

09-14-2001

orney's Docket No. F0397/7016 TJO



101843706

R SHEET

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FORM PTO-1595 U.S.

(Rev. 6-93)

OMB No. 0651-0011 (exp. 4/94)

DEPARTMENT OF COMMERCE

Patent and Trademark Office

To the Assistant Commissioner for Patents : Please record the attached original documents or copy thereof.

1. Name of conveying party(ies):

Marvin J. Slepian, Focal, Inc.

Additional name(s) of conveying party(ies) attached? [] Yes [X] No

3. Nature of conveyance:

[] Assignment

[] Merger

[] Security Agreement

[] Change of Name

[X] Other CORRECT EXECUTION DATE OF RECORDED ASSIGNMENTTO REFLECT NUNC PRO TUNC DATE OF SEPTEMBER 8,

1993

Execution Date: **Nunc pro tunc September 8, 1993**

2. Name and address of receiving party(ies)

Name:

Endoluminal Therapeutics, Inc.

Internal Address:

Street Address:

Tucson, Arizona 85704

Additional name(s) & addresses(es) attached? [] Yes [X] No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is

A. Patent Application No.(s)

08/118,978

B. Patent No.(s)

5,634,946

5,749,915

Additional numbers attached? [] Yes [X] No

5. Name and address of party to whom correspondence
Concerning document should be mailed:

Name: Timothy J. Oyer

Address: WOLF, GREENFIELD & SACKS, P.C.
Federal Reserve Plaza
600 Atlantic Avenue
Boston, MA 022106. Total number of applications and patents involved: **[3]**

7. Total fee (37 CFR 3.41)

\$ 0.00

[] Enclosed

[] Authorized to be charged to deposit account

[X] If a fee is occasioned, the Commissioner is authorized to charge:

8. Deposit Account No: 23/2825

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9. Statement and signature

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Timothy J. Oyer, Reg. No. 36,628

Name of Person Signing

Signature

Date

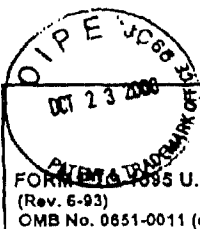
Total number of pages including cover sheet, attachments, and document: **[4]**

Mail documents to be recorded with required cover sheet information to:

Box Assignment

Commissioner of Patents & Trademarks,

Washington, D.C. 20231



11-28-2000

COVER SHEET



101529943

FORM PTO-1095 U.S.
(Rev. 6-93)
OMB No. 0651-0011 (exp. 4/94)DEPARTMENT OF COMMERCE
Patent and Trademark Office

To the Assistant Commissioner for Patents: Please record the attached original documents or copy thereof.

1. Name of conveying party(ies): Marvin J. Slepian, Focal, Inc. Additional name(s) of conveying party(ies) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		2. Name and address of receiving party(ies) Name: Endoluminal Therapeutics, Inc. Internal Address: Street Address: Tucson, Arizona 85704 Additional name(s) & addresses(es) attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
3. Nature of conveyance: <input checked="" type="checkbox"/> Assignment <input type="checkbox"/> Merger <input type="checkbox"/> Security Agreement <input type="checkbox"/> Change of Name <input type="checkbox"/> Other _____ Execution Date: September 18, 2000 and June 12, 2000			
4. Application number(s) or patent number(s): <u>11100 10/25/00</u> If this document is being filed together with a new application, the execution date of the application is A. Patent Application No.(s) 08/118,978 B. Patent No.(s) 5,634,946 5,749,915 Additional numbers attached? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
5. Name and address of party to whom correspondence Concerning document should be mailed: Name: Timothy J. Oyer Address: WOLF, GREENFIELD & SACKS, P.C. Federal Reserve Plaza 600 Atlantic Avenue Boston, MA 02210		6. Total number of applications and patents involved: [3] 7. Total fee (37 CFR 3.41) \$ 90.00 <input checked="" type="checkbox"/> Enclosed <input type="checkbox"/> Authorized to be charged to deposit account <input checked="" type="checkbox"/> The Commissioner is authorized to charge: 8. Deposit Account No: 23/2825	
11/27/2000 MTHAI 00000204 232825 08118978 DO NOT USE THIS SPACE 01 F 581 30.00 CH 30.00 DP			
9. Statement and signature <i>To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</i> Timothy J. Oyer, Reg. No. 36,628 <u></u> <u>10/24/00</u> Name of Person Signing Signature Date			
Total number of pages including cover sheet, attachments, and document: [4]			

