

09-11-2001



8.29.01

Form PTO-1592 (modified)

To the Honorable Commissioner of F

101839577

Attorney Docket No. 61765.00036 (3212/17US)
Attached original documents or copies thereof:

1. Name of Conveying Party or Parties:

Kevin A. BABIAK
 Andrew CARPENTER
 Shine CHOU
 Pierre-Jean COLSON
 Payman FARID
 Robert HETT
 Christian H. HUBER
 Kevin J. KOELLER
 Jon P. LAWSON
 James LI
 Eduardo K. MAR
 Lawrence M. MILLER
 Vladislav ORLOVSKI
 James C. PETERSON
 Mark J. POZZO
 Claire A. PRZYBYLA
 Samuel J. TREMONT
 Jay S. TRIVEDI
 Grace M. WAGNER
 Gerald A. WEISENBURGER
 Benxin ZHI



Additional Names of conveying party or parties attached?

☐ Yes ☒ No

2. Name and address of receiving party or parties:

G.D. SEARLE LLC
 Corporate Patent Department
 P.O. Box 5110
 Chicago, IL 60680-5110

Additional Name(s) and address(es) attached? ☐ Yes ☒ No

3. Nature of Conveyance:



Assignment



Merger



Security Agreement



Change of Name



Other

Execution Dates: August 14, 2001; August 17, 2001; August 13, 2001; August 15, 2001; August 15, 2001; August 9, 2001; August 15, 2001; August 8, 2001; August 7, 2001; August 8, 2001; August 15, 2001; August 16, 2001; August 15, 2001; August 8, 2001; August 13, 2001; August 15, 2001; August 8, 2001; August 8, 2001; August 8, 2001; August 14, 2001; August 16, 2001, respectively

4. Application Number(s) or Patent Number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application Number(s):

09/802,279

B. Patent Number(s):

Additional Name(s) and address(es) attached? ☐ Yes ☒ No

09/10/2001 TDI A21 00000069 190733 09802279

01 FC:581 40.00 CH

PATENT
 REEL: 012139 FRAME: 0357

5. Name and address of party to whom correspondence concerning the document should be mailed:

Banner & Witcoff, Ltd.
1001 G Street, N.W., 11th Floor
Washington, D. C. 20001

6. Total Number of Applications and patents involved:

7. Total fee (37 CFR 3.41)

- ☐ Enclosed
☒ Authorized to be charged to deposit account
☒ Please charge or credit our deposit account for any additional or refunded fees associated with recording this assignment

8. Deposit Account No.:

19-0733 (in the event additional fees are required)

(Duplicate copy of this page attached if paying by deposit account)

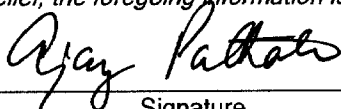
Do Not Use this Space

9. Statement and Signature:

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Ajay Pathak, Reg. No. 38,266

Name of Person Signing



Signature

August 29, 2001

Date

Total Number of Pages comprising Cover Sheet: 2

B. Zhi

061765.00036

(3212/1)

ASSIGNMENT

For value received, each of the undersigned, Kevin A. BABIAK of 2215 Central Street, Evanston, Illinois 60201, Andrew CARPENTER of 104 East Sycamore Street, Zebulon, North Carolina 27597, Shine CHOU of 122305 Hibler Oaks Court, St. Louis, Missouri 63141, Pierre-Jean COLSON of 10104 Old Orchard Court, #1A, Skokie, Illinois 60076, Payman FARID of 304 Apollo Court, Vernon Hills, Illinois 60061, Robert HETT of Delfterstr, 34, CH-5004 Aarau, SWITZERLAND, Christian H. HUBER of 10115 Old Orchard Court, Apt. 1A, Skokie, Illinois 60076, Kevin J. KOELLER of 11929 Glenridge Drive, Maryland Heights, Missouri 63043, Jon P. LAWSON of 18804 Melrose Road, Glencoe, Missouri 63038, James LI of 76 Stanford Road, East, Pennington, New Jersey 08534, Eduardo K. MAR of 2703 Maple Avenue, Northbrook, Illinois 60062, Lawrence M. MILLER of 976 Forest Avenue, Des Plaines, Illinois 60018, Vladislav ORLOVSKI of 1604 Blackhawk Trail, Wheeling, Illinois 60090, James C. PETERSON of 1622 Carman Manor Court, Manchester, Missouri 63021, Mark J. POZZO of 14959 Pocono Circle, Chesterfield, Missouri 63017, Claire A. PRZYBYLA of 1373 E. Walnut Avenue, Des Plaines, Illinois 60016, Samuel J. TREMONT of 729 Berquest Drive, St. Louis, Missouri 63011, Jay S. TRIVEDI of 7817 Babb Avenue, Skokie, Illinois 60077, Grace M. WAGNER of 219 Papin Avenue, Webster Groves, Missouri 63119, Gerald A. Weisenburger of 537 Custer Avenue, Apt. 5, Evanston, Illinois 60202 and Benxin ZHI of ~~1310 Rock Cove Court, Hoffman Estates, Illinois 60195~~ ^{3880 Foxdale Ct. Newbury Park, CA 91320} hereby sell, assign and transfer to G.D. SEARLE LLC., a corporation of the State of Delaware, having an office at 800 North Lindbergh Boulevard, St. Louis, Missouri, United States of America, and its successors, assignees and legal representatives, the entire right, title and interest, ^(B.Z. 8/8/01) for the United States of America and in any and all foreign countries, in and to certain inventions related to

