland, CA 94607 its successors and assigns (collectively hereinafter called "the Assignee"), the entire right, title and interest throughout the world in the inventions and improvements which are subject of an application for United States Patent signed by us, entitled SUBSTRATES FOR SUPERCONDUCTORS, filed October 1, 1997, and assigned U.S. Serial Number 08/942,038; this assignment including said application, any and all United States and foreign patents, utility models, and design registrations granted for any of said inventions or improvements, and the right to claim priority based on the filing date of said application under the International Convention for the Protection of Industrial Property, the Patent Cooperation Treaty, the European Patent Convention, and all other treaties of like purposes; and we authorize the Assignee to apply in all countries in our name or in its own name for patents, utility models, design registrations and like rights of exclusion and for inventors' certificates for said inventions and improvements; and we agree for ourselves and our respective heirs, legal representatives and assigns, without further compensation to perform such lawful acts and to sign such further applications, assignments, Preliminary Statements and other lawful documents as the Assignee may reasonably request to effectuate fully this assignment.

IN WITNESS WHEREOF, I hereto set my hand and seal at Go Alamos,
this 22 day of May, 2001
James L. Smith L.S.
JAMES L. SMITH

State of New Mexico
County of Go Alamos : SS.

Before me this 22 day of May, 2001, personally
appeared James L. Smith known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

S. Ruth Dupre
Notary Public

My Commission Expires: 11/13/2003

[Notary's Seal Here]

IN WITNESS WHEREOF, I hereto set my hand and seal at Los Alamitos,
this 22 day of May, 2001,
W. Larry Hulth L.S.
W. Larry Hulth

State of New Mexico:
County of Los Alamitos: : ss.

Before me this 22 day of May, 2001, personally
appeared W. Larry Hulth known to me to be the person whose name is subscribed to the
foregoing Assignment and acknowledged that he executed the same as his free act and deed for
the purposes therein contained.

S. Ruth Dupre
Notary Public
My Commission Expires: 11/13/2003

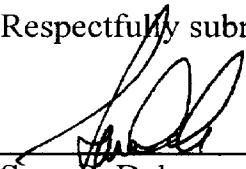
[Notary's Seal Here]

Applicant : Leslie G. Fritzemeier et al.
Serial No. : 08/942,038
Filed : October 1, 1997
Page : 2

Attorney's Docket No.: 05770-061001 / ASC-263

Respectfully submitted,

Date: August 22, 2001



Sean P. Daley
Reg. No. 40,978

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