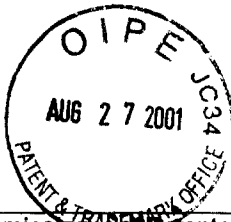


09-05-2001

U.S. Department of Commerce
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PATENT



101833635
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Conveyance Type

☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other
U.S. Government
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☐ Departmental File ☐ Secret File

Conveying Party(ies)

☒ Mark if additional names of conveying parties attached
Execution Date
Month Day Year
08 27 2001

Name (line 1) Glenn Eric Bailey

Name (line 2)

Second Party

Name (line 1) Robert J. Riffel

Execution Date
Month Day Year
08 27 2001

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) GENLYTE THOMAS GROUP LLC

Name (line 2) A Delaware Limited Liability Company

Address (line 1) 4360 Brownsboro Road

Address (line 2) Suite 300

Address (line 3) Louisville

Kentucky

40207

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

08/30/2001 SSESHE1 00000099 09851367

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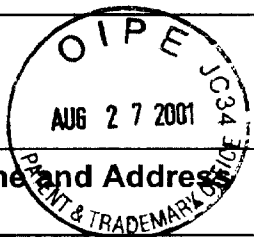
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PATENT
REEL: 012125 FRAME: 0896



Correspondent Name and Address

Area Code and Telephone Number (502) 584-1135

Name John F. Salazar

Address (line 1) Middleton Reutlinger

Address (line 2) 401 S 4th Ave Ste 2500

Address (line 3) Louisville, Kentucky 40202-3429

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments.

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3

Application Number(s) or Patent Number(s)

☐

Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09851367

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number
only if a U.S. Application Number
has not been assigned.

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PCT

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Number of Properties

Enter the total number of properties involved.

#

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41): \$

\$40.00

Method of Payment:
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

#

Authorization to charge additional fees:

Yes

☐

No

☐

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

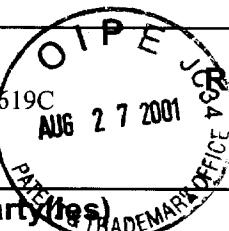
John F. Salazar, Reg. No. 39,353

Name of Person Signing

Signature

Date

8-28-2001



RECORDATION FORM COVER SHEET
CONTINUATION
PATENTS ONLY

U.S. Department of Commerce
Patent and Trademark Office
PATENT

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Enter additional Conveying Parties

Name (line 1) David Jenkins

Execution Date
Month Day Year

08242001

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Execution Date
Month Day Year

Name (line 1)

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

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Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3)

City

State/Country

Zip Code

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Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

ASSIGNMENT OF ASSIGNOR'S INTEREST

WHEREAS, I, **GLENN ERIC BAILEY**, residing at 414 Long Leaf Acres Drive, Wilmington, North Carolina 28405, USA, (the "Inventor") has invented, alone or in cooperation with others, a certain new, original invention disclosed in an application for United States Letters Patent entitled **FULLY RECESSED UNIT EQUIPMENT LUMINAIRE**, application number 09/851,367, filed on May 8, 2001;

AND WHEREAS, **GENLYTE THOMAS GROUP LLC**, a Delaware Limited Liability Company, whose principal place of business is located at 4360 Brownsboro Road, Suite 300, Louisville, Kentucky 40207 (hereafter, together with any successors, legal representatives or assigns thereof, the "Assignee"), desires to acquire the entire right, title and interest in said invention, said application, and any United States and foreign patents to be obtained therefor;

NOW THEREFORE, THE INVENTOR HEREBY SELLS, ASSIGNS AND TRANSFERS unto said Assignee, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Inventor's entire right, title and interest in said invention, said application, including any divisions, continuations, and continuations-in-part, and in and to any and all Letters Patent of the United States and all countries foreign thereto, which may be granted or have been granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to the Inventor with respect to the filing of applications for patents or the securing of patents in the United States and countries foreign thereto;

AND THE INVENTOR HEREBY AGREES to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent that may be granted for my aforesaid invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense;

AND THE INVENTOR HEREBY AUTHORIZES AND REQUESTS the United States Patent and Trademark Office, and any offices of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue any Letters Patent granted upon said application and for said Invention to said Assignee.

ASSIGNOR:

8 27 01
DATE

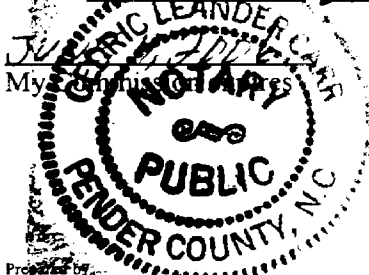
Glenn Eric Bailey
GLENN ERIC BAILEY

WITNESS / NOTARY:

STATE OF PENDER / NC)
COUNTY OF PENDER) SS:

Brian Hampton
Witness # 1 (Signature)
Name: Brian Hampton
Address: 611 WYCK FARM WAY
Wilmington, NC 28405

Subscribed, sworn and acknowledged before me by **GLENN ERIC BAILEY** and **WITNESS # 1** this 27th day of August, 2001.



