

09-11-2001

RE



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To the Commissioner for Patents: Please record the attached original document or copy hereof.

1. Name of conveying party(ies):

John R. Gladden

08/30/01

2. Name and address of receiving party(ies):

Name: Caterpillar, Inc.

Internal Address:

Street Address:

100 N.E. Adams Street

City: Peoria

State: Illinois

Zip: 61629

11040 U.S. PTO

09/943188

08/30/01

Additional name(s) of conveying party(ies) attached?

3. Nature of conveyance:

☒ Assignment ☐ Merger☐ Security Agreement ☐ Change of Name☐ Other:

Execution Date: August 20, 2001

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: August 20, 2001

A. Patent Application No. (s):

09/943188

B. Patent No. (s):

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Todd T. Taylor, Esq.

Taylor & Aust, P.C.

Internal Address:

Street Address:

142 South Main Street

P.O. Box 560

City: Avilla State: IN

Zip: 46710

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41):

\$40.00

☒ Enclosed☒ Authorized to be charged to deposit account if any deficiencies.

8. Deposit account number:

20-0095

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Todd T. Taylor, Reg. No. 36,945

Name of Person Signing

Signature

August 30, 2001

Date

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PATENT
REEL: 012141 FRAME: 0207

ASSIGNMENT

WHEREAS, James R. Gladden, residing at Lafayette, Indiana, hereinafter referred to as ASSIGNOR has invented a new and useful

TURBOCHARGER WITH ENHANCED COMPRESSOR BLEED CAPABILITY

WHEREAS, Caterpillar Inc., a Delaware corporation having a place of business at Peoria, State of Illinois, United States of America, hereinafter referred to as ASSIGNEE, is desirous of acquiring the entire right, title and interest in and to said invention and any Letters Patent granted thereon by the United States of America and any foreign country:

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by ASSIGNOR from ASSIGNEE, the receipt of which is hereby acknowledged by ASSIGNOR:

1. ASSIGNOR hereby sells, assigns, transfers and conveys unto ASSIGNEE the entire right, title and interest in and to said invention, any application therefor, and any Letters Patent granted thereon by the United States of America and any foreign country, including any continuing application, reissue or extension thereof, together with the right to file applications and obtain patents for said invention in any foreign country, and covenant that ASSIGNOR has the full right to do so.

2. At the request and expense of ASSIGNEE, ASSIGNOR agrees to communicate to ASSIGNEE any facts known respecting said invention, to execute all papers necessary to file any application on said invention necessary or desirable to aid ASSIGNEE in obtaining and enforcing proper protection for said invention in the United States of America and any foreign country.

3. ASSIGNOR hereby authorizes and requests the COMMISSIONER OF PATENTS AND TRADEMARKS to issue the United States Letters Patent on said invention, when granted, to Caterpillar Inc.

4. This assignment shall inure to the benefit of ASSIGNEE, its successors, assigns and other legal representatives, and shall be binding upon ASSIGNOR, ASSIGNOR'S heirs and legal representatives.

IN TESTIMONY WHEREOF, ASSIGNOR has executed this instrument.

John R. Gladden
John R. Gladden

August 20, 2001
Date

IN WITNESS OF the execution hereof by John R. Gladden we have hereunto set our hands.

C. R. Gladden 8/20/2001
Witness (1) Date
Address: 3351 CRAWFORD STREET
WEST LAFAYETTE, IN 47906

Beverly J. Gladden 20 Aug 01
Witness (2) Date
Address: 1222 E 1300 S
ROMNEY IN 47481