METHOD FOR THE PREPARATION OF TETRAHYDROBENZOTHIOPINES

described in an application for Letters Patent of the United States of America,

☐ for which an application for a United States Patent was executed on _____.

for which an application for a United States Patent was filed on March 08, 2001 Application Number 09/802,279.

and all the rights and privileges in said application and under any and all Letters Patent that may be granted in the United States for said inventions; and the undersigned also concurrently hereby sell, assign and transfer to G.D. SEARLE LLC, the entire right, title and interest in and to said inventions for all countries foreign to the United States, including all rights of priority arising from the application aforesaid, and all the rights and privileges under any and all forms of protection, including Letters Patent, that may be granted in said countries foreign to the United States for said inventions.

The undersigned authorize G.D. SEARLE LLC, to make application for such protection in its own name and maintain such protection in any and all countries foreign to the United States, and to invoke and claim for any application for patent or other form of protection for said inventions, without further authorization from the undersigned, any and all benefits, including the right of priority provided by any and all treaties, conventions, or agreements.

The undersigned hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any document which may be required in any country in proof of the right of G.D. SEARLE LLC, to apply for patent or other form of protection for said inventions and to claim the aforesaid benefit of the right of priority.

The undersigned request that any and all patents for said inventions be issued to G.D. SEARLE LLC, in the United States and in all countries foreign to the United States, or to such nominees as G.D. SEARLE LLC may designate.

The undersigned agree that, when requested, the undersigned shall, without charge to G.D. SEARLE LLC, but at its expense, sign all papers, and do all acts which may be necessary, desirable or convenient in connection with said applications, patents, or other forms of protection.

Kevin A. Babiak
Kevin A. BABIAK

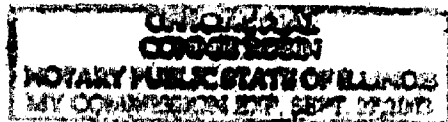
Date: 8/14/01

United States of America)

State of Illinois) ss:

County of Cook)

On this 14th day of August, 2001, before me personally came Kevin A. BABIAK, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Exp Sept 27 2003

Connie Kohn
Notary Public


Andrew CARPENTER

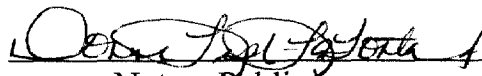
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United States of America)

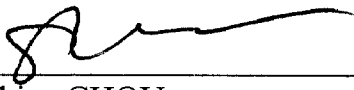
State of North Carolina) ss:

County of Durham)

On this 17th day of Aug, 2001, before me personally came Andrew CARPENTER, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

My Commission Expires 5.7.06


Shine CHOU

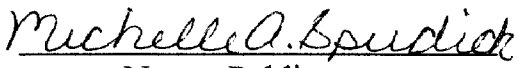
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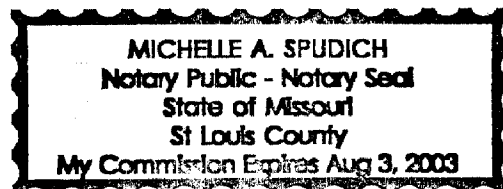
United States of America)

State of Missouri) ss:

County of)

On this 13th day of August, 2001, before me personally came Shine CHOU, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public





Pierre-Jean COLSON


Date: 8/15/01

United States of America)

State of Illinois) ss:

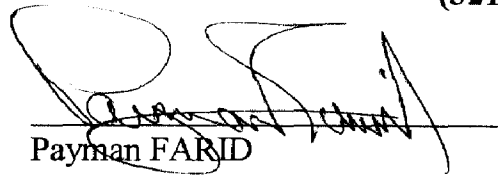
County of Cook)

