

08-31-2001

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U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office



Tab settings

To the Honorable Commissioner of Pat

101830065

attached original documents or copy thereof.

1. Name of conveying party(ies):

Erik V. Johnson

Edward H. Sargent

Additional name(s) of conveying party(ies) attached?

☐ Yes ☒ No

2. Name and address of receiving party(ies):

Name: Nortel Networks Limited

Internal Address:

Street Address: 2351 Boulevard Alfred-Nobel

St. Laurent, Quebec H4S 2A9, Canada

City: State: ZIP:

Additional name(s) & address(es) attached?

☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other

Execution Date: August 11, 2001

4. Application number(s) or patent number(s):

09/933222

If this document is being filed together with a new application, the execution date of the application is: August 20, 2001

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached?

☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lindsay J. McGuinness

Internal Address: Nortel Networks Limited

Patent Department

MS E65-60-403

Street Address: 600 Technology Park Drive

City: Billerica State: MA ZIP: 01821

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) \$ 40.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number:

(Attach duplicate copy of this page if paying by deposit account)

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document

Jeffrey T. Klayman

Name of Person Signing

Signature

August 20, 2001

Date

Total number of pages including cover sheet, attachments, and document:

5

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

(Form PTO-1595-Recordation Form Cover Sheet - page 1 of 3)

PATENT
REEL: 012116 FRAME: 0162

ASSIGNMENT

ASSIGNOR: Erik V. Johnson
Edward H. Sargent

ASSIGNEE: Nortel Networks Limited
World Trade Center of Montreal
380 St. Antoine Street West, 8th Floor
Montreal, Quebec H2Y 3Y4
Canada

STATE OF INCORPORATION OF ASSIGNEE: N/A

INVENTION: OPTICAL AUTOMATIC GAIN CONTROL BASED ON
STABLE, NON-ABSORBING OPTICAL HARD LIMITERS

ATTORNEY DOCKET: 2204/C04

SERIAL NO: Not yet assigned

FILED: Herewith

Assignor is the sole inventor (if only one inventor is listed above) or a joint inventor (if more than one inventor is listed above) of the above invention (the "Invention") described in a United States patent application (the "Application") bearing the above attorney docket number and having as a title the above name for the Invention. The Application has a Patent and Trademark Office filing date and serial number as indicated above, or if no filing date and serial number are shown, has a Declaration executed by Assignor contemporaneously with this Assignment.

For valuable consideration, receipt of which is acknowledged, each Assignor hereby assigns to Assignee (which term shall include Assignee's successors and assigns), all of Assignor's right, title and interest in the Invention, all improvements therein, the Application and

all priority rights arising therefrom, and any patents, and any reissues and extensions thereof, which issue in any country upon any patent applications which correspond with any of the following: the Application, any divisional, continuation-in-whole, or substitute United States application which is based on the Application; or any continuation-in-part United States application (including divisions, continuations-in-whole or -in-part, and substitutions thereof or therefor) based in-part on any of the above described applications.

Each Assignor further agrees that such Assignor and Assignor's heirs and legal representatives will, without further consideration, cooperate with Assignee in the prosecution of all of the above applications, execute, verify, acknowledge and deliver all such further papers, including applications for patents and for reissues and extensions therefor, and instruments of assignment and transfer thereof, and will communicate any facts known to Assignor relating to the Invention, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee. Each Assignor further agrees that such Assignor will, without further compensation to Assignor during the term of such Assignor's employment by Assignee and thereafter for reasonable compensation as determined by Assignee, perform such other acts as may be reasonably required when requested by Assignee, including attending depositions, preparing and executing declarations and affidavits and testifying as a witness, to obtain or maintain or enforce patents for the Invention and improvements therein in any and all countries and to vest title thereto in Assignee.

below.

Date:

~~Erik V. Johnson~~, Assignor

CITY OF

PROVINCE OF

Johnson, to me known to be the person described in the foregoing instrument, who, being first

Notary Public

My Commission Expires:

(seal)

Date: Aug 11, 2001

Edward H. Sargent, Assignor

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) SS.

)

(seal)

Notary Public

My Commission Expires:

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