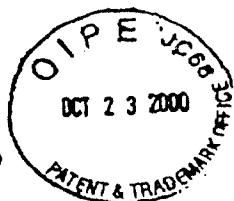
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PATENT
REEL: 011260 FRAME: 0181PATENT
REEL: 012145 FRAME: 0580

F0397/7016 TJO
F0397/7029 TJO
F0397/7030 TJO



NUNC PRO TUNC ASSIGNMENT

In consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt of which is hereby acknowledged, we the undersigned Marvin J. Slepian; and Focal, Inc., a Delaware corporation having a place of business at 4 Maguire Road, Lexington, MA 02173, hereby

Sell, assign and transfer to **ENDOLUMINAL THERAPEUTICS, INC.**, a corporation organized and existing under the laws of the state of Delaware, and having an office for the transaction of business in Tucson, Arizona 85704, its successors, assigns and legal representatives, all hereinafter referred to as the Assignee, *Nunc Pro Tunc* September 8, 1993 our entire right, title and interest for the United States and all foreign countries in and to any and all inventions which are disclosed in U.S. Patent Application Serial No. 08/118,978, entitled **POLYMERIC ENDOLUMINAL PAVING PROCESS**, filed September 9, 1993, and in and to said application and all divisional, continuing, substitute, renewal, reissue and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said inventions, and in and to all original and reissued patents which have been or shall be issued in the United States and all foreign countries on said inventions including the right to apply for patent rights in the United States and in each foreign country and all rights to priority; including, but not limited to, application for United States Letters Patent filed in the United States Patent and Trademark Office on June 7, 1995 under Serial No. 08/481,220 and entitled **POLYMERIC ENDOLUMINAL PAVING PROCESS**, now U.S. Patent No. 5,749,915, issued May 12, 1998, and application for United States Letters Patent filed in the United States Patent and Trademark Office on June 7, 1995 under Serial No. 08/474,062 and entitled **POLYMERIC ENDOLUMINAL PAVING PROCESS**, now U.S. Patent No. 5,634,946, issued June 3, 1997.

We agree that said Assignee may apply for and receive Letters Patent for said inventions in its own name; and when requested, without charge to but at the expense of said Assignee, we agree to carry out in good faith the intent and purpose of this assignment, by executing all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said inventions, by executing all rightful oaths, assignments, powers of attorney and other papers, by communicating to said Assignee all facts known to us relating to said inventions and the history thereof, and generally by doing everything possible which said Assignee shall consider desirable for aiding in the securing and maintaining proper patent protection for said inventions and for vesting title to said inventions and all applications for patents and all patents on said inventions, in said Assignee.

We hereby request the Honorable Commissioner of Patents and Trademarks to issue said Letters Patent to said Assignee.

PATENT
REEL: 011260 FRAME: 0182

PATENT
REEL: 012145 FRAME: 0581

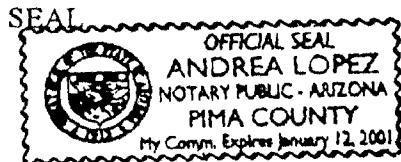
We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

September 18, 2000
Date

Marvin J. Slepian
Marvin J. Slepian

STATE OF Arizona :
COUNTY OF Pima :

Subscribed and sworn to before me this 18th day of September, 2000.



Notary Public Andrea Lopez

June 12, 2000
Date

Stephen J. Herman
FOCAL, INC.
~~Stephen J. Herman~~ Peter Jarrett

STATE OF Massachusetts :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 6 day of June, 2000.

SEAL

Notary Public Carol D. Whelan
My Commission Expires AUG. 24, 2001

RECORDED: 10/23/2000

PATENT
REEL: 011260 FRAME: 0183

PATENT
REEL: 012145 FRAME: 0582

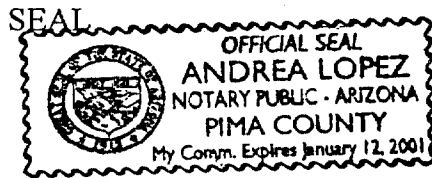
We covenant with said Assignee that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by us and that full right to convey the same as herein expressed is possessed by us.

September 18, 2000
Date

Marvin J. Slepian
Marvin J. Slepian

STATE OF Arizona :
COUNTY OF Pima :

Subscribed and sworn to before me this 18th day of September, 2000.



Notary Public Andrea Lopez

June 12, 2000
Date

Stephen J. Herman
FOCAL, INC.
~~Stephen J. Herman~~ Peter Jarrett

STATE OF Massachusetts :
COUNTY OF Middlesex :

Subscribed and sworn to before me this 6 day of June, 2000.

SEAL

Notary Public Ann D. [Signature]
My Commission Expires AUG. 24, 2001