09-11-2001 U.S. Department of Commerce FORM PTO-1619A Patent and Trademark Office Expires 06/30/99 PATENT OMB 0651-0027 RE 101838735 TO: The Commissioner of Patents and Trademarks: Please record the attached original document(s) or copy(ies). Submission Type Conveyance Type 🛛 New Assignment Security Agreement Resubmission (Non-Recordation) License Change of Name Document ID # Correction of PTO Error Merger Other Reel # Frame # ☐ Corrective Document U.S. Government (For Use ONLY by U.S. Government Agencies) Reel# Frame # Departmental File Secret File Conveying Party(ies) Mark if additional names of conveying parties attached Execution Date Month Day Year Name (line 1) **Baldwin Technology Corporation** 10312000 Name (line 2) (a Connecticut Corporation) Second Party Execution Date Month Day Year Name (line 1) Name (line 2) **Receiving Party** Mark if additional names of conveying parties If document to be recorded is an assignment attached and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must Name (line 1) Fleet National Bank be a separate accument form Assignment.) Name (line 2) Address (line 1) One Landmark Square Address (line 2) CT/USA Address (line 3) Stamford 06901 City State/Country Zip Code **Domestic Representative Name and Address** Enter for the first Receiving Party only. Name Address (line 1) Address (line 2) Address (line 3) Address (line 4) FOR OFFICE USE ONLY 09/10/2001 DBYRHE 00000128 07532250 01 FC:581 Public burden reporting for this collection of information estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheer. Send comments regarding this burden estimate to the U.S. Patent and Trademark Offices, Chief Information Officer, Washington D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB

> Mail documents to be recorded with required cover sheet(s) information to: Commissioner of Patents and Trademarks, Box Assignments, Washington D.C. 20231

ADDRESS

Information Collection Budget Package 0651-0027. Patent and Trademark Assignment Practices. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS

| FORM PTO-1619<br>Expires 06/30/99<br>OMB 0651-0027                                                                                                                                                                   | Page 2                                                                                | U.S. Department of Commerce Patent and Trademark Office PATENT |  |  |  |  |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|----------------------------------------------------------------|--|--|--|--|
| Correspondent N                                                                                                                                                                                                      | ame and Address  Area Code and Telephone Number                                       | 860-275-8200                                                   |  |  |  |  |
| Name                                                                                                                                                                                                                 | Amy Span Wergeles, Esq.                                                               |                                                                |  |  |  |  |
| Address (line 1)                                                                                                                                                                                                     | Robinson & Cole LLP                                                                   |                                                                |  |  |  |  |
| Address (line 2)                                                                                                                                                                                                     | 280 Trumbull Street                                                                   |                                                                |  |  |  |  |
| Address (line 3)                                                                                                                                                                                                     | Hartford, CT 06103                                                                    |                                                                |  |  |  |  |
| Address (line 4)                                                                                                                                                                                                     |                                                                                       |                                                                |  |  |  |  |
| Pages                                                                                                                                                                                                                | Enter the total number of pages of the attached conveyance documents any attachments. | ment # 5                                                       |  |  |  |  |
| Application Num                                                                                                                                                                                                      | ber(s) or Patent Number (s)                                                           | Mark if additional numbers attached                            |  |  |  |  |
| Enter either the                                                                                                                                                                                                     | Patent Application Number or the Patent Number (DO NOT ENTER BOTH number              | rs for the same property).                                     |  |  |  |  |
|                                                                                                                                                                                                                      | Patent Application Number(s)                                                          | Patent Number(s)                                               |  |  |  |  |
| 07532250                                                                                                                                                                                                             | 4394870                                                                               | 4757763 4461210                                                |  |  |  |  |
|                                                                                                                                                                                                                      | 4724764                                                                               | 4856427                                                        |  |  |  |  |
|                                                                                                                                                                                                                      |                                                                                       |                                                                |  |  |  |  |
| If this document is being filed together with a <u>new</u> Patent Application, enter the date the patent application was signed by the first named executing inventor.  Month Day Year                               |                                                                                       |                                                                |  |  |  |  |
| Patent Coopera                                                                                                                                                                                                       | tion Treaty (PCT)                                                                     |                                                                |  |  |  |  |
|                                                                                                                                                                                                                      | plication number PCT PCT Application Number PCT                                       | rci                                                            |  |  |  |  |
| has not been a                                                                                                                                                                                                       | **                                                                                    | PCT                                                            |  |  |  |  |
| Number of Properties Enter the total number of properties involved. # 6                                                                                                                                              |                                                                                       |                                                                |  |  |  |  |
| Fee Amount                                                                                                                                                                                                           | Fee Amount for Properties Listed (37 CFR 3.41):                                       | \$ 240.00                                                      |  |  |  |  |
| Method of Pa<br>Deposit Acco                                                                                                                                                                                         |                                                                                       |                                                                |  |  |  |  |
| (Enter for payment by deposit account or if additional fees can be charged to the account.)                                                                                                                          |                                                                                       |                                                                |  |  |  |  |
|                                                                                                                                                                                                                      | Deposit Account Number:                                                               | # 18-1685                                                      |  |  |  |  |
|                                                                                                                                                                                                                      | Authorization to charge additional fees:                                              | Yes No 🗌                                                       |  |  |  |  |
| Statement and                                                                                                                                                                                                        |                                                                                       |                                                                |  |  |  |  |
| To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein. |                                                                                       |                                                                |  |  |  |  |
| Amy Span W                                                                                                                                                                                                           |                                                                                       | 9/04/01                                                        |  |  |  |  |
| Name of Per                                                                                                                                                                                                          | son Signing Signature                                                                 | Date                                                           |  |  |  |  |

