

09-13-2001


 RECEIVED
 101840467
 PATENTS ONLY

 ET U.S. DEPARTMENT OF COMMERCE
 U.S. Patent and Trademark Office

 Form PTO-1595
 (Rev. 03/01)

OMB No. 0651-0027 (exp. 5/31/2002)

Tab settings ⇌ ⇌ ⇌ ▼ ▼ ▼ ▼ ▼ ▼ ▼ ▼

1103326-0666

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Ronald John Roberts

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
☐ Security Agreement ☐ Change of Name
☐ Other _____

Execution Date: August 1, 2001

2. Name and address of receiving party(ies)

Name: AstraZeneca AB

Internal Address: _____

 Street Address: S-151 85 Sodertalje
 Sweden

City: _____ State: _____ Zip: _____

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)

09/891,190

B. Patent No.(s)

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: White & Case LLP

Internal Address: _____

Street Address: Patent Department

1155 Avenue of the Americas

City: New York State: NY Zip: 10036

6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41).....\$40.00

☒ Enclosed☒ Authorized to be charged to deposit account

8. Deposit account number:

23-1703

(Attach duplicate copy of this page if paying by deposit account)

DO NOT USE THIS SPACE

9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

 John M. Genova Reg. No. 32,224
 Name of Person Signing

Signature

 September 6, 2001
 Date

Total number of pages including cover sheet, attachments, and documents: 5

 Mail documents to be recorded with required cover sheet information to:
 Commissioner of Patents & Trademarks, Box Assignments
 Washington, D.C. 20231

09/12/2001 LMJELLER 00000181 09891190

01 FC:581

40.00 00

 PATENT
 REEL: 012144 FRAME: 0889

ASSIGNMENT

WHEREAS, I, Ronald John Roberts (the "Inventor"), a citizen of the United Kingdom, with a business address at Silk Road Business Park, Charter Way, Macclesfield, Cheshire SK10 2NA, UK, have made an invention entitled AMORPHOUS COMPOUND for which I have made an application for Letters Patent of the United States, and which U.S. application was filed on June 25, 2001 and assigned Serial No. 09/891,190;

and

WHEREAS, AstraZeneca AB, a company organized and existing under the laws of Sweden, and having an office for the transaction of business at S-151 85 Södertälje, Sweden, is desirous of acquiring the entire right, title and interest in and to said invention and any improvements thereon, and in and to the said application for Letters Patent therefor, and any Letters Patent which may be obtained therefor;

NOW, THEREFORE, TO ALL WHOM IT MAY CONCERN, BE IT KNOWN, that I the said Inventor for and in consideration of the sum of One Dollar (\$1.00), lawful money of the United States, to me in hand paid by said AstraZeneca AB, and other valuable considerations unto me moving from said AstraZeneca AB, at or before the ensealing and delivery of these presents, the receipt of which is hereby acknowledged, have sold, assigned, transferred and conveyed and by these presents do sell, assign, transfer and convey, unto said AstraZeneca AB, its successors and assigns, the entire right, title and interest in and to the said invention as set forth and described in the specification forming a part of the application for Letters Patent of the United States for AMORPHOUS COMPOUND, and any and all improvements thereon, and in and to said application and any division, continuation or continuation-in-part thereof, and in and to any Letters Patent of the United States which may be issued on any of said applications, and any reissues thereof, and in and to any and all applications for Letters Patent filed in foreign countries for said invention or improvements, including all priority rights, and any and all Letters Patent which may be granted in foreign countries therefor, TO HAVE AND TO HOLD THE SAME to the full end of the term or terms for which any and all said Letters Patent may be granted;

AND I the said Inventor, do hereby authorize and request the Commissioner of Patents and Trademarks to issue the said Letters Patent of the United States to said AstraZeneca AB, as the assignee of the entire right, title and interest in and to the same, for the sole use and behoof of said AstraZeneca AB, its successors and assigns;

AND I the said Inventor, for the considerations aforesaid, do hereby covenant and agree to and with said AstraZeneca AB, its successors and assigns, that I have the full power to make this assignment, and that the rights assigned are not encumbered by any grant, license or right heretofore given, and that I, my executors or administrators, shall and will do all lawful acts and things and make, execute and deliver without further compensation, any and all other instruments in writing, further applications, papers, affidavits, powers of attorney, assignments, and other documents which, in

the opinion of counsel for said AstraZeneca AB, its successors and assigns, may be required or necessary to more effectively secure to and vest in said AstraZeneca AB, its successors and assigns, the entire right, title and interest in and to said invention and improvements, applications, Letters Patent, rights, benefits, privileges and advantages hereby sold, assigned, transferred and conveyed, and that I will sign any applications for reissue which may be desired by the owner of the patent or patents which may be issued for the said invention or improvements.

IN WITNESS WHEREOF, I the said Inventor have hereunto set my hand and seal on the date below written.

1st August 2001
Date

WITNESS:

Signature: D Beckley

D BECKLEY
Name:

Address: Macclesfield
Cheshire
UK

R. J. Roberts
Ronald John Roberts

WITNESS: L. J. S. Gainer

Signature: LJS

LDS GAINER
Name:

Address: Macclesfield
Cheshire
UK