

09-06-2001



To the Assistant Commissioner for Patent

101834582

ents or copy thereof.

1. Name of conveying party(ies):

1) Earl Walter Emerick, 2) Lonny R. Olson-Williams,
3) Van Dee Sammons, 4) Kurt Clifford Thompson
5) Paul William Wertzler, 6) Ronald Dean Young

Additional names of conveying party(ies) attached?

☐ yes ☒ no

09/04/01

3. Nature of Conveyance

☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other

Execution Date:

1) July 23, 2001, 2) July 20, 2001, 3) August 22, 2001,
4) July 20, 2001, 5) July 20, 2001, 6) August 17, 2001

2. Name and address of receiving party(ies):

Name: International Business Machines Corporation

Internal Address:

Street Address: New Orchard Road

City Armonk

State New York Zip 10504

Additional name(s) & address(es) attached? ☐ yes ☒ no

4. Application number(s) or patent number(s):

TITLE: METHOD AND APPARATUS UPGRADE ASSISTANCE USING CRITICAL HISTORICAL PRODUCT INFORMATION
If this document is being filed together with a new application, the execution date of the application is _____.

A. Patent Application No(s).

B. Patent No(s).

Docket No. ROC920010109US1
Serial No. 09/865,371

Additional numbers attached? ☐ Yes ☒ No

Name and address of party to whom correspondence concerning document should be mailed:

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6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$ 40.00

☐ Enclosed

☒ Authorized to be charged to deposit account
☒ Total fee due
☒ Any deficiencies in the enclosed fees

8. Deposit account number:

09-0465

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Grant A. Johnson
Name of Person Signing

[Signature]
Signature

8/30/01
Date

Total number of pages comprising cover sheet: 1

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A S S I G N M E N T

Whereas, we

- | | |
|---|---|
| (1) EARL WALTER EMERICK County of Olmsted, | City of Rochester, and State of Minnesota, |
| (2) LONNY R. OLSON-WILLIAMS County of Olmsted, | City of Rochester, and State of Minnesota, |
| (3) VAN DEE SAMMONS County of Cobb, | City of Marietta, and State of Georgia, |
| (4) KURT CLIFFORD THOMPSON County of Olmsted, | City of Rochester, and State of Minnesota, |
| (5) PAUL WILLIAM WERTZLER County of Olmsted, | City of Rochester, and State of Minnesota, |
| (6) RONALD DEAN YOUNG County of Goodhue, | City of Zumbrota, and State of Minnesota, |

have invented certain improvements in

METHOD AND APPARATUS UPGRADE ASSISTANCE USING CRITICAL HISTORICAL PRODUCT INFORMATION

and have executed, respectively, a United States patent application therefor on

Dates
Inventors (1) July 23 , 2001, (2) July 20 , 2001, (3) August 22 , 2001,
Signed
Declaration

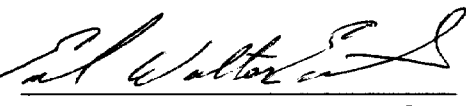





Dates
Inventors (4) July 20 , 2001, (5) July 20 , 2001, and (6) August 17 , 2001.
Signed
Declaration

And whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM), desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, we the

above named hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents to issue any Letters Patent granted upon the invention set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

| | | | |
|-----------------|--------------------------------|---|-----------------------|
| Inventor (1) | at <u>Rochester, Minnesota</u> |  EARL WALTER EMERICK | Inventor Signature |
| | on <u>7-23-</u> , 2001. | | |
| Inventor (2) | at <u>Rochester, Minnesota</u> |  LONNY R. OLSON-WILLIAMS | Inventor Signature |
| | on <u>July 20</u> , 2001. | | |
| Inventor (3) | at <u>Atlanta, Georgia</u> |  VAN DEE SAMMONS | Inventor Signature |
| | on <u>August 22</u> , 2001. | | |
| Inventor (4) | at <u>Rochester, Minnesota</u> |  KURT CLIFFORD THOMPSON | Inventor Signature |
| | on <u>7/20</u> , 2001. | | |
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| | on <u>7-20</u> , 2001. | | |
| Inventor (6) | at <u>Rochester, Minnesota</u> |  RONALD DEAN YOUNG | Inventor Signature |
| | on <u>8-17-01</u> , 2001. | | |