

09-11-2001



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U.S. PTO  
09/24/2817  
08/31/01

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Document ID#
- ☐ **Correction of PTO Error**  
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- ☒ **Assignment** ☐ **Security Agreement**
- ☐ **License** ☐ **Change of Name**
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**PATENT**  
**REEL: 012142 FRAME: 0595**

**Correspondent Name and Address**

Area Code and Telephone Number

(212)643-5000

Name Helfgott & Karas, P.C.

Address (line 1) Empire State Building

Address (line 2) 60th Floor

Address (line 3) New York, New York 10118

Address (line 4) Attorney Docket No.: NECV 18.967

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 2

**Application Number(s) or Patent Number(s)**

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Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)

19/942877

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
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**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
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Samson Helfgott

Name of Person Signing

Signature

8/30/01

Date

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CONTINUATION  
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PATENT

Conveying Party(ies)

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Enter additional Conveying Parties

Name (line 1) Toru MORI

Execution Date  
Month Day Year  
06 26 01

Name (line 2)

Name (line 1) Takao YAMAZAKI

Execution Date  
Month Day Year  
06 26 01

Name (line 2)

Name (line 1) Yuzo SHIMADA

Execution Date  
Month Day Year  
06 26 01

Name (line 2)

Receiving Party(ies)

☐ Mark if additional names of receiving parties attached

Enter additional Receiving Party(ies)

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

Name (line 1)

Name (line 2)

Address (line 1)

Address (line 2)

Address (line 3) City State/Country Zip Code

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☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Application Number(s) or Patent Number(s)

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

Patent Number(s)



WHEREAS, the below named inventor, ASSIGNOR, has made a certain new and useful invention in:  
CAPACITOR, CAPACITOR MOUNTING STRUCTURE, METHOD FOR MANUFACTURING  
SAME, SEMICONDUCTOR DEVICE, AND METHOD FOR MANUFACTURING SAME  
 for which

(check one) ☒ an application is being filed concurrently herewith,

☐ an application for a Patent of the United States was filed on \_\_\_\_\_  
 and given Application Serial No. \_\_\_\_\_

and WHEREAS, NEC CORPORATION

having a place of business at 7-1, Shiba 5-chome, Minato-ku, Tokyo, JAPAN

\_\_\_\_\_, ASSIGNEE  
 is desirous of obtaining the entire right, title and interest in, to and under the said invention and the said application.

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, the said ASSIGNOR has sold, assigned, transferred and set over, and by these presents does hereby sell, assign, transfer and set over, unto the said ASSIGNEE, its successors, legal representatives and assigns, the entire right, title and interest in, to and under the said invention, and the said United States application and all divisions, renewals and continuations thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents, utility models, and designs which may hereafter be filed for said invention in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application under the Patent Laws of the United States, the International Convention for the Protection of Industrial Property, or any other international agreement or the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventor's certificates and designs which may be granted for said invention in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

ASSIGNOR authorizes and requests the Commissioner of Patents and Trademarks of the United States, and any Official of any country or countries foreign to the United States, whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, its successors, legal representatives and assigns, in accordance with the terms of this instrument; and

ASSIGNOR covenants and agrees that he has full right to convey the entire interest herein assigned, and has not executed, and will not execute, any agreement in conflict herewith; and

ASSIGNOR further covenants and agrees that he will communicate to the said ASSIGNEE, its successors, legal representatives and assigns, any facts known respecting said invention, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid the said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said invention in all countries.

Full name of sole or first inventor Akinobu Shibuya  
 Inventor's Signature *Akinobu Shibuya* Date June 26, 2001  
 Residence Tokyo, Japan Citizenship Japan  
 Post Office Address c/o NEC CORPORATION  
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Full name of second joint inventor, if any Shintaro Yamamichi  
 Second Inventor's Signature *Shintaro Yamamichi* Date June 26, 2001  
 Residence Tokyo, Japan Citizenship Japan  
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Full name of third joint inventor, if any Toru Mori  
 Third Inventor's Signature *Toru Mori* Date June 26, 2001  
 Residence Tokyo, Japan Citizenship Japan  
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Full name of ~~fourth~~ joint inventor, if any Takao Yamazaki  
Fourth Inventor's Signature Takao Yamazaki Date June 26, 2001  
Residence Tokyo, Japan Citizenship Japan  
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Full name of fifth joint inventor, if any Yuzo Shinada  
Fifth Inventor's Signature Yuzo Shinada Date June 26, 2001  
Residence Tokyo, Japan Citizenship Japan  
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Full name of sixth joint inventor, if any \_\_\_\_\_  
Sixth Inventor's Signature \_\_\_\_\_ Date \_\_\_\_\_  
Residence \_\_\_\_\_ Citizenship \_\_\_\_\_  
Post Office Address \_\_\_\_\_

Witnesses:

Fumitake Watanabe [Signature]