

Form PTO-1595

(Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

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09-11-2001



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U.S. DEPARTMENT OF COMMERCE
U.S. Patent and Trademark Office

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Daniel A. Gamache
Gustav Graff
Mark R. Hellberg
Peter G. Klimko
John M. Yanni

9-4-01

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

August 20, 2001; August 20, 2001;
August 20, 2001; August 20, 2001; and
August 22, 2001

Execution Date: _____

2. Name and address of receiving party(ies)

Name: Alcon Universal Ltd.

Internal Address: _____

Street Address: _____

CH-6331 Hünenberg Switzerland

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s): 09/787,789

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Alcon Research, Ltd.

Internal Address: Intellectual Property Law and

R&D Counsel (Q-148)

Street Address: 6201 South Freeway

City: Fort Worth State: TX Zip: 76134

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$ 40.00

☐ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

501051

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Barry L. Copeland

Name of Person Signing

Signature

8/28/01

Date

Total number of pages including cover sheet, attachments, and documents: 6

Mail documents to be recorded with required cover sheet information to:
Commissioner of Patents & Trademarks, Box Assignments
Washington, D.C. 20231

09/10/2001 6TON11 00000111 501051 09787789

01 FC:581

40.00 CH

Attorney Docket No. 1887F USA

PATENT
REEL: 012138 FRAME: 0203

A S S I G N M E N T

WHEREAS I am a below named joint inventor of the invention entitled:

LIPOXIN A₄ AND ITS ANALOGS FOR THE TREATMENT OF DRY EYE

described and claimed in U.S. Patent Application Serial No. 09/787,789 filed March 23, 2001, and further identified as Attorney Docket No. 1887F USA, which is a national application under 35 U.S.C. § 371 of PCT Application Serial No. PCT/US00/30707 filed November 8, 2000, which draws priority from U.S. Provisional Application Serial No. 60/164,406 filed November 9, 1999, now abandoned; and

WHEREAS, ALCON UNIVERSAL LTD., having its principal place of business at Bösch 69, CH-6331 Hünenberg, Switzerland, is desirous of acquiring the entire right, title and interest in and to said invention and to any and all Letters Patent of the United States and foreign countries which may be obtained therefor;

NOW, THEREFORE, for good and valuable consideration, I do hereby sell, assign and transfer to ALCON UNIVERSAL LTD., its legal representatives, successors, and assigns, the entire right, title and interest in and to said invention as set forth in the above-mentioned application, and in and to any and all patents of the United States and foreign countries which may be issued for said invention;

UPON SAID CONSIDERATIONS, I hereby agree that I will not execute any writing or do any act whatsoever conflicting with these presents, and that I will, at any time upon request, without further or additional consideration but at the expense of said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant and render all necessary assistance in making application for and obtaining original, divisional, continuation-in-part, reexamined, reissued, or other Letters Patent of the United States or of any and all foreign countries on said invention and in enforcing any rights in action accruing as a result of such applications or patents, said assistance to include my cooperation in all prosecution associated with obtaining such applications or patents and my provision of testimony in any proceedings or transactions involving such applications or patents, it being understood that the foregoing covenant and agreement

shall bind, and inure to the benefit of, the assigns and legal representatives of assignor and assignee.

AND I request the Commissioner of Patents and Trademarks to issue any Letters Patent of the United States which may be issued for said invention to said ALCON UNIVERSAL LTD., its legal representatives, successors or assigns, as the sole owner of the entire right, title and interest in said patent and the invention covered thereby.

Full name of joint inventor: **DANIEL A. GAMACHE**

Address: 5610 Hunterwood Lane
Arlington, TX 76017

Inventor's signature:

Daniel A. Gamache

Date:

8-20-01

STATE OF TEXAS

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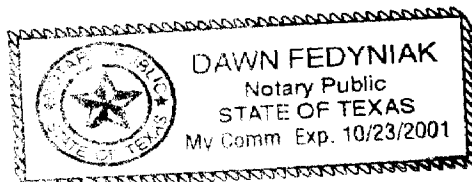
Fort Worth

COUNTY OF TARRANT

§

On this 20 day of August, 2001, before me personally appeared **DANIEL A. GAMACHE** to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.

Dawn Fedyniak
Notary Public



Full name of joint inventor:

GUSTAV GRAFF

Address:

6500 County Road 809
Cleburne, TX 76031

Inventor's signature:

Gustav Graff
8-20-01

Date:

STATE OF TEXAS

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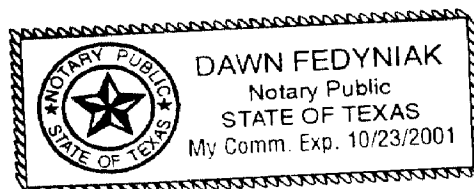
Fort Worth

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COUNTY OF TARRANT

On this 20 day of August, 2001, before me personally appeared **GUSTAV GRAFF** to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.

Dawn Fedyniak
Notary Public



Full name of joint inventor:

MARK R. HELLBERG

Address:

5211 Override Drive
Arlington, TX 76017

Inventor's signature:

Mark R. Hellberg

Date:

8-20-01

STATE OF TEXAS

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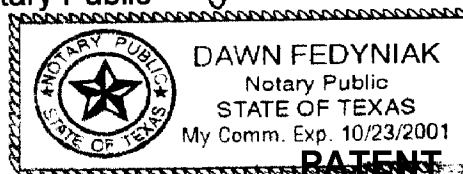
Fort Worth

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On this 20 day of August, 2001, before me personally appeared **MARK R. HELLBERG** to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.

Dawn Fedyniak
Notary Public



Full name of joint inventor:

PETER G. KLIMKO

Address:

2115 Pembroke Drive
Fort Worth, Texas 76110

Inventor's signature:

Peter G Klimko

Date:

8/19/01
20 PK 8/24

STATE OF TEXAS

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COUNTY OF TARRANT

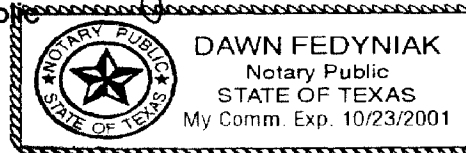
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Fort Worth

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On this 20 day of August, 2001, before me personally appeared **PETER G. KLIMKO** to me known to be the person named in and who executed the above instrument, and acknowledged to me he executed the same for the uses and purposes therein set forth.

Dawn Fedyniak
Notary Public



Full name of joint inventor:

JOHN M. YANNI

Address:

2821 Donnybrook Drive
Burleson, TX 76028

Inventor's signature:

John M Yanni
8-22-01

Date:

STATE OF TEXAS

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COUNTY OF TARRANT

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Fort Worth

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On this 22 day of August, 2001, before me personally appeared **JOHN M. YANNI** to me known to be the person named in and who executed the above instrument, and acknowledged to me she executed the same for the uses and purposes therein set forth.

Dawn Fedyniak
Notary Public

