

09-10-2001



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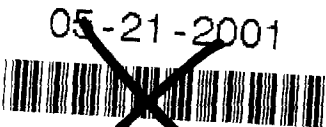
Docket No. 23860-7001

RG

5-14-01

FORM PTO-1595		RECORDATION FORM COVER SHEET PATENTS ONLY		U.S. Department of Commerce Patent and Trademark Office	
To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof:					
1. Name and address of conveying party(ies): Name: <u>Troy E. Mitchell</u> <input checked="" type="checkbox"/> Individual <input type="checkbox"/> Association <input type="checkbox"/> General Partnership <input type="checkbox"/> Limited Partnership <input type="checkbox"/> Corporation <input type="checkbox"/> Other: Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			2. Name and address of receiving party(ies): Name: <u>Suncepts, Inc.</u> Address: <u>1714 18th Street</u> <u>Santa Monica, CA 90404-4414</u> Additional name(s) of receiving party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
3. Nature of Conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other:					
Execution Date: <u>March 26, 2001</u>					
4. Application Number(s) or Patent Number(s) If this document is being filed together with a new application, the execution date of the application is:					
A. Patent Application Number(s): <u>09/738,527</u> Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			B. Patent Number(s): _____ Additional numbers attached? <input type="checkbox"/> Yes <input type="checkbox"/> No		
5. Name and address of party to whom correspondence concerning this document should be mailed: Name: <u>David G. Beck</u> Address: <u>McCutchen, Doyle, Brown & Enersen</u> <u>Three Embarcadero Center</u> <u>San Francisco, California 94111</u>			6. Total number of applications and patents involved: <u>1</u> 7. Total fee (37 C.F.R. § 3.41)(\$40.00 per assignment): \$0.00 <input type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to Deposit Account No. _____ <input checked="" type="checkbox"/> The Commissioner is authorized to charge underpayment of any fees or credit any overpayment to Deposit Account No. <u>50-1193</u> .		
DO NOT USE THIS SPACE					
9. Statement and signature. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. <div style="display: flex; justify-content: space-between;"> <div> David G. Beck Name </div> <div> <u>37,776</u> Registration Number </div> <div> Signature </div> <div> <u>8/25/01</u> Date </div> </div> <div style="text-align: right; margin-top: 10px;"> Total number of pages including cover sheet, attachments and document: <u>8</u> </div>					
Mail documents to be recorded with required cover sheet information to: Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231					

FORM PTO-1619A
Expires 06/30/99
OMB 0651-0027



U.S. Department of Commerce
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PATENT

**RECORDATION FORM COVER SHEET
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Submission Type

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☐ Resubmission (Non-Recordation)
Document ID #
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Reel # Frame #

Conveyance Type

- ☒ Assignment ☐ Security Agreement
☐ License ☐ Change of Name
☐ Merger ☐ Other

U.S. Government

(For Use ONLY by U.S. Government Agencies)

- ☐ Departmental File ☐ Secret File

Conveying Party(ies)

☐ Mark if additional names of conveying parties attached

Name (line 1) Mitchell, Troy E.

Execution Date
Month Day Year
03 26 2001

Name (line 2)

Second Party

Name (line 1)

Execution Date
Month Day Year

Name (line 2)

Receiving Party

☐ Mark if additional names of receiving parties attached

Name (line 1) Suncepts, Inc.

Name (line 2) "a corporation of California"

Address (line 1) 1714 18th Street

Address (line 2)

Address (line 3) Santa Monica

CA

90404-4414

City

State/Country

Zip Code

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Domestic Representative Name and Address

Enter for the first Receiving Party only.

Name

Address (line 1)

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Address (line 3)

Address (line 4)

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Public burden reporting for this collection of information is estimated to average approximately 30 minutes per Cover Sheet to be recorded, including time for reviewing the document and gathering the data needed to complete the Cover Sheet. Send comments regarding this burden estimate to the U.S. Patent and Trademark Office, Chief Information Officer, Washington, D.C. 20231 and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Paperwork Reduction Project (0651-0027), Washington, D.C. 20503. See OMB Information Collection Budget Package 0651-0027, Patent and Trademark Assignment Practice. DO NOT SEND REQUESTS TO RECORD ASSIGNMENT DOCUMENTS TO THIS ADDRESS.

