

09-13-2001



Docket No.: 0767CG-01-CIP

HEET

U.S. DEPARTMENT OF COMMERCE
Patent and Trademark Office

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To the Honorable Commissioner of Patents and Trademarks: Please receive the attached original documents or copy thereof.

1. Name of conveying party(ies):

Cesar Diaz

9-10-01

2. Name and address of receiving party(ies):

Name: Cardiac Surgical Devices, Inc.

Internal Address: _____

Street Address: 2030 Main Street, Suite 1500

City: Irvine State: CA ZIP: 92614

Additional name(s) & address(es) attached? ☐ Yes ☒ No

Additional names(s) of conveying party(ies) ☐ Yes ☒ No

3. Nature of conveyance:

☒ Assignment

☐ Merger

☐ Security Agreement

☐ Change of Name

☐ Other _____

Execution Date: June 1, 2001

4. Application number(s) or registration numbers(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/780,502

filed 02/09/01

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Charles D. Gunter, Jr.

Internal Address: _____

09/13/2001 6TOM11 00000157 09780502

01 FC:581

40.00 00

Street Address: Bracewell & Patterson, LLP

201 Main Street, Suite 1600

City: Fort Worth State: TX ZIP: 76102

6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41):.....\$ 40.00

☒ Enclosed - Any excess or insufficiency should be credited or debited to deposit account

☐ Authorized to be charged to deposit account

8. Deposit account number:

50-0259

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Charles D. Gunter, Jr.

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document:

2

REEL: 012144 FRAME: 0428

PATENT ASSIGNMENT

WHEREAS, Cesar Diaz, having a correspondence address of 17915 Skypark Circle, Suite D, Irvine, CA 92614, has invented new and useful improvements in a

ENHANCED INTRA-AORTIC BALLOON ASSIST DEVICE

for which continuation-in-part application was made for Letters Patent, said application being executed by me on the date of February 9, 2001. Serial No. 09/780,502.

WHEREAS, Cardiac Surgical Devices, Inc., a corporation of the State of Nevada, having a business address and correspondence address of 2030 Main Street, Suite 1500, Irvine, CA 92614, is desirous of acquiring my entire right, title and interest in said application and my Letters Patent which may issue thereon:

NOW THEREFORE, be it known by all whom it may concern that for and in consideration of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and other good and valuable consideration, I hereby assign to said corporation, both in and for the territory of the United States of America and the entire world, all my title and interest in and to said invention, patent application and any patent which may issue thereon, including all priority rights for patents application foreign to the United States of America.

I **HEREBY** covenant and agree that I will at any time, upon request and at the expense of said corporation, execute and deliver any and all papers and do all lawful acts that may be necessary or desirable to perfect the title to said invention, applications and patents and I authorize the Assistant Commissioner of Patents to issue Letters Patent to said corporation.

IN TESTIMONY WHEREOF, I execute this assignment on the 1st ^{and 6/1/01} day of June, 2001.

STATE OF CALIFORNIA)

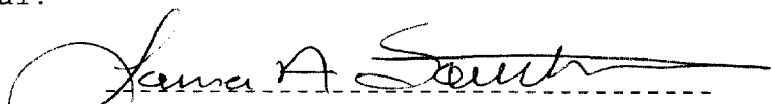
COUNTY OF ORANGE)

ss.



On this 1st day of June, 2001 before me, the undersigned Notary Public, personally appeared Cesar Diaz, proven to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that he executed the same in his authorized capacity, and that by his signature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.

WITNESS my hand and official seal.


Notary Public