

09-07-2001

PATENT

Attorney Docket No.: UH-04331



Form PTO-1595

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PATENTS OFFICEU.S. Department of Commerce  
Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Henrik H. Albert

9-4-01

Additional name(s) of conveying party(ies) attached? No

2. Name and address of receiving party(ies):

The United States of America as represented by the  
Secretary of Agriculture  
1400 Independence Avenue  
Washington, D.C. 20250-0302

Additional name(s) &amp; address(es) attached? No

3. Nature of conveyance:

Assignment

Execution Date: July 31, 2001

4. Title of Invention: **Plant Promoter Sequences and Methods of Use Thereof**

Application number(s) or patent number(s):

A. Patent Application No.(s):

09/693,467

B. Patent No.(s):

Additional numbers attached? No

5. Name and address of party to whom correspondence concerning document should be mailed:

Kamrin T. MacKnight  
MEDLEN & CARROLL, LLP  
101 Howard Street, Suite 350  
San Francisco, California 94105

6. Total number of applications and patents involved: 1

7. Total fee(s):

Check(s) in the amount of \$40 enclosed.

8. Deposit Account Authorization:

The Commissioner is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 08-1290. An originally executed duplicate of this transmittal is enclosed for this purpose.

09/06/2001 TDIAZ1 00000136 09693467

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9. Statement and Signature:

*To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.*Date: August 30, 2001Signature: Kamrin T. MacKnightName: Kamrin T. MacKnightReg. No.: 38,230

Total number of pages including cover sheet, attachments, and document: 3

PATENT  
REEL: 012129 FRAME: 0433

Docket No.: 0019.01  
Medlen & Carroll: UH-04331

Patent

ASSIGNMENT

WHEREAS, I, HENRIK H. ALBERT, residing at Honolulu, Hawaii, having jointly made an invention entitled PLANT PROMOTER SEQUENCES AND METHODS OF USE THEREOF with Hairong Wei, for which we have made application for Letters Patent of the United States, Serial No. 09/693,467, filed October 20, 2000; and

WHEREAS, the WORLDWIDE patent rights in my share of said invention are assignable to the United States by virtue of my having made the invention while in the employ of the United States Department of Agriculture under applicable law and regulations of the United States Department of Agriculture which render the patent rights so assignable; and

WHEREAS, the United States, as represented by the Secretary of Agriculture, is desirous of acquiring an assignment of said patent rights;

NOW, THEREFORE, in consideration of these premises, I hereby assign said WORLDWIDE patent rights to the United States of America, as represented by the Secretary of Agriculture.

Executed July 31, 2001, 2001

  
HENRIK H. ALBERT