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PATENT
REEL: 012135 FRAME: 0695

Correspondent Name and Address

Area Code and Telephone Number

(415) 576-3000

Name Mark A. Dalla Valle

Address (line 1) Baker & McKenzie

Address (line 2) Two Embarcadero Center, Suite 2400

Address (line 3) San Francisco, CA 94111

Address (line 4)

Pages

Enter the total number of pages of the attached conveyance document including any attachments

1

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached.

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

09/738,527

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

Patent Cooperation Treaty (PCT)

Enter PCT application number only if a U.S. Application Number as not been assigned.

PCT

PCT

PCT

PCT

PCT

PCT

Number of Properties

Enter the total number of properties involved.

1

Fee Amount

Fee Amount for Properties Listed (37 CFR 3.41):

\$ 40

Method of Payment:

Enclosed ☒

Deposit Account ☐

Deposit Account

Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

50-0974

Authorization to charge additional fees:

Yes ☐

No ☒

Statement and Signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Mark A. Dalla Valle, Reg. No. 34,147

Name of Person Signing

Signature

Date

ASSIGNMENT

WHEREAS, I, Troy E. Mitchell, hereinafter referred to as "ASSIGNOR", have invented certain new and useful improvements as described and set forth in the below-identified application for United States Letters Patent:

Title of Invention: EYEGLOSS RETAINER AND CASE WITH DETACHABLE STRAPS

Application Execution Date:

Filing Date: December 15, 2000

Application No.: 09/738,527

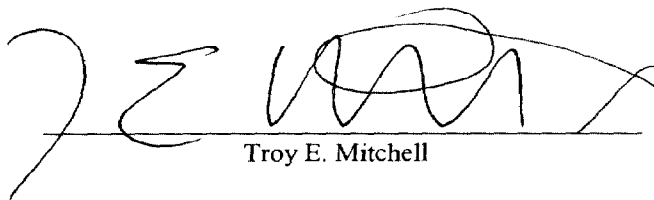
WHEREAS, SUNCEPTS, INC., a corporation of the State of California, 1714 18th Street, Santa Monica, California 90404-4414, hereinafter referred to as "ASSIGNEE", is desirous of acquiring the entire right, title and interest in the said invention and application and in any Letters Patent which may be granted on the same;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN: Be it known that, for One Dollar (\$1.00) and other good and valuable considerations, receipt of which is hereby acknowledged by Assignor, Assignor has sold, assigned and transferred, and by these presents does sell, assign and transfer unto the said Assignee, and Assignee's successors and assigns, all right, title and interest in and to the said invention, said application for United States Letters Patent, and any Letters Patent which may hereafter be granted on the same in the United States and all countries throughout the world including any divisions, renewals, continuations in whole or in part, substitutions, conversions, reissues, prolongations or extensions thereof, said interest to be held and enjoyed by said Assignee as fully and exclusively as it would have been held and enjoyed by said Assignor had this assignment and transfer not been made, to the full end and term of any such Letters Patent.

Assignor further agrees that he will, without charge to said Assignee, but at Assignee's expense, cooperate with Assignee in the prosecution of said application and/or applications, execute, verify, acknowledge and deliver all such further papers, including applications for Letters Patent and for the reissue thereof, and instruments of assignment and transfer thereof, and will perform such other acts as Assignee lawfully may request, to obtain or maintain Letters Patent for said invention and improvement in any and all countries, and to vest title thereto in said Assignee, or Assignee's successors and assigns.

IN TESTIMONY WHEREOF, Assignor has hereunto signed his/her name to this assignment on the date indicated below.

Dated: 3/26/01


Troy E. Mitchell

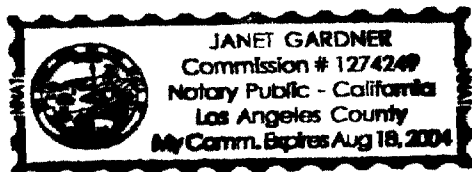
State of CALIFORNIA

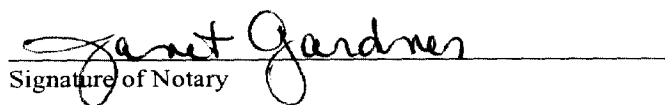
County of LOS ANGELES

On MAR 26, 2001 before me, JANET GARDNER, NOTARY PUBLIC, personally appeared TROY MITCHELL, personally known to me or proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/~~her~~/their authorized capacity(~~ies~~), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.

WITNESS my hand and official seal.

SEAL




Signature of Notary