Form PTO-1595U.S. 1-3-92



DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney Docket No.:18680-250518

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.				
1. Name of conveying party(ies):  CORPORATE COMPENSATION PLANS, INC.	2. Name and address of receiving party(ies):			
CORFORATE COMPENSATION PLANS, INC.	Corporate Compensation Plans, Inc. of Connecticut			
	457 Main Street Danbury, Connecticut 06811			
Additional name(s) of conveying party(ies) attached? ☐Yes ⊠No	,,			
3. Nature of conveyance:	× 0			
Security Agreement				
Other				
Execution Date August 7, 2001	Additional name(s) & address(es) attached? ☐Yes ☒No			
4. Application number(s) or patent number(s):	I			
If this document is being filed together with a new application, the execution date of the application is:				
A. Patent Application No.(s) 09/328,856	B. Patent No.(s)			
Additional numbers attached? ☐ Yes ☒ No				
5.Name and address of party to whom correspondence concerning document should be mailed:	6. Total number of applications and patents involved: [ 1 ]			
Richard H. Zaitlen Esq.	7. Total fee (37 CFR 3.41)\$\(\frac{40.00}{}\)			
PILLSBURY WINTHROP LLP 725 South Figueroa Street, Suite 2800	⊠ Enclosed			
Los Angeles, CA 90017-5406	Authorized to be charged to deposit account			
	8. Deposit account number:			
	03-3975			
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Richard H. Langen, Reg/No 27,248  Date: 08/28/01				
Richard H. Parker, 19				
OMB No. 0651-0011 (exp. 4/94)  Mail documents to be recorded with required cover sheet information to:				
Assistant Commissioner				
Box Assignments				
Washington, D.C. 20231				

(8/04/200) 4/04(3 - 00000036 **/932885**6

14), M. S.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Group No.: 2166

PHILIP T. DAVIS JANET M. McCUNE HUBERT V. FORCIER

Examiner: J. Parisi

Serial No.: 09/328,856

Filed: **JUNE** 9, 1999

For: METHOD AND SYSTEM FOR PROVIDING INSURANCE PROTECTION AGAINST LOSS OF RETIREMENT ACCUMULATIONS IN A TAX FAVORED DEFINED CONTRIBUTION PLAN IN THE EVENT OF A PARTICIPANT'S DISABILITY

## ASSIGNMENT BY ASSIGNEE OF PENDING U.S. PATENT APPLICATION

Assistant Commissioner For Patents Washington, D.C. 20231

Dear Sir:

WHEREAS, CORPORATE COMPENSATION PLANS, INC. (hereinafter ASSIGNOR)

is the owner of the interest of Philip T. Davis in the following United States Patent Application:

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PATENT REEL: 012121 FRAME: 0808

Inventor(s)	Application No.	Filing Date	<u>Title</u>
PHILIP T. DAVIS JANET M. McCUNE HUBERT V. FORCIER	09/328,856	June 9, 1999	METHOD AND SYSTEM FOR PROVIDING INSURANCE PROTECTION AGAINST LOSS OF RETIREMENT ACCUMULATIONS IN A TAX FAVORED DEFINED CONTRIBUTION PLAN IN THE EVENT OF A PARTICIPANT'S DISABILITY

by virtue of an assignment from an inventor executed on September 2, 1998 and recorded on October 10, 1998, in the United States Patent and Trademark Office at reel 9504, frame 0938, ASSIGNOR does, for adequate consideration received from

## Corporate Compensation Plans, Inc. of Connecticut

(hereinafter ASSIGNEE), duly organized and existing under the laws of the State of Connecticut, and having its principal office at 457 Main Street, Danbury, Connecticut 06811 acknowledge receipt of such consideration and hereby sells, assigns and transfers unto ASSIGNEE, its successors and assigns, the entire right, title and interest in, to and under the interest of Philip T. Davis in said Patent Application.

AND the undersigned hereby authorize(s) and request(s) the United States Commissioner of Patents and Trademarks to issue Letters Patent to the said ASSIGNEE, for its interest as ASSIGNEE, its successors, assigns and legal representatives; the undersigned agree(s) that the attorney of record in said application shall hereinafter act on behalf of said ASSIGNEE.

PATENT REEL: 012121 FRAME: 0809

PATENT 18680-0250518

AND the undersigned hereby agree(s) to testify and execute any papers for ASSIGNEE, its successors, assigns and legal representatives, deemed essential by ASSIGNEE to ASSIGNEE's full protection and title in and to the invention hereby transferred.

NOTE: The undersigned hereby authorizes Pillsbury Winthrop LLP to insert hereon any further identification necessary or desirable for recordation of this document.

CORPORATE COMPENSATION PLANS, INC.

**ASSIGNOR** 

Sig:

Name:

Title:

Date:

8/7

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PATENT REEL: 012121 FRAME: 0810