On this 15th day of August, 2001, before me personally came Pierre-Jean COLSON, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public




Payman FARID

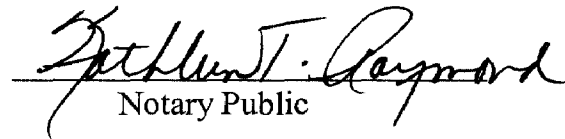
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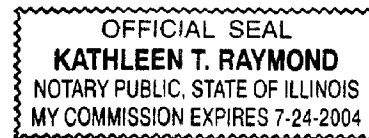
United States of America)

State of Illinois) ss:

County of Cook)

On this 15th day of August, 2001, before me personally came Payman FARID, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public

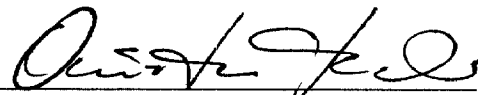


Robert Hett

Robert HETT

Date: 9 Aug - 2001

Witness: *[Signature]*


Christian H. HUBER

Date: 8-15-01

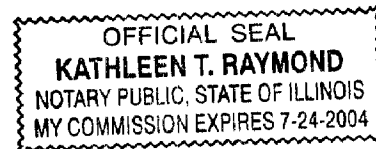
United States of America)

State of Illinois) ss:

County of COOK)

On this 15th day of August, 2001, before me personally came Christian H. HUBER, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public




Kevin J. KOELLER

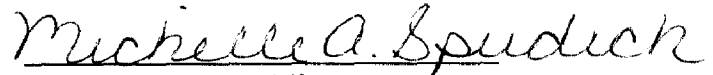
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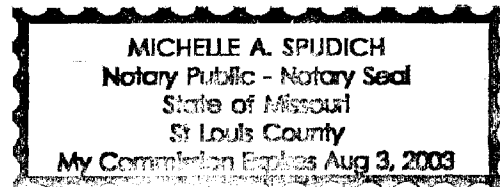
United States of America)

State of Missouri) ss:

County of)

On this 8th day of August, 2001, before me personally came Kevin J. KOELLER, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public



Jon P. Lawson
Jon P. LAWSON

Date: 8-7-01

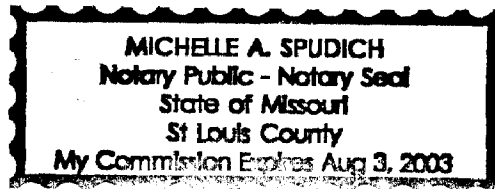
United States of America)

State of Missouri) ss:

County of)

On this ^{7th} ~~8th~~^{mas} day of August, 2001, before me personally came Jon P. LAWSON, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Michelle A. Spudich
Notary Public





James LI

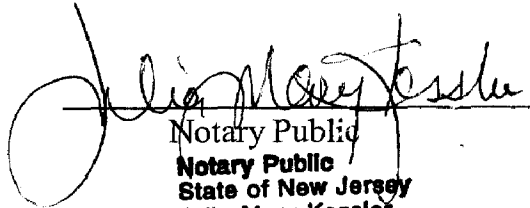
Date: August 8, 2001

United States of America)

State of New Jersey) ss:

County of Mercer)

On this 8 day of August, 2001, before me personally came James LI, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.



Notary Public
Notary Public
State of New Jersey
Julia Mary Kessler
My Commission Expires
Sept. 24, 2003

Eduardo K. MAR
Eduardo K. MAR

Date: 8/15/01

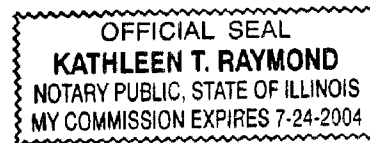
United States of America)

State of Illinois) ss:

County of Cook)

On this 15th day of August, 2001, before me personally came Eduardo K. MAR, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Kathleen T. Raymond
Notary Public



Lawrence M. Miller
Lawrence M. MILLER

Date: 8-16-01

United States of America)

State of Illinois) ss:

County of)

On this 16 day of August, 2001, before me personally came Lawrence M. MILLER, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Carrie Dick
Notary Public



Vlad Orlovski
Vladislav ORLOVSKI

Date: 8/15/01

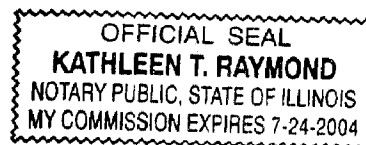
United States of America)


State of Illinois) ss:

County of Cook)

On this 15th day of August, 2001, before me personally came Vladislav ORLOVSKI, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Kathleen T. Raymond
Notary Public




