

09-07-2001

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

8-3101

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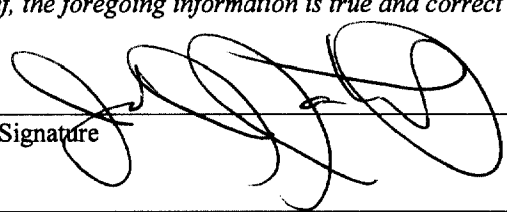
To the Honorable Commissioner of Patents

101836140

Original documents or copy thereof

<p>1. Name of conveying party(ies): <u>Lucent Technologies Inc.</u></p> <p>Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p> <p>3. Nature of Conveyance:</p> <table border="0"><tr><td><input checked="" type="checkbox"/> Assignment</td><td><input type="checkbox"/> Merger</td></tr><tr><td><input type="checkbox"/> Security Agreement</td><td><input type="checkbox"/> Change of Name</td></tr><tr><td colspan="2"><input type="checkbox"/> Correction of Assignment Recordation (previously recorded at Reel _____, Frame _____).</td></tr><tr><td colspan="2"><input type="checkbox"/> Other</td></tr></table> <p>Execution Date: <u>January 30, 2001; January 31, 2001</u></p>		<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger	<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name	<input type="checkbox"/> Correction of Assignment Recordation (previously recorded at Reel _____, Frame _____).		<input type="checkbox"/> Other		<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>Agere Systems Guardian Corporation</u></p> <p>Internal Address: _____</p> <p>Street Address: <u>555 Union Boulevard</u></p> <p>City: <u>Allentown</u> State: <u>PA</u> ZIP: <u>18109</u></p> <p>Additional name(s) & address(es) attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>
<input checked="" type="checkbox"/> Assignment	<input type="checkbox"/> Merger									
<input type="checkbox"/> Security Agreement	<input type="checkbox"/> Change of Name									
<input type="checkbox"/> Correction of Assignment Recordation (previously recorded at Reel _____, Frame _____).										
<input type="checkbox"/> Other										
<p>4. Application number(s) or patent number(s):</p> <p>If this document is being filed together with a new application, the execution date of the application is: _____</p> <p>A. Patent Application Number(s) _____ B. Patent Number(s) _____</p> <p>Serial No.: <u>09/563,874</u> Filed: <u>May 3, 2000</u> Assignment Recorded <u>May 3, 2000</u> Reel/Frame <u>010773/0218</u></p> <p>Additional number(s) attached? <input type="checkbox"/> YES <input checked="" type="checkbox"/> NO</p>										
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Jack J. Jankovitz</u></p> <p>Internal Address: <u>Ratner & Prestia</u></p> <p>Street Address: <u>Suite 301, One Westlakes, Berwyn</u> <u>P.O. Box 980</u></p> <p>City: <u>Valley Forge</u> State: <u>PA</u> ZIP: <u>19482-0980</u></p>	<p>6. Total number of applications and patents involved: <u>1</u></p> <p>7. Total fee (37 CFR 3.41): \$ <u>40.00</u></p> <p><input type="checkbox"/> Enclosed</p> <p><input checked="" type="checkbox"/> Authorized to be charged to deposit account</p> <p>8. Deposit account number: <u>501735</u> (Attach duplicate copy of this page if paying by deposit account.)</p>									

DO NOT USE THIS SPACE

<p>9. Statement and signature.</p> <p><i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i></p> <p><u>Jack J. Jankovitz</u></p> <p>Name of Person Signing _____</p> <p><u>42,690</u></p> <p>Registration. No. _____</p> <p>Signature </p> <p>Date <u>8/29/01</u></p> <p>Total number of pages including cover sheet, attachments, and document: <u>5</u></p> <p>OMB No. 0651-0011 (exp. 4/94)</p> <p>Do not detach this portion</p> <p>Mail documents to be recorded with required cover sheet information to:</p> <p><u>09/06/2001</u> <u>LMUELLER 00000207 501735 09563874</u> Commissioner of Patents and Trademarks</p> <p><u>01 FC:581</u> <u>40.00 CH</u> Box Assignments</p> <p>Washington, D.C. 20231</p>	
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PATENT ASSIGNMENT

THIS PATENT ASSIGNMENT (this "Assignment"), effective as of January 30, 2001 (the "Effective Date"), is by and between Lucent Technologies Inc., a Delaware corporation, with offices at 600 Mountain Avenue, Murray Hill, New Jersey 07974, United States of America, ("ASSIGNOR") and Agere Systems Guardian Corp., a Delaware corporation, with offices at 555 Union Boulevard, Allentown, PA 18109, United States of America ("Agere Systems Guardian").

RECITALS

A. WHEREAS, the Board of Directors of ASSIGNOR has determined that it is in the best interests of ASSIGNOR and its stockholders to separate ASSIGNOR's existing businesses into two independent businesses;

B. WHEREAS, ASSIGNOR presently owns or controls certain patents, patent applications, and invention submissions listed in the attached Appendices A and B (hereinafter "TRANSFERRED PATENTS") and;

C. WHEREAS, in furtherance of the foregoing separation, ASSIGNOR desires to transfer, assign, convey, deliver and vest all of its interests and rights in TRANSFERRED PATENTS for all countries, jurisdictions and political entities of the world, to and in Agere Systems Guardian;

NOW, THEREFORE, in consideration of the premises and for other good and valid consideration, the receipt and sufficiency of which are hereby acknowledged, the parties, intending to be legally bound, agree as follows:

ASSIGNOR, subject to existing rights and licenses of third parties, does hereby assign, convey, transfer and deliver, and agrees to assign, convey, transfer and deliver to Agere Systems Guardian, its successors, assigns and legal representatives or nominees, ASSIGNOR's entire right, title and interest, for all countries, jurisdictions and political entities of the world, along with the right to sue for past infringement, to all TRANSFERRED PATENTS listed on Appendices A and B, and corresponding counterpart foreign patents and patent applications, with respect to which, and to the extent to which, ASSIGNOR now has or hereafter acquires the right to so assign, convey, transfer and deliver. Agere Systems Guardian recognizes that ASSIGNOR holds only bare legal title to the TRANSFERRED PATENTS listed in Appendix A (which lists the United States Patents and patent applications previously exclusively licensed to Lucent Technologies Microelectronics Guardian Corp.).


ASSIGNOR and ASSIGNEE recognize that the patents listed in Appendices A and B may inadvertently include patents that are owned by various subsidiaries of ASSIGNOR, including Agere, Inc., Ortel Corporation, Optimay Corporation, Herrmann Technology, Inc., and Enable Semiconductor, Inc. Ownership of such patents shall not be affected by this Patent

Assignment, and ASSIGNEE agrees that any such patents shall be deemed deleted from Appendices A and B.

ASSIGNOR agrees that, upon request it will, at any time without charge to Agere Systems Guardian, but at Agere Systems Guardian's expense, furnish all necessary documentation relating to or supporting chain of title, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for vesting title to TRANSFERRED PATENTS in Agere Systems Guardian, its successors, assigns and legal representatives or nominees; including but not limited to any acts which may be necessary, desirable or convenient for claiming said rights and for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in Agere Systems Guardian and its respective successors, assigns and legal representatives or nominees.

IN WITNESS WHEREOF, the parties have caused this PATENT ASSIGNMENT to be executed by their duly authorized representatives as of the Effective Date.

LUCENT TECHNOLOGIES INC.

By: 
Daniel P. McCurdy
President, Intellectual Property Business

AGERE SYSTEMS GUARDIAN CORP.

By: 
Fred M. Romano
President

ACKNOWLEDGMENTS

STATE OF NEW JERSEY)

: ss:

COUNTY OF SOMERSET)

I CERTIFY that on January 30, 2001, Daniel P. McCurdy personally came before me and this person acknowledged under oath, to my satisfaction that:

- a.) this person signed, sealed and delivered the attached Patent Assignment as President - Intellectual Property Business of Lucent Technologies Inc.; and
- b.) this Patent Assignment was signed and made by Lucent Technologies Inc. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name

Notary Public

My Commission Expires

[Notarial Seal]

TAMORA ANNE HANNA

Notary Public of New Jersey

Registered in Hunterdon County

My Commission Expires March 25, 2002

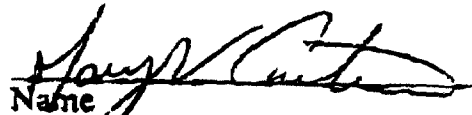
STATE OF FLORIDA)

: ss:

COUNTY OF ORANGE)

I CERTIFY that on January 31, 2001, Fred M. Romano personally came before me and this person acknowledged under oath, to my satisfaction that:

- a.) this person signed, sealed and delivered the attached Patent Assignment as Vice President of Agere Systems Guardian Corp. ; and
- b.) this Patent Assignment was signed and made by Agere Systems Guardian Corp. as its voluntary act and deed by virtue of authority from its Board of Directors.



Name

Notary Public

My Commission Expires:

[Notarial Seal]

