



09-05-2001



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Form PTO-1595 (Rev. 03/01) OMB No. 0651-0027 (exp. 5/31/2002)

U.S. Department of Commerce Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Paul B. Germeraad Sheryl Ann Heaton Luke Hohmann Irving S. Rappaport Kevin G. Rivette

9-30-01

2. Name and address of receiving party(ies): Name: Aurigin Systems, Inc. Internal Address: Street Address: 10710 North Tantau Avenue City: Cupertino State: California Zip Code: 95014

3. Nature of Conveyance: [X] Assignment [] Merger [] Security Agreement [] Change of Name [] Other Execution Date: July 23, 2001, August 1, 2001, August 10, 2001, July 19, 2001, July 20, 2001

Country: U.S.A. Additional name(s) & address(es) attached? [] yes [X] no

4. Application number(s) or patent number(s): If this document is being filed together with a new application, the execution date of the application is A. Patent Application No(s). 09/790,897

B. Patent No(s).

Additional numbers attached? [] yes [X] no

5. Name and address of party to whom correspondence concerning document should be mailed: Name: Sterne, Kessler, Goldstein & Fox P.L.L.C. Internal Address: c/o Street Address: 1100 New York Ave., N.W. Suite 600 City: Washington State: D.C. Zip Code: 20005-3934

6. Total number of applications and patents involved: 1 7. Total fee (37 C.F.R. § 3.41).....\$ 40.00 [X] Enclosed [] Authorized to be charged to Deposit Account 8. Deposit Account Number: 19-0036 (Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Molly A. McCall Signature August 30, 2001 Date

Total number of pages including cover sheet, attachments and document

Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks, Box Assignments Washington, D.C. 20231

09/05/2001 01 FC:581 40.00 DP

PATENT REEL: 012123 FRAME: 0736

ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned inventors: (1) Paul B. Germeraad, (2) Sheryl A. Heaton, (3) Luke Hohmann, (4) Irving S. Rappaport, and (5) Kevin G. Rivette, the undersigned inventors hereby sell and assign to Aurigin Systems, Inc. (the Assignee) his/her entire right, title and interest, including the right to sue for past infringement and to collect for all past, present and future damages:

check applicable box(es) for the United States of America (as defined in 35 U.S.C. § 100),
 and throughout the world,

(a) in the invention(s) known as Patent-Related Tools and Methodology for Use in the Merger and Acquisition Process for which application(s) for patent in the United States of America has (have) been executed by the undersigned on (1) 7/23/01, (2) 8/1/01, (3) 8/10/01, (4) 7/19/01, and (5) 7/20/01 known as United States Application No. 09/790,897, filed February 23, 2001), in any and all applications thereon, in any and all Letters Patent(s) therefor, and

(b) in any and all applications that claim the benefit of the patent application listed above in part (a), including continuing applications, reissues, extensions, renewals and reexaminations of the patent application or Letters Patent therefor listed above in part (a), to the full extent of the term or terms for which Letters Patents issue, and

(c) in any and all inventions described in the patent application listed above in part (a), and in any and all forms of intellectual and industrial property protection derivable from such patent application, and that are derivable from any and all continuing applications, reissues, extensions, renewals and reexaminations of such patent application, including, without limitation, patents, applications, utility models, inventor's certificates, and designs together with the right to file applications therefor; and including the right to claim the same priority rights from any previously filed applications under the International Agreement for the Protection of Industrial Property, or any other international agreement, or the domestic laws of the country in which any such application is filed, as may be applicable;

all such rights, title and interest to be held and enjoyed by the above-named Assignee, its successors, legal representatives and assigns to the same extent as all such rights, title and interest would have been held and enjoyed by the Assignor had this assignment and sale not been made.

The undersigned inventor(s) agree(s) to execute all papers necessary in connection with the application(s) and any continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such application(s) as the Assignee may deem necessary or expedient.

The undersigned inventor(s) agree(s) to execute all papers necessary in connection with any interference or patent enforcement action (judicial or otherwise) related to the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application(s) thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference or patent enforcement action.

The undersigned inventor(s) hereby represent(s) that he/she has full right to convey the entire interest herein assigned, and that he/she has not executed, and will not execute, any agreement in conflict therewith.

The undersigned inventor(s) hereby grant(s) Robert Greene Sterne, Esquire, Registration No. 28,912; Edward J. Kessler, Esquire, Registration No. 25,688; Jorge A. Goldstein, Esquire, Registration No. 29,021; David K.S. Cornwell, Esquire, Registration No. 31,944; Robert W. Esmond, Esquire, Registration No. 32,893; Tracy-Gene G. Durkin, Esquire, Registration No. 32,831; Michele A. Cimbala, Esquire, Registration No. 33,851; Michael B. Ray, Esquire, Registration No. 33,997; Robert E. Sokohl, Esquire, Registration No. 36,013; Eric K. Steffe, Esquire,

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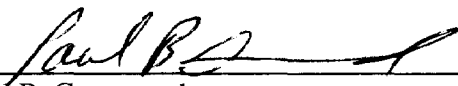
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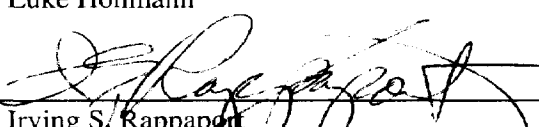
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IN WITNESS WHEREOF, executed by the undersigned inventor(s) on the date opposite his/her name.

