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Att'y's Docket No.: 99-1-525

RECORDATION  
PAT

101835260

J1002 U.S. PTO  
09/940207  
08/27/01

To the Honorable Commissioner of Patents and Trademarks:  
Please record the attached documents or copy thereof:

## 1. Name of conveying party(ies):

John W. Shaffer

Additional names of conveying parties attached? Yes ☒ No

## 2. Name and address of receiving party:

Name: OSRAM SYLVANIA Inc.  
Street Address: 100 Endicott Street  
City, State, Zip: Danvers, Massachusetts 01923

Additional names and addresses attached? Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment

Execution Date: 8/17/01

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is:

A. Patent Application No(s): B. Patent No(s):

Additional numbers attached? Yes ☒ No

## 5. Name and address of party to whom correspondence should be mailed:

William H. McNeill  
OSRAM SYLVANIA Inc.  
100 Endicott Street  
Danvers, Massachusetts 01923

6. Total number of application and/or patents involved: 1

7. Total fee (37 CFR 3.41: \$40.00

☒ Authorized to be charged to deposit account.

8. Deposit Account No.: 15-0685

## 9. Statement and Signature:

To the best of my knowledge and belief, the foregoing is true and correct and any attached document is a true copy of the original document.

W. H. McNeill  
Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments and document: 2

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40.00 CH

Express Mail Label No.: EL602 093 117US

PATENT  
REEL: 012128 FRAME: 0244

**ASSIGNMENT**

In consideration of One Dollar and other good and valuable consideration of which I acknowledge receipt, I, the undersigned inventor, sell and assign to OSRAM SYLVANIA Inc, a Delaware Corporation, its successors and assigns, my invention in "CATHODE COATING FOR THERMIONIC ARC DISCHARGE LAMP CATHODES", identified as attorney docket number 99-1-525, and the application for United States Patent therefor executed concurrently herewith, and all Patents which may be granted therefor, and all divisions, reissues, continuations, and extensions thereof, and authorize and request the Commissioner of Patents and Trademarks to issue all Patents on said invention or resulting therefrom to said Corporation as assignee of the entire interest, and covenant that I have full right to do so and agree that I will communicate to said Corporation or its representatives any facts known to me respecting said invention and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing and reissue applications, make all rightful oaths or declarations and generally do everything possible to aid said Corporation, its successors, assigns and nominees, to obtain and enforce proper patent protection for said invention, in the United States and all foreign countries.

Inventor's Signature: John W. Shaffer Date: Aug. 17, 2001  
Inventor's Name: John W. Shaffer

Address: 9 Tanager Drive, Danvers, MA 01923

WITNESS: Annette L. Glenn  
Address: 6 South St. Plymouth, MA 02360

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