Printed Name: CEDDIC CARR / Cedric Carr
Address: 1356 SHAW HWY
RAKY POINT, NC 28457

ASSIGNMENT OF ASSIGNOR'S INTEREST

WHEREAS, I, **ROBERT J. RIFFEL**, residing at 6611 Wheatfield Court, Wilmington, North Carolina 28411, USA, (the "Inventor") has invented, alone or in cooperation with others, a certain new, original invention disclosed in an application for United States Letters Patent entitled **FULLY RECESSED UNIT EQUIPMENT LUMINAIRE**, application number 09/851,367, filed on May 8, 2001;

AND WHEREAS, **GENLYTE THOMAS GROUP LLC**, a Delaware Limited Liability Company, whose principal place of business is located at 4360 Brownsboro Road, Suite 300, Louisville, Kentucky 40207 (hereafter, together with any successors, legal representatives or assigns thereof, the "Assignee"), desires to acquire the entire right, title and interest in said invention, said application, and any United States and foreign patents to be obtained therefor;

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AND THE INVENTOR HEREBY AGREES to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent that may be granted for my aforesaid invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense;

AND THE INVENTOR HEREBY AUTHORIZES AND REQUESTS the United States Patent and Trademark Office, and any offices of foreign countries whose duty it is to issue patents on applications as aforesaid, to issue any Letters Patent granted upon said application and for said Invention to said Assignee.

ASSIGNOR:

8/27/01
DATE

ROBERT J. RIFFEL
ROBERT J. RIFFEL

WITNESS / NOTARY:

STATE OF NC)
COUNTY OF PENDER) SS:
Name: Brian Hampton
Address: 6911 Wyck Farm Way
Wilmington, NC 28405

Subscribed, sworn and acknowledged before me by **ROBERT J. RIFFEL** and **WITNESS # 1** this 27 day of AUGUST, 2001.

CEPPIC CARP
My Commission Expires: JUNE 4, 2003
Notary Public
Pender County, NC
Printed Name: CEPPIC CARP
Address: 1356 SHAW HWY
ROCKY POINT, NC 28457

Prepared by: Jeffrey A. Haeblerlin, MIDDLETON REUTLINGER
401 S. 4th Ave. Ste 2500, Louisville, Kentucky 40202-3429 USA
(502) 584-1135 Fax (502) 561-0442 E-mail jhaeblerlin@middreut.com

ASSIGNMENT OF ASSIGNOR'S INTEREST

WHEREAS, I, **DAVID JENKINS**, residing at 1001 Brendan Court Chapel Hill, North Carolina 27516, USA, (the "Inventor") has invented, alone or in cooperation with others, a certain new, original invention disclosed in an application for United States Letters Patent entitled **FULLY RECESSED UNIT EQUIPMENT LUMINAIRE**, application number 09/851,367, filed on May 8, 2001;

AND WHEREAS, **GENLYTE THOMAS GROUP LLC**, a Delaware Limited Liability Company, whose principal place of business is located at 4360 Brownsboro Road, Suite 300, Louisville, Kentucky 40207 (hereafter, together with any successors, legal representatives or assigns thereof, the "Assignee"), desires to acquire the entire right, title and interest in said invention, said application, and any United States and foreign patents to be obtained therefor;

NOW THEREFORE, THE INVENTOR HEREBY SELLS, ASSIGNS AND TRANSFERS unto said Assignee, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Inventor's entire right, title and interest in said invention, said application, including any divisions, continuations, and continuations-in-part, and in and to any and all Letters Patent of the United States and all countries foreign thereto, which may be granted or have been granted for said Invention, and in and to any and all reissues and reexaminations thereof, and in and to any and all priority rights, Convention rights, and other benefits accruing or to accrue to the Inventor with respect to the filing of applications for patents or the securing of patents in the United States and countries foreign thereto;

AND THE INVENTOR HEREBY AGREES to sign and execute all necessary and lawful future documents, including applications for foreign patents, for filing divisions, continuations and continuations-in-part of said application for patent, and/or, for obtaining any reissue or reissues of any Letters Patent that may be granted for my aforesaid invention, as the Assignee or its Designee(s) may from time to time require and prepare at its own expense;

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ASSIGNOR:



DAVID JENKINS

8/24/01

DATE

WITNESS / NOTARY:



Witness # 1 (Signature)

Name:

3804 High Meadows Rd

Address:

Chapel Hill, NC 27514

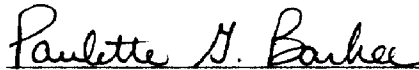
STATE OF NORTH CAROLINA)

) SS:

COUNTY OF ORANGE)

Subscribed, sworn and acknowledged before me by **DAVID JENKINS** and **WITNESS # 1** this 24 day of August, 2001.

October 15, 2005
My Commission expires



Witness #2 / Notary Public (Signature)

Printed Name:

Paulette G. Barber

Address:

903 Hwy 54 West
Chapel Hill, NC 27516

Prepared by

Jeffrey A. Haeblerlin, MIDDLETON REUTLINGER
401 S. 4th Ave. Ste. 2500, Louisville, Kentucky 40202-3429 USA
(502) 584-1135 Fax: (502) 561-0442 E-mail: jhaeblerlin@middreut.com

RECORDED: 08/27/2001

PATENT
REEL: 012125 FRAME: 0901