Date: X 7/23/01 Signature of Inventor: X  (1)
Paul B. Germeraad

Date: X Signature of Inventor: X (2)
Sheryl Ann Heaton

Date: X Signature of Inventor: X (3)
Luke Hohmann

Date: 7/19/01 Signature of Inventor:  (4)
Irving S. Rappaport

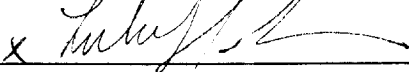
Date: X 7/27/01 Signature of Inventor: X  (5)
Kevin G. Rivette

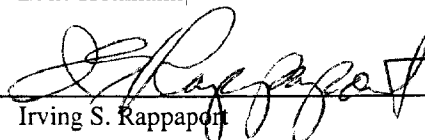
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Date: X _____ Signature of Inventor: X _____ (1)
Paul B. Germeraad

Date: X _____ Signature of Inventor: X _____ (2)
Sheryl Ann Heaton

Date: X Aug. 10, 2001 _____ Signature of Inventor: X  _____ (3)
Luke Hohmann

Date: 7/19/01 _____ Signature of Inventor: X  _____ (4)
Irving S. Rappaport

Date: X _____ Signature of Inventor: X _____ (5)
Kevin G. Rivette

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Paul B. Germeraad

Date: X 8/1/01 _____ Signature of Inventor: X Sheryl Ann Heaton (2)
Sheryl Ann Heaton

Date: X _____ Signature of Inventor: X _____ (3)
Luke Hohmann

Date: 7/19/01 _____ Signature of Inventor: Irving S. Rappaport (4)
Irving S. Rappaport

Date: X _____ Signature of Inventor: X _____ (5)
Kevin G. Rivette



STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

ATTORNEYS AT LAW

1100 NEW YORK AVENUE, N.W. • WASHINGTON, D.C. 20005-3934

PHONE: (202) 371-2600 • FACSIMILE: (202) 371-2540 • www.skgf.com

ROBERT GREENE STERNE
EDWARD J. KESSLER
JORGE A. GOLDSTEIN
SAMUEL L. FOX***
DAVID K.S. CORNWELL
ROBERT W. ESMOND
TRACY-GENE G. DURKIN
MICHELE A. CIMBALA
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MATTHEW J. DOWD**
TIMOTHY M. SPEER**
AARON L. SCHWATZ**

*LIMITED TO MATTERS
AND PROCEEDINGS BEFORE
FEDERAL COURTS & AGENCIES
**REGISTERED PATENT AGENT
***SENIOR COUNSEL

August 30, 2001

WRITER'S DIRECT NUMBER:

(202) 218-7817

INTERNET ADDRESS:

MAMCALL@SKGF.COM

The Honorable Director
of Patents and Trademarks
Washington, D.C. 20231

Box Assignment

Re: U.S. Utility Patent Application
Appl. No. 09/790,897; Filed: February 23, 2001
For: **Patent-Related Tools and Methodology for Use in the Merger and
Acquisition Process**
Inventors: Germeraad *et al.*
Our Ref: 1531.015000D

Sir:

Applicants submit the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Fee Transmittal Form (PTO/SB/17);
2. Recordation Form Cover Sheet (Form PTO-1595);
3. Assignments to Aurigin Systems, Inc., official recordation and return of which are respectfully requested;
4. Return postcard; and
5. Our check no. 32412 for \$40.00 to cover recordation fee(s) (37 C.F.R. § 1.21).

**PATENT
REEL: 012123 FRAME: 0743**

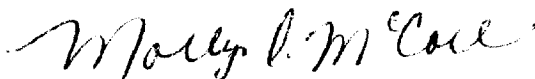
The Honorable Director
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August 30, 2001
Page 2

It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

If extensions of time under 37 C.F.R. § 1.136 other than those otherwise provided for herewith are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned, and any fees therefor are hereby authorized to be charged to our Deposit Account No. 19-0036.

Respectfully submitted,

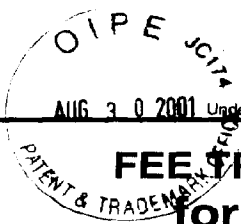
STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.



Molly A. McCall
Attorney for Applicants
Registration No. 46,126

MQL/MAM/tc
Enclosures

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SKGF Rev. 4/12/01 mac



FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

Complete if Known

Application Number	09/790,897
Filing Date	February 23, 2001
First Named Inventor	Germeraad et al.
Examiner Name	(to be assigned)
Group Art Unit	2176
Attorney Docket No.	1531.015000D

TOTAL AMOUNT OF PAYMENT	(\$40.00)
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METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)																																																																																																																																																																																			
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to: Deposit Account Number: 19-0036 Deposit Account Name: Sterne, Kessler, Goldstein & Fox P.L.L.C. <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR §§ 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27		3. ADDITIONAL FEES Large Entity Small Entity <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for <i>ex parte</i> reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Molly A. McCall	Registration No. (Attorney/Agent)	46,126	Telephone	202-371-2600
Signature	<i>Molly A. McCall</i>	Date	<i>August 30, 2001</i>		

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