James C. PETERSON

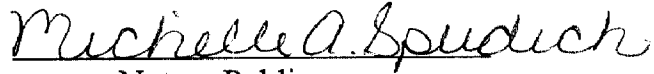
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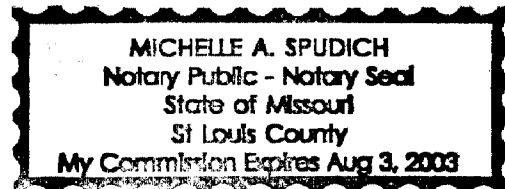
United States of America)

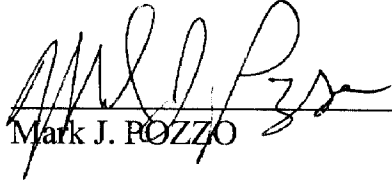
State of Missouri) ss:

County of)

On this 8th day of August, 2001, before me personally came James C. PETERSON, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public




Mark J. POZZO

Date: 8/13/01

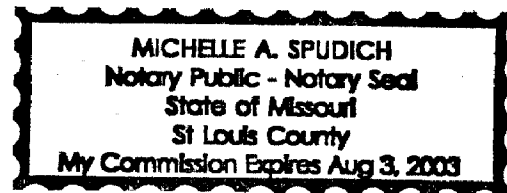
United States of America)

State of Missouri) ss:

County of)

On this 13th day of August, 2001, before me personally came Mark J. POZZO, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public





Claire A. PRZYBYLA

Date: 8/15/01

United States of America)

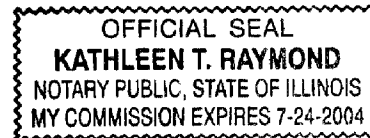
State of Illinois) ss:

County of Cook)

On this 15th day of August, 2001, before me personally came
Claire A. PRZYBYLA, to me known to be the individual described in and who executed the
foregoing instrument, and acknowledged execution of the same.



Notary Public



Samuel J. Tremont
Samuel J. TREMONT

Date: 08/08/01

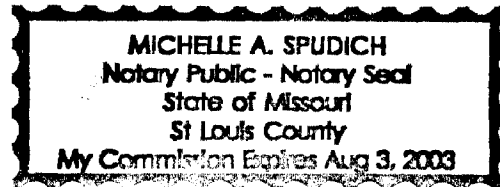
United States of America)

State of Missouri) ss:

County of)

On this 8th day of August, 2001, before me personally came Samuel J. TREMONT, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Michelle A. Spudich
Notary Public



Jay S. Trivedi
Jay S. TRIVEDI

Date: August 8th, 2001

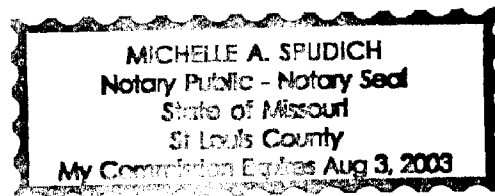
United States of America)

State of Illinois) ss:

County of)

On this 8th day of August, 2001, before me personally came Jay S. TRIVEDI, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Michelle A. Spudich
Notary Public



Grace M. Wagner
Grace M. WAGNER

Date: 8/8/01

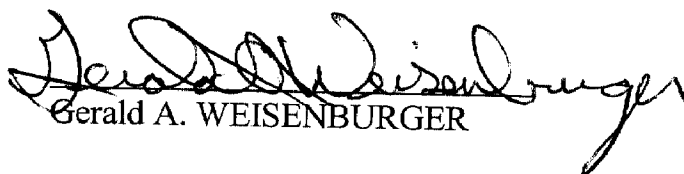
United States of America)

State of Missouri) ss:

County of)

On this 8th day of August, 2001, before me personally came Grace M. WAGNER, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Michelle A. Spudis
Notary Public


Gerald A. WEISENBURGER

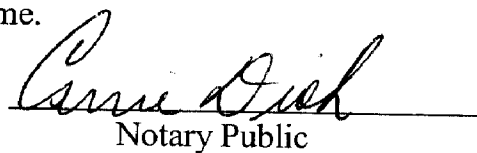
Date: 8-14-01

United States of America)

State of Illinois) ss:

County of Cook)

On this 14 day of August, 2001, before me personally came Gerald A. WEISENBURGER, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.


Notary Public



061765.00036
(3212/1)


Benxin ZHI

Date: 8/16/01

United States of America)

State of ~~Illinois~~ California) ss:

County of (P.S. 8/16/01))

On this _____ day of _____, 2001, before me personally came Benxin ZHI, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Notary Public