## GRANT OF SECURITY INTEREST IN AND MORTGAGE OF UNITED STATES TRADEMARKS AND PATENTS

FOR GOOD AND VALUABLE CONSIDERATION, receipt and sufficiency of which are hereby acknowledged, BALDWIN TECHNOLOGY CORPORATION, a Connecticut corporation (the "Grantor"), hereby assigns, grants and mortgages to FLEET NATIONAL BANK, as Administrative Agent, with principal offices at One Landmark Square, Stamford, CT 06901 (the "Grantee"), a security interest in (i) all of the Grantor's right, title and interest in and to the United States trademarks, trademark registrations and trademark applications (the "Marks") set forth on Schedule A attached hereto, (ii) all of the Grantor's right, title and interest in and to the United States patents (the "Patents") set forth on Schedule B attached hereto, in each case together with (iii) all Proceeds (as such term is defined in the Security Agreement referred to below) and products of the Marks and Patents, (iv) the goodwill of the businesses symbolized by the Marks, and (v) all causes of action arising prior to or after the date hereof for infringement of any of the Marks and Patents or unfair competition regarding the same.

THIS AGREEMENT is entered into to secure the full and prompt performance and payment of all the Secured Obligations of the Grantor, as such term is defined in the Subsidiaries Security Agreement dated as of October 31, 2000 among the Grantor, the other assignors from time to time party thereto and the Grantee (as amended from time to time, the "Security Agreement"). Upon the expiration of the Commitment Period (as defined in the Security Agreement), the Grantee shall, upon such satisfaction, execute, acknowledge, and deliver to the Grantor such statements, documents or other instruments in writing as may be reasonably requested by Grantor releasing the security interest and mortgage in the Marks and Patents granted under this Agreement.

This Agreement has been entered into in conjunction with the security interest granted to the Grantee under the Security Agreement. The rights and remedies of the Grantee with respect to the security interest granted herein are without prejudice to, and are in addition to those set forth in the Security Agreement, all terms and provisions of which are incorporated herein by reference. In the event that any provisions of this Agreement are deemed to conflict with the Security Agreement, the provisions of the Security Agreement shall govern.

STAM1-680128-1

IN WITNESS WHEREOF, the undersigned have executed this Agreement as of October 31, 2000.

Grantor:

BALDWIN TECHNOLOGY CORPORATION

James M. Rutled

Title: Vice President

Grantee:

Ву

FLEET NATIONAL BANK, as Administrative Agent

W. Lincoln Schoff, Jr.

Title: Senior Vice President

| STATE OF CONNECTICUT                                                 | )                                                                                                                                                                    |
|----------------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| COUNTY OF FAIRFELD                                                   | ) ss.: Shelton<br>)                                                                                                                                                  |
| Rutledge, who, being by me duly so of Baldwin Technology Corporation | November, 2000, before me personally came James M. worn, did state as follows: that he is the Vice President on, he is authorized to execute the foregoing Agreement |
| corporation.                                                         | e did so by authority of the Board of Directors of said                                                                                                              |
|                                                                      |                                                                                                                                                                      |

Miller P. Offer
Notary Public HELEN P. OSTER

NOTARY PUBLIC
MY COMMISSION EXPIRES MAY 31, 2004

STATE OF CONNECTICUT )
) ss.: Stamford
COUNTY OF FAIRFIELD )

On this day of November, 2000, before me personally came W. Lincoln Schoff, Jr., who, being by me duly sworn, did state as follows: that he is the Senior Vice President of Fleet National Bank, that he is authorized to execute the foregoing Agreement on behalf of said corporation and that he did so by authority of the Board of Directors of said corporation.

Notary Public

## **SCHEDULE A**

| MARK                    | REG. NO.  | REG. DATE         |
|-------------------------|-----------|-------------------|
| AD-A-CARD               | 1,603,443 | 26-Jun-90         |
| СВ                      | 1,583,348 | 20-Feb-90         |
| CUSTOM-BILT MACHINERY   | 1,631,305 | 15-Jan-91         |
| AUTOMIX (Block Letters) | 916,719   | <b>20-Jul-7</b> 1 |
| BALCONTROL              | 851,430   | 25-Jun-68         |

## **SCHEDULE B**

| PATENT                                                                                        | PATENT NO.   | ISSUE DATE  |
|-----------------------------------------------------------------------------------------------|--------------|-------------|
| SYSTEM FOR MIXING CONCENTRATE AND WATER TO FORM FOUNTAIN SOLUTION FOR OFFSET PRINTING PRESSES | 4,394,870    | 26-JUL-83   |
| AUTOMATIC BLANKET CYLINDER CLEANER                                                            | 4,757,763    | 17-AUG-82   |
| WEDGE-SHAPED INK AGITATOR                                                                     | 4,461,210    | 24-JUL-84   |
| AN IMPROVED DAMPENING SYSTEM DESIGN –<br>DELTA DAMPENER                                       | 4,724,764    | 16-FEB-88   |
| INK METERING ASSEMBLY                                                                         | 4,856,427    | 15-AUG-89   |
| PROCESS AND APPARATUS FOR CLEANING THE BLANKET CYLINDERS OF A ROTARY OFFSET PRINTING PRESS    | 07/532,250 * | 01-JUN-90 * |

March 28, 2001

<sup>\*</sup> Application - Status Pending (Pat No. = Serial No.; Issue Date = Filing Date)