

09-11-2001



Case Name: SP00-170

PAT

To the Honorable Commissioner of

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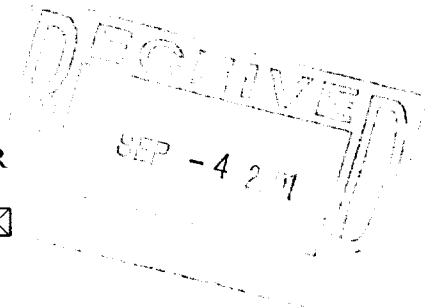
ed documents or copy thereof.

9-4-01

- (1) Conveying Party(ies): Scott R. Bickham
Michael B. Cain
Shiva Kumar
V. Srikant
Jeffery S. Stone
Snigdharaj K. Mishra

Title: DISPERSION SLOPE COMPENSATING OPTICAL FIBER

Additional name(s) of conveying party(ies) attached? Yes No



- (2) Receiving Party: Corning Incorporated
Patent Dept.; SP-TI-03-1
Corning, NY 14831

- (3) Nature of conveyance: Assignment executed: September 23, 2001, September 28, 2001, September 23, 2001, September 24, 2001, September 24, 2001 and September 20, 2001

- (4) Application number(s) and/or patent number(s) against which this assignment is to be recorded:

A. Patent Application No.(s): 09/870,432 Filing date: May 30, 2001

B. Patent No.(s): Filing date:

If this document is being filed together with a new application, this assignment is also to be recorded against said application, executed on ____.

- (5) Name and address of party to whom correspondence concerning document should be mailed:

Name: William J. Chervenak
Reg. No. 38,407
Corning Incorporated
Patent Department
SP-TI-03-1
Corning, NY 14831
Phone No.: (607) 974-3956

- (6) Total Number of applications and/or patents involved: one

- (7) Total Fee = \$40.00

- Enclosed (Check No.)
- Included with filing fee. Check No. _____.
- Authorization given by Corning Incorporated to charge deposit account number 03-3325 (attached is a triplicate copy of this page)

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

William J. Chervenak
William J. Chervenak

31 Aug 2001
Date

Total number of pages comprising cover sheet: one

09/10/2001 TDIAZ1 00000136 033325 09870432

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ASSIGNMENT

FOR VALUE RECEIVED, I, as a below named inventor, hereby sell, assign and transfer unto Corning Incorporated, a corporation organized and existing under the laws of the State of New York, having its principal place of business at Corning, New York, (hereinafter CORNING), as assignee, and unto its successors, assigns and legal representatives, the entire right, title and interest, for all countries, in and to certain inventions relating to a **DISPERSION SLOPE COMPENSATING OPTICAL FIBER**, such inventions being generally described in an application for Letters Patent of the United States executed on

DATE 8/23/01
DATE 8/28/01
DATE 8/23/01
DATE 8/24/01
DATE 8/24/01
DATE 8/20/01

and in any future patent applications claiming the benefit of the filing date of that application, and all the rights and privileges under any and all Letters Patents that may be granted therefore.

I request that any and all patents for said inventions be issued to CORNING, its successors, assigns and legal representatives, or to such nominees as CORNING may designate.

I agree that, when requested, I will, without charge to CORNING and at CORNING's expense, sign all papers, take all rightful oaths, and do all acts which may be necessary, desirable or convenient for securing and maintaining patents for said inventions in any and all countries and for vesting title thereto in CORNING, its successors, assigns and legal representatives or nominees.

I authorize and empower CORNING, its successors, assigns and legal representatives or nominees, to invoke and claim for any application for patent or other form of protection for said inventions filed by it or them, the benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for said Convention or entered as a supplement to, and to invoke and claim such right of priority without further written or oral authorization.

I hereby consent that a copy of this assignment shall be deemed a full legal and formal equivalent of any assignment, consent to file or like document which may be required in any country for any purpose and more particularly in proof of the right of CORNING, or its successors, assigns and legal representatives or nominees to claim the aforesaid benefit of the right of priority provided by the International Convention for the Protection of Industrial Property, as amended, or by any convention which may henceforth be substituted for it or entered as a supplement to it.

I covenant with CORNING, its successors, assigns and legal representatives or nominees, that the rights and property herein conveyed are free and clear of any encumbrance, and that I have full right to convey the same as herein expressed.

Signed at Corning, NY, this

8/23/01
Date

Scott R. Bickham
Scott R. Bickham

8/28/01
Date

Michael B. Cain
Michael B. Cain

8/23/01
Date

Shiva Kumar
Shiva Kumar

8/24/01
Date

V. Srikanth
V. Srikanth

8/24/01
Date

Jeffery S. Stone
Jeffery S. Stone

Signed at Wilmington, NC this

08/20/01
Date

Snigdharaj K. Mishra
Snigdharaj K. Mishra

State of New York)
: ss.
County of Steuben)

On the 23rd day of Aug. 2001, before me personally came **Scott R. Bickham** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michelle M. Proctor
Notary Public
Michelle M. Proctor
Notary Public, State of New York
Steuben County No. 4911462
Commission Expires Nov. 9, 20 01

State of New York)
: ss.
County of Steuben)

On the 28th day of August 2001, before me personally came **Michael B. Cain** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Diana L. Silvernail
Notary Public
DIANA L. SILVERNAIL
Notary Public, State of New York
Steuben County No. 01SI4798033
Commission Expires June 30, 2003

State of New York)
: ss.
County of Steuben)

On the 23rd day of Aug. 2001, before me personally came **Shiva Kumar** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michelle M. Proctor
Notary Public
Michelle M. Proctor
Notary Public, State of New York
Steuben County No. 4911462
Commission Expires Nov. 9, 20 01

State of New York)
: ss.
County of Steuben)

On the 24th day of Aug. 2001, before me personally came **V. Srikant** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michelle M. Proctor
Notary Public

State of New York)
: ss.
County of Steuben)

Michelle M. Proctor
Notary Public, State of New York
Steuben County No. 4911462
Commission Expires Nov. 9, 20 01

On the 24th day of Aug. 2001, before me personally came **Jeffery S. Stone** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Michelle M. Proctor
Notary Public

State of North Carolina)
: ss.
County of New Hanover

Michelle M. Proctor
Notary Public, State of New York
Steuben County No. 4911462
Commission Expires Nov. 9, 20 01

On the 20 day of August 2001, before me personally came **Snigdharaj K. Mishra** known to be the person described in and who executed the foregoing instrument, and acknowledged that they executed the same.

Madra K. Coffey 3-29-03
Notary Public