

09-05-2001

Docket No.: ROC920000248US1

Please

101833229

reof.

1. Name of conveying party(ies):

Hal H. Ottesen;
Gordon J. Smith

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address:

City: Armonk State: New York ZIP: 10504

Country:

Additional name(s) & addresses attached?

☐ Yes ☒ No

Additional name(s) of conveying party(ies) attached?

☒ No ☐ Yes

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: August 15, 2001 and August 20, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application Serial No.(s)/Filing Date(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

6. Total number of applications and patents involved: 1

Name: Patrick G. Billig

7. Total fee (37 CFR 3.41). \$40.00

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Patrick G. Billig

(Name of Person Signing)

Signature

Date

Total number of pages comprising cover sheet, attachments and document: 2

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PATENT
REEL: 012125 FRAME: 0564

ASSIGNMENT

Whereas, I/we

(1) Hal Hjalmar Ottesen
County of Olmsted

City of Rochester
and State of Minnesota,

and (2) Gordon James Smith
County of Olmsted

City of Rochester
and State of Minnesota

and (3) ,
County of ,

City of ,
and State of ,

have invented certain improvements in

ADAPTIVE DUAL-FREQUENCY NOTCH FILTER

and have executed, respectively, a United States patent application therefor on

Dates Inventors Signed Declaration:

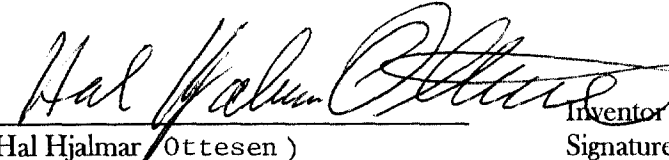
(1) August 15, 2001 (2) August 20, 2001 and (3) _____, 20__.

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, I/we the above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and I/we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and I/we hereby agree that IBM may apply for foreign Letters Patent on said invention and I/we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

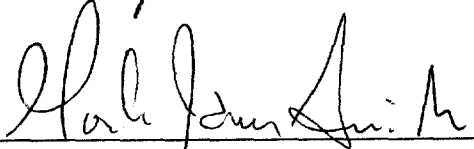
Signed

Inventor at Rochester, MN


(Hal Hjalmar Ottesen)
Inventor
Signature

(1) on 8/15, 2001.

Inventor at Rochester, MN


(Gordon James Smith)
Inventor
Signature

(2) on 8/20, 2001.

Inventor at _____

(First Name, Initial, Last Name)

Inventor
Signature

(3) on _____, 20__.