

09-05-2001



101834001

FORM PTO-1595

U.S. DEPARTMENT OF

PATENT AND TRADEMARK OFFICE

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS: PLEASE RECORD ATTACHED ORIGINAL DOCUMENTS OR COPY THEREOF

1. NAME OF CONVEYING PARTY(IES):

Kevin Stewart Dick
Eric Kenneth RescorlaADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ Yes ☒ No2. NAME AND ADDRESS OF RECEIVING PARTY(IES):
NAME:

Claymore Systems, Inc.

INTERNAL ADDRESS:

STREET ADDRESS:
3246 Lovis Road
Palo Alto, CA 94303ADDITIONAL NAME(S) AND ADDRESS(ES) ATTACHED? ☐ Yes ☒ No

3. NATURE OF CONVEYANCE:

☒ ASSIGNMENT ☐ MERGER
☐ SECURITY AGREEMENT ☐ CHANGE OF NAME
☐ OTHER

EXECUTION DATE: May 18, 2001

4. APPLICATION NUMBER(S) OR PATENT NUMBER(S):

IF THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS:

A. PATENT APPLICATION No(s):

09/861,281

B. PATENT No(s):

ADDITIONAL NUMBERS ATTACHED? ☐ Yes ☒ No

5. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED:

NAME: C. Douglas McDonald
INTERNAL ADDRESS: Carlton Fields, P.A.
STREET ADDRESS: P. O. Box 3239
Tampa, FL 33601-3239

6. TOTAL NUMBER OF APPLICATIONS AND PATENTS INVOLVED:

1

7. TOTAL FEE (37 CFR 3.41) \$ 40.00

☐ ENCLOSEDANY DEFICIENCY IN ENCLOSED AMOUNT IS
☒ AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT

8. DEPOSIT ACCOUNT NUMBER:

03-0683

(AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT)

DO NOT USE THIS SPACE

9. STATEMENT AND SIGNATURE.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

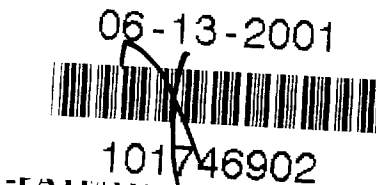
C. Douglas McDonald

NAME OF PERSON SIGNING

SIGNATURE

DATE

ASSIGNMENT



ET



To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies) *05/18/01*
- a) Kevin Stewart Dick
- b) Eric Kenneth Rescorla

2. Name and address of receiving party(ies):
- a) Name: Claymore Systems, Inc.
- Address: _____

3. Nature of conveyance
- | | |
|------------------------------------------------|--------------------------------------------|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger |
| <input type="checkbox"/> Security Agreement | <input type="checkbox"/> Change of Name |
| <input type="checkbox"/> Other _____ | <input type="checkbox"/> License Agreement |

Execution Date:

4. Application Number(s) or Patent Number(s). Not yet assigned *09/861281*

The title of the (new) application is:

SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR ANALYZING DATA FROM NETWORK-BASED STRUCTURED MESSAGE STREAM

5. Please send all correspondence concerning this (these) documents to:

CARLTON FIELDS
P.O. Box 3239
Tampa, Florida 33601-3239
Tel. No.: (813) 223-7000

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☒ Enclosed
- ☒ Authorized to be charged to Deposit Account No. 03-0683
(Order No. 44836/03191)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: 5-18-2001

[Signature]
Vidya Bhakar
Registration No. 42,323

06/11/2001 GTON11 00000274 030683 09861281
01 FC:581 40.00 CH

Attorney Docket No. CLAYP001/44836/03191

(Revised 01/96)

PATENT
REEL: 012125 FRAME: 0667

ASSIGNMENT OF PATENT APPLICATION

(Accompanying Application)

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set forth in the patent application entitled:

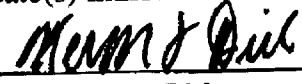

**SYSTEM, METHOD AND COMPUTER PROGRAM PRODUCT FOR ANALYZING
DATA FROM NETWORK-BASED STRUCTURED MESSAGE STREAM**

(Atty. Docket No.: CLAYP001/44836/03191) for which I (we) have executed an application for a United States Letters Patent.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/we the undersigned inventor(s) hereby:

- 1) Sell(s), assign(s) and transfer(s) to Claymore Systems, Inc., a California corporation having a place of business at 3246 Lewis Rd Palo Alto CA 94303, (hereinafter referred to as ("ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

- 1) Signature:  Date: 5/18/01
Typed Name: Kevin Stewart Dick
- 2) Signature:  Date: 5/17/01
Typed Name: Eric Kenneth Rescorla

Attny Docket No. CLAYP001

RECORDED: 05/18/2001

PATENT
REEL: 012125 